

Members are reminded of the requirement that they give notice of conflict of interest prior to consideration of any matter on the Board open and closed session agendas

**BOARD OF GOVERNORS MEETING**

**1:00 p.m., Thursday, April 25, 2019  
Room 4155 Stevenson Hall**

*The Land Acknowledgement Statement will be read at the beginning of the meeting.*

1. Adoption of Agenda - Open Session
2. Report of the President (Amit Chakma)
3. Unanimous Consent Agenda – **Appendix I**  
Includes [Open Session Minutes of the Meeting of January 24, 2019](#)
4. Business Arising from the Minutes
5. Reports of Committees:
  - Property & Finance Committee – **Appendix II** (Keith Gibbons)
  - Senior Policy & Operations Committee – **Appendix III** (Paul Jenkins)
  - Fund Raising and Donor Relations – **Appendix IV** (Carol Stephenson)
6. Items Referred by Senate – **Appendix V** (Amit Chakma)
7. Questions from Members
8. Other Business
9. Adjournment to Confidential Session

Meetings of the Western Board of Governors begin at 1:00 p.m. will normally terminate by 4:30 p.m. unless extended by a majority vote of those present.

**SUMMARY OF AGENDA ITEMS – APRIL 25, 2019 - OPEN SESSION**

Adoption of Agenda	ACTION
Report of the President	INFORMATION
Unanimous Consent Agenda – <a href="#">Appendix I</a>	ACTION
Minutes of the Meeting of January 24, 2019 – Open Session	ACTION

**Report of the Property & Finance Committee – [Appendix II](#)**

Budgets and Fees	ACTION
<ul style="list-style-type: none"> <li>• 2019-20 University Operating and Capital Budgets and Tuition Fees</li> <li>• Student Fee-Funded Units and Academic Supports</li> <li>• Student Organization Fees 2019-2020</li> </ul>	
Annual Report and Recommendations of the Student Services Committee	
Revisions to MAPP 1.16: Smoking, Vaping & Tobacco Use	
New MAPP PolicyXX: Use of Cannabis, Alcohol, and Other Substances	ACTION
Scholarships, Awards, Prizes	INFORMATION
Peter C. Maurice Research Fellowship in Biomedical Engineering	INFORMATION
Daryl T. Bean Professorship in Law and Women’s Studies	INFORMATION
Report of the Investment Committee	INFORMATION
Quarterly Ratio Report – Non-Endowed Funds	INFORMATION
Credit Rating Review: February 13, 2019	INFORMATION
2018-19 Operating Budget Update as of January 31, 2019 (Quarterly Report)	INFORMATION

**Senior Policy & Operations Committee – [Appendix III](#)**

Code of Student Conduct Revisions	ACTION
Committee Appointment	INFORMATION

**Fund Raising and Donor Relations – [Appendix IV](#)**

Western Athletics Coaching Matching Fund	ACTION
Fund Raising Activity Quarterly Report to January 31, 2019	INFORMATION

**Items Referred by Senate – [Appendix V](#)**

Renewal of the Articulation Agreement between Western University, King’s University College, Huron University College and Fanshawe College Regarding the Transfer of Credit for Students in the Business-Accounting Diploma Program	ACTION
2019-20 University Operating and Capital Budgets	INFORMATION
2019 Entrance Standards for Undergraduate First-Year Admissions	INFORMATION
Five-Year Enrolment Projections	INFORMATION

Report on Year One Class and Entering Averages	INFORMATION
Report of the Academic Colleague	INFORMATION
Academic Administrative Appointments	INFORMATION
Teaching Award Recipients 2018-19	INFORMATION
Spring Convocations 2019 – Honorary Degree Recipients	INFORMATION
Questions from Members	



## REPORT OF THE PRESIDENT

To: Board of Governors  
From: Amit Chakma  
Date: April 18, 2019  
Re: President's Report to the Board

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For the April 25, 2019 Board of Governors meeting, I'm pleased to provide the following update on important developments and achievements since the last meeting of the Board on January 24, 2019, for which my written report was submitted on January 16, 2019.

**MTCU tuition, OSAP and ancillary fee policy changes:** On January 18, the Ministry of Training, Colleges and Universities announced a directive to reduce domestic tuition fee rates by 10 percent in 2019-20 and cap them in 2020-21, among other related policy changes that will impact a key revenue stream for all publicly supported postsecondary institutions in Ontario. As Provost Hrymak highlighted in a follow-up communication to the campus community, we are managing our response to this new challenge through careful planning, and though these policy changes have necessitated some re-thinking of the University's budget, we remain steadfastly focused on the pursuit of Western's vision and priorities. In response, Deans and other academic and administrative leaders across campus reviewed and revised their unit budget submissions for the coming two-year budget cycle with a focus on preserving resources for our priorities and mitigating the impact that the tuition fee reduction will have on University operations.

**Universities and community partners gather to discuss response to illegal street parties:** On February 15, representatives from Western, the City of London and London Police Service attended a meeting of nine Ontario university communities focused on finding solutions to the growing problem of unsanctioned street parties. Hosted by Wilfrid Laurier University in Waterloo, the meeting included representatives and student leaders from Queen's, McMaster, Ottawa, Brock, Carleton, Waterloo and Guelph universities along with representatives from the cities of Waterloo, Kingston, Hamilton, and Guelph. The day-long meeting focused on learning from the experiences of each university and city in an effort to find meaningful solutions to a problem that is spreading through municipalities across Ontario and beyond. "This is not only a London issue, it happens in municipalities across North America," said

Orest Katolyk, Chief Municipal Law Enforcement Officer for the City of London. “We’ve had numerous community suggestions over the years. As a team we are looking at solutions that can be realistically operationalized with a focus on public safety.” London Police Services estimates that more than 20,000 revelers crowded Broughdale Avenue and adjacent streets on Sept. 29, 2018. Similarly, Queen’s University in Kingston saw a street party with approximately 25,000 in attendance, University of Ottawa saw 24,000 attend and Laurier saw 22,000 attend. Western is committed to working together with community partners to solve the problem at Broughdale, part of which will be communicating the safety risks and long-term impacts of illegal behaviours. It is a complex issue that will require efforts from all stakeholders.

**Federal Budget 2019:** On March 19, Western joined its U15 sister institutions in acknowledging the investments announced in the Federal government’s budget, particularly with regard to programs supporting students. Among the budget’s key PSE investments:

- \$114M over five years for scholarships and fellowships;
- \$374M over five years for parental leave for student researchers;
- \$147.9M over five years for international education programs;
- \$327M over five years to renew the PSE Support Program for Indigenous students;
- a target of creating 84,000 new student work placements across Canada by 2023.

**Provincial Budget 2019:** On April 11, the Provincial government presented a budget which included several important changes for Ontario’s PSE sector. While the overall budget for the Ministry of Training, Colleges and Universities has been decreased from \$12.1B in 2018-19 to \$11.37B in 2019-20, there was no change to the amount currently allocated for operating grants to individual institutions. The budget also announced that the next round of Strategic Mandate Agreements (SMA3) to be established between the Province and individual institutions will introduce a new performance-based funding model that will tie significant, and increasing, proportions of operating grants to performance indicators. Currently, SMA2 links only 1.4% of college and university operating grants to performance. SMA3 will tie 25% of funding in 2020-21 to performance, rising incrementally each year to 60% by 2024-25. While the specifics of this new model remain to be outlined in greater detail through technical briefings scheduled by the Ministry for later this month, the government has signaled that the number of performance indicators in SMA3 will be reduced to 10 (from the current 38 in SMA2) and they will align with the government’s priorities related to skills and jobs outcomes, and to economic and community impact.

**Western leadership update:** On February 28, Provost Hrymak announced the appointment of **Sharon Hodgson** as the next Dean of the Ivey Business School. Currently a Corporate Director with IGM Financial Inc., Ms. Hodgson will step into her new role May 6, 2019. Her appointment follows a career of more than three decades leading change within large organizations in her varied roles with IBM, PwC and Andersen Consulting. As a senior executive with IBM Global Business Services, Sharon led several multi-billion-dollar

consulting businesses around the world where she drove growth and innovation. In her most recent role as Global Consulting Leader for IBM's Artificial Intelligence, Watson™, Advanced Analytics, Internet of Things, and Big Data business, she harnessed these new technologies to introduce AI and Big Data solutions to market. Sharon's prior roles at IBM included leading the Canadian consulting business in Toronto, consulting leader for the Growth Markets Unit in Shanghai, and leader for North America's Business Analytics and Optimization unit in Philadelphia. In addition to her roles running IBM businesses, Sharon has also been the lead consulting partner for several large-scale business transformations at global companies including Nestlé, Merck, Mead Johnson and FMC Corporation. Sharon earned a Bachelor of Commerce from the University of Manitoba and an MBA from the Wharton School at the University of Pennsylvania.

On April 4, King's University College's Board of Directors named Western alumnus **Dr. David C. Malloy** as King's 9<sup>th</sup> Principal, effective July 1. Dr. Malloy comes to King's from the University of Regina, where he currently serves as Vice-President (Research). David earned his BA and MA from Western and is a multidisciplinary scholar in the fields of applied philosophy, kinesiology and health studies. In addition to his appointments at Regina, David also serves as an adjunct professor at Hunan University, China; Executive Director and Principal Investigator, Research Institute for Multiculturalism and Applied Philosophy, Hunan University, China; and senior researcher at the International Bioethics Centre of Shandong Province, China.

Meanwhile, the work of selection committees remains underway for the Deans of the Faculty of Engineering and the Schulich School of Medicine & Dentistry. As well, Senate is currently in the process of finalizing the membership of review committees being struck for the Dean of Social Science and the Vice-Provost (Academic Planning, Policy & Faculty) as the incumbents' respective five-year appointment terms will each conclude on June 30, 2020.

**UNANIMOUS CONSENT AGENDA**

**FOR APPROVAL**

*Any member who wishes to ask a question, discuss, or oppose an item that is listed below may have it removed from the consent agenda by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the following motion.*

**Recommended:** That the following items be approved or received for information by the Board of Governors by unanimous consent:

**Minutes**

1. Open Session Minutes of the January 24, 2019	ACTION
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**Report of the Property & Finance Committee – Appendix II**

2. Scholarships, Awards, Prizes	INFORMATION
3. Peter C. Maurice Research Fellowship in Biomedical Engineering	INFORMATION
4. Daryl T. Bean Professorship in Law and Women's Studies	INFORMATION
5. Report of the Investment Committee	INFORMATION
6. Quarterly Ratio Report – Non-Endowed Funds	INFORMATION
7. Credit Rating Review: February 13, 2019	INFORMATION
8. 2018-19 Operating Budget Update as of January 31, 2019 (Quarterly Report)	INFORMATION

**Report of the Senior Policy & Operations Committee – Appendix III**

9. Committee Appointment	INFORMATION
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**Fund Raising and Donor Relations – Appendix IV**

10. Fund Raising Activity Quarterly Report to January 31, 2019	INFORMATION
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**Items Referred by Senate – Appendix V**

11. Renewal of the Articulation Agreement between Western University, King's University College, Huron University College and Fanshawe College Regarding the Transfer of Credit for Students in the Business-Accounting Diploma Program	ACTION
12. 2019-20 University Operating and Capital Budgets	INFORMATION
13. 2019 Entrance Standards for Undergraduate First-Year Admissions	INFORMATION
14. Five-Year Enrolment Projections	INFORMATION
15. Report on Year One Class and Entering Averages	INFORMATION

16. Report of the Academic Colleague	INFORMATION
17. Academic Administrative Appointments	INFORMATION
18. Teaching Award Recipients 2018-19	INFORMATION
19. Spring Convocations 2019 – Honorary Degree Recipients	INFORMATION



### The Unanimous Consent Agenda

The Board's parliamentary authority -- *Sturgis Standard Code of Parliamentary Procedure* -- explains the consent agenda:

Organizations having a large number of routine matters to approve often save time by use of a *consent agenda*, also called a *consent calendar* or *unanimous consent agenda*. This is a portion of the printed agenda listing matters that are expected to be non-controversial and on which there are likely to be no questions.

Before taking the vote, the chair allows time for the members to read the list to determine if it includes any matters on which they may have a question, or which they would like to discuss or oppose. Any member has a right to remove any item from the consent agenda, in which case it is transferred to the regular agenda so that it may be considered and voted on separately. The remaining items are then unanimously approved en bloc without discussion, saving the time that would be required for individual votes.

A number of Canadian university Boards have employed the consent agenda format to include not only routine approval items, but also information items. One reason for using this format is to allow the Board to focus on major items of business. While approval of an omnibus motion saves time at Board meetings, Board members will want to review the agenda materials carefully in order that they properly discharge their responsibilities.

#### **How it works:**

The Secretary identifies action and information items that are routine and/or likely non-controversial. In so doing, she may consult with the Chair of the Board, the relevant committee chair, and principal resource persons. In each Committee's report, these items are noted in the list of items at the beginning of the report. Action and information items on the agenda and in committee reports that are not noted on the consent agenda will be presented singly for discussion and voting (when appropriate).

When members receive their Board agendas, they should review all reports in the usual manner. **If any member wants to ask a question, discuss, or oppose an item that is marked for the consent agenda, he or she can have it be removed from the consent agenda** by contacting the Secretary of the Board of Governors prior to the meeting or by asking that it be removed before the Chair calls for a mover and seconder for the motion to approve or receive, by unanimous consent, the items listed.

At the Board meeting, before the unanimous consent motion is presented for approval, the Chair of the Board (1) will advise the Board of items that are to be removed from the list, based on prior requests from Board members; and (2) will ask if there are any other items that should be removed from the list. The remaining items are then unanimously approved *en bloc* without discussion, saving the time that would be required for individual presentation and voting. Those matters that have been struck from the consent agenda will be handled in the usual way as each Committee's report is presented.

The minutes of the Board meeting will report matters approved as part of the consent agenda as "carried by unanimous consent". Information items received as part of the consent agenda will be reported as received.



**MINUTES OF THE MEETING OF THE BOARD OF GOVERNORS**

**JANUARY 24, 2019**

The meeting was held at 1:00 p.m. in Room 4155 Stevenson Hall.

PRESENT: P. Jenkins, Chair  
K. Kwan, Secretary

W. Boye	K. Mequanint
C. Burghardt-Jesson	G. Parraga
A. Chakma	B. Ross
S. Chrominska	S. Shortreed
G. Dick	C. Stephenson ☎
K. Gibbons	K. Sullivan
R. Giffin	J. Toswell
C. Karakatsanis	M. Wilson
D. Keddy	H. Usher
R. Konrad	

By Invitation: J. O'Brien, J. Capone, A. Hrymak, L. Logan, Ms. K. Cole  
H. Connell, M. Ruddock

**Land Acknowledgement**

D. Keddy read the Land Acknowledgement.

BG.19-01

**Chair's Remarks**

On behalf of the Board, the Chair welcomed Mr. Harold Usher and Mayor Ed Holder to the Board of Governors.

BG.19-02

**REPORT OF THE PRESIDENT**

The President's report, distributed with the agenda, consisted of the following topics:

- Congratulations to President-Designate, Dr. Alan Shepard.
- Catherine Steeves reappointed as Vice-Provost & Chief Librarian
- Linda Miller re-appointed as Vice-Provost (Graduate & Postdoctoral Studies)
- John Doerksen re-appointed as Vice-Provost (Academic Programs)
- Welcome Lisa Henderson, incoming Dean (Faculty of Information & Media Studies)
- The work of selection committees remains underway for the Deans of the Ivey Business School, Faculty of Engineering, and the Schulich School of Medicine & Dentistry.

Additional Items reported on by the President:

- Provincial government's announced changes to tuition and ancillary fees. He advised that the University would be required to decrease tuition fees by 10% in the 2019-2020 budgeting cycle, with a shortfall of \$34M in the first budget cycle and an additional \$43M

- shortfall in the preceding budget year.
- The President provided the Board with clarification on how the University will address the funding impact over a two-year period.

BG.19-03 **UNANIMOUS CONSENT AGENDA** [Appendix I]

It was moved by K. Gibbons, seconded by B. Ross,

That 8 items listed in Appendix 1, Unanimous Consent Agenda be approved or received for information, with the exception of Appendix 3.

CARRIED

BG.19-04 **Minutes from the Previous Meeting**

The open session minutes of the meeting of November 29, 2018 were approved as circulated.

BG.19-05 **Business Arising from the Minutes**

There were no items noted as business arising from the November 29, 2018 minutes.

**REPORT OF THE PROPERTY & FINANCE COMMITTEE** [Appendix II]

Prior to considering the Report of the Property & Finance Committee, R. Konrad provided a high-level overview of the recent work of the Committee.

BG.19-06 **Information Items Reported by the Property & Finance Committee**

The Report of the Property & Finance Committee, detailed in Appendix III, contained the following items that were received for information by unanimous consent:

- Quarterly Ratio Report on Non-Endowed Funds
- Report on Trademark Licensees Doing Business with the Book Store at Western January 2019
- 2018-19 Operating Budget Update as of October 31, 2018
- Report of the Investment Committee
- Student Fee-Funded Units, Ancillaries, Academic Support Units, and Associated Companies Financial Update

**REPORT OF THE SENIOR POLICY & OPERATIONS COMMITTEE** [Appendix III]

Prior to considering the Report of the Senior Policy & Operations Committee, P. Jenkins provided a high-level overview of the recent work of the Committee.

BG.19-07 **Information Items Reported by the Senior Policy & Operations Committee**

The Report of the Senior Policy & Operations Committee, detailed in Appendix III, contained the following items that were received for information by unanimous consent:

- Board Appointment: Keith Gibbons to the Western Research Park – Board of Directors for 2019-2020 and on a continuing basis thereafter, effective January 16, 2019.

A Board member noted concerns with the composition of the Board, specifically directing the attention of members to a need for diversity in the membership of the Western Board of

Governors.

The Chair noted that the item being discussed was an item found in the closed session of the Board of Governors agenda, and that the item had been removed for the Consent Agenda in error.

**REPORT OF THE AUDIT COMMITTEE** [Appendix IV]

Prior to considering the Report of the Audit Committee, K. Gibbons provided a high-level overview of the recent work of the Committee, noting that the committee has commenced the external audit process.

BG.19-08 **Information Items Reported by the Audit Committee**

The Report of the Audit Committee, detailed in Appendix IV, contained the following items that were received for information by unanimous consent:

- Western Office of the Ombudsperson Annual Report 2017-2018

**REPORT OF THE FUND RAISING AND DONOR RELATIONS COMMITTEE** [Appendix V]

Prior to considering the Report of the Audit Committee, C. Stephenson provided a high-level overview of the recent work of the Committee.

BG.19-09 **Information Items Reported by the Fund Raising and Donor Relations Committee**

The Report of the Fund Raising and Donor Relations Committee, detailed in Appendix V, contained the following item that was received for information by unanimous consent:

- The Fund-Raising Initiatives Quarterly Report to October 31, 2018

**ITEMS REFERRED BY SENATE** [Appendix VI]

BG.19-10 **Information Items Referred by Senate**

Appendix VI, Items Referred by Senate, contained the following item that was received for information by unanimous consent:

- Report of the Academic Colleague (December 2018)

The meeting adjourned to the confidential session.

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P. Jenkins  
Chair

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K. Kwan  
Secretary

**REPORT OF THE PROPERTY AND FINANCE COMMITTEE**

Contents	Consent Agenda
<p><b>Budgets and Fees</b></p> <ul style="list-style-type: none"> <li>• <b>2019-20 University Operating and Capital Budgets and Tuition Fees</b></li> <li>• <b>Student Fee-Funded Units and Academic Supports</b></li> <li>• <b>Student Organization Fees 2019-2020</b></li> </ul>	No
<p><b>Annual Report and Recommendations of the Student Services Committee</b></p>	No
<p><b>Revisions to MAPP 1.16: Smoking, Vaping &amp; Tobacco Use</b></p>	No
<p><b>New MAPP PolicyXX: Use of Cannabis, Alcohol, and Other Substances</b></p>	No
<p><b>Scholarships, Awards, Prizes</b></p>	Yes
<p><b>Peter C. Maurice Research Fellowship in Biomedical Engineering</b></p>	Yes
<p><b>Daryl T. Bean Professorship in Law and Women’s Studies</b></p>	Yes
<p><b>Report of the Investment Committee</b></p>	Yes
<p><b>Quarterly Ratio Report – Non-Endowed Funds</b></p>	Yes
<p><b>Credit Rating Review: February 13, 2019</b></p>	Yes
<p><b>2018-19 Operating Budget Update as of January 31, 2019 (Quarterly Report)</b></p>	Yes

FOR APPROVAL

1. **2019-20 University Operating and Capital Budgets**

**Recommended:** That the Board of Governors approve the 2019-20 University Operating and Capital Budgets and the proposed Program Specific Fees and Other Supplemental Fees for 2019-20.

**Background:**

The 2019-20 Operating and Capital Budgets are attached ([Annex 1](#)). Supplemental Fees and Other Charges, shown on Table 4 of the Program Specific Fees and Supplemental Fees report ([Annex 2](#)) are approved by the President throughout the year, as authorized under the Student Fee Policy (Policy 2.4). These are provided for information.

**Student Fee-Funded Units, Ancillaries and Academic Supports**

**Recommended:** That the Board of Governors approve the 2019-20 budgets for Student Fee-Funded Units, Ancillaries, and Academic Units summarized in the report entitled “Student Fee-Funded Units, Ancillary Units, Academic Support Units, and Associated Companies”.

See [Annex 3](#).

### **Student Organization Fee Proposals for 2019-20**

The tables referenced in the motion below are in the report entitled “Student Fee-Funded Units, Ancillaries, Academic Support Units, and Associated Companies” ([Annex 3](#)).

**Recommended:** That the Western Student Ancillary Fees for 2019-20 as shown in Table 2 (Full-Time Students) and Table 3 (Part-Time Students) be approved.

That the organization fees for the University Students’ Council for 2019-20 as shown in Table 5 (Full-Time Undergraduate Students) and Table 6 (Part-Time Undergraduate Students) be approved.

That the organization fees for Society of Graduate Students for 2019-20 as shown in Table 5 (Full-Time Graduate students – 3 Terms) and Table 6 (Part-Time Graduate Students) be approved.

That the organization fees for the Honors Business Administration Association for 2019-20 as shown in Table 5 – Note <c> be approved, contingent on the Property and Finance Committee receiving the Review Engagement Report and final HBAA Financial Statements no later than April 24, 2019.

That the organization fees for the Master of Business administration for 2019-20 as shown in Table 5 (Full-Time MBA and AMBA) be approved.

### 2. **Annual Report and Recommendations of the Student Services Committee**

**Recommended:** That the ancillary fees collected by the University be those detailed in [Annex 4, Table 1](#), as recommended by the Student Services Committee.

**Background:**  
See [Annex 4](#).

### 3. **Revisions to MAPP 1.16: Smoking, Vaping & Tobacco Use**

**Recommended:** That the Board of Governors approve the new MAPP 1.16: Smoking, Vaping & Tobacco use policy as outlined in [Annex 5](#).

**Background:**  
See [Annex 5](#).

### 4. **New MAPP Policy XX: Use of Cannabis, Alcohol and other Substances**

**Recommended:** That the Board of Governors approve the new MAPP Policy XX: Use of Cannabis, Alcohol, and Other Substances as outlined in [Annex 6](#).

**Background:**  
See [Annex 6](#).

### **FOR INFORMATION**

### 5. **Scholarships, Awards, Prizes**

The Property and Finance Committee approved, on behalf of the Board of Governors, the terms of reference for new scholarships, awards, bursaries and prizes.

See [Annex 7](#).

6. **Peter C. Maurice Research Fellowship in Biomedical Engineering**

At its March 12, 2019 committee meeting, the Property & Finance Committee approved on behalf of the Board of Governors, that the Peter C. Maurice Research Fellowship in Biomedical Engineering be established retroactively in the Faculty of Engineering

**Background:**  
See [Annex 8](#).

7. **Daryl T. Bean Professorship in Law and Women's Studies**

At its March 12, 2019 committee meeting, the Property & Finance Committee approved on behalf of the Board of Governors that the term of the existing Daryl T. Bean Professorship in Law and Women's Studies be revised as outlined in Annex 9.

**Background:**  
See [Annex 9](#).

8. **Report of the Investment Committee**

The report of the Investment Committee is provided in [Annex 10](#).

9. **Quarterly Ratio Report on Non-Endowed Funds**

The Quarterly Ratio Report on Non-Endowed Funds is provided in [Annex 11](#).

10. **Credit Rating Review: February 13, 2019**

In May 2007 Western issued its first debenture, for \$190 million, followed by a second debenture of \$100 million in December 2017. In both instances, the University received a credit rating of AA from Standard & Poor's.

Annually, Western undergoes a credit rating review by Standard & Poor's. The ninth annual review was undertaken in February 2019 and resulted in the affirmation of Western's AA Stable rating. The rationale provided in the Research Update references Western's very strong enterprise and financial profiles as the main reasons for maintaining the AA rating. Western's rating is two notches above the Province of Ontario.

The Research Update issued by Standard & Poor's is provided in [Annex 12](#) for your information.

11. **2018-19 Operating Budget Update as of January 31, 2019 (Quarterly Report)**

The 2018-19 Operating Budget Update as of January 31, 2019 is provided in [Annex 13](#).



**Western**  
UNIVERSITY • CANADA

# **2019-20 Operating and Capital Budgets**

**March 31, 2019**



## 2019-20 Budget Highlights

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### A. Introduction

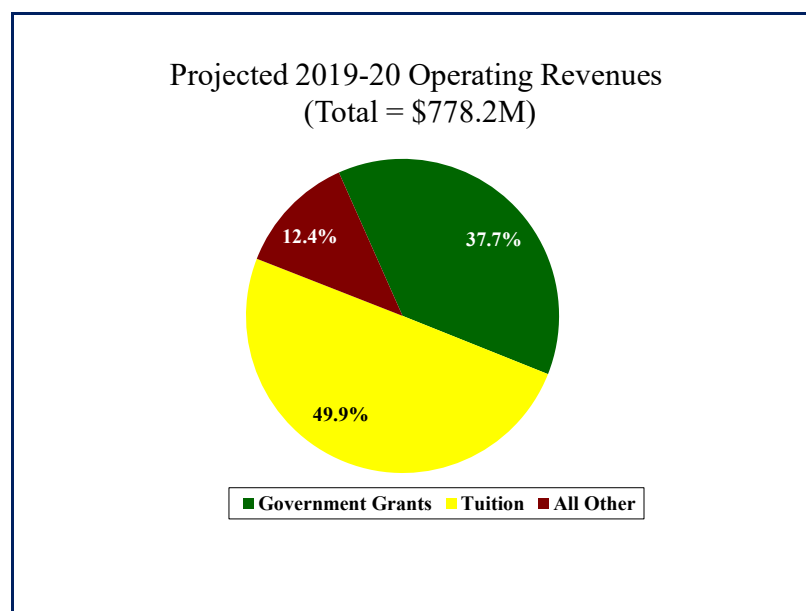
Western's 2019-20 Operating and Capital Budgets move us forward to a new (transitional) 2-year plan – spanning the period 2019-20 and 2020-21. This budget builds on the previous 4-year plan and has been developed in the context of our Strategic Plan – *Achieving Excellence on the World Stage* – and with reference to the priorities outlined in the Faculty Academic Plans and the Support Unit Operational Plans. The budgetary context is one of significant fiscal pressures associated with the two major sources of funding in our Operating Budget – tuition fees and provincial government grants.

The Province has announced that domestic tuition fees must be rolled back 10% in 2019-20 and must remain frozen in 2020-21. The impact of this decision is a shortfall in tuition revenue of approximately \$43 million at the end of 2020-21 compared to our initial forecast – which was based on the continuation of the previous domestic tuition framework which allowed for an overall increase of 3%. We do not yet have a formal announcement on provincial government grants for 2019-20 and beyond. Our assumption at this point in time is that grant funding will remain unchanged from 2018-19 levels.

### B. The 2019-20 Operating Budget

#### Revenues

The 2019-20 Operating Budget projects total revenue of \$778.2 million – which is based on stable government grants, the 10% reduction in domestic tuition rates, increases in international tuition rates similar to recent years, and modest growth in undergraduate and graduate enrolments.



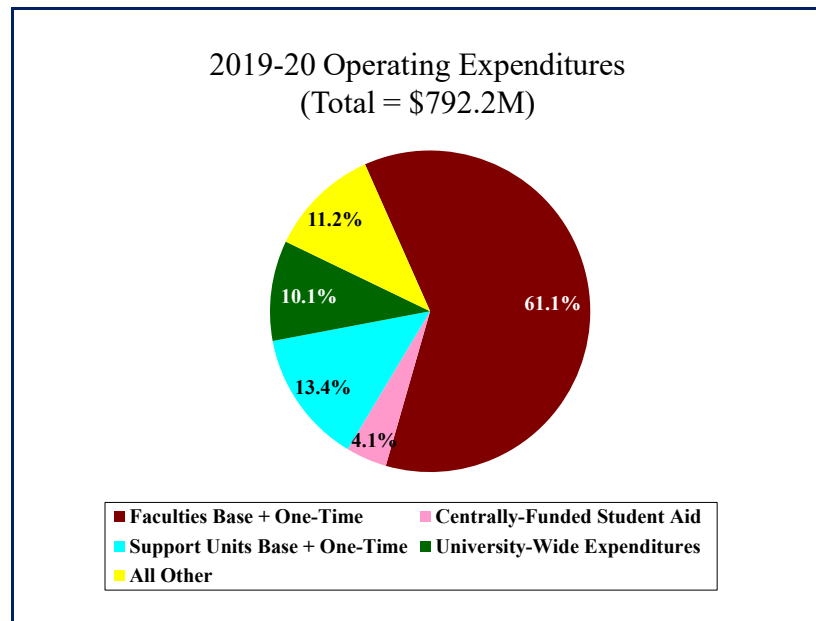
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## **Expenditures**

Total expenditures for 2019-20 are projected to be \$792.2 million, and include the following investments in new initiatives and priorities:

- Growing our endowments is a University priority – and, in support of this, a sum of \$12 million in one-time funding is being recommended to augment our ***Endowed Chairs Matching Program***.
- Western continues with its ***integrated long-range approach to space/facilities planning*** – and we are recommending \$12 million in one-time funding to support two high priority projects:
  - A sum of \$5 million for the creation of Indigenous Learning Spaces across campus – which includes indoor/outdoor teaching and learning facilities, ceremonial space, multi-functional/gathering spaces, studio facilities, and offices
  - The remaining \$7 million to move forward with the next stage of the multi-phased Medical School Facilities Project – which includes renewal, replacement, and expansion components
- In order to support the Faculties and Support Units in adapting to lower budgets, ***the Efficiency and Innovation Fund*** is being recommended for 2019-20 – at a value of \$10 million in one-time funding. The one-time-only fund will support major initiatives that lead to significant revenue generation and/or cost reductions. Faculties and Support Units can submit proposals up to August 31, 2019.
- The self-funding ***multi-year Engineering Expansion Plan*** that supports expansion of enrolments and faculty/staff complements, and the construction of a new building. In 2019-20, a sum of \$950,000 in base funding and \$8 million in one-time funding (to support Engineering facilities expansion and renewal) are recommended.
- A sum of \$3 million in one-time funding is being recommended to support the “First in Canada” ***Innovation & Collaboration Hub for Advanced X-Ray Imaging and Interventional Suite*** – specifically to cover the cost of renovations to create the necessary facilities and equipment. The facility – which will strengthen Western’s place as a leader in imaging research within Canada and Internationally – is being located at the Robarts Research Institute and is being supported by over \$8 million in private sector investments.
- ***The Postdoctoral Fellowship Program*** aimed at attracting and retaining top-tier postdoctoral talent was initiated last year as a 2-year pilot – with a one-time allocation of \$226,000 in 2018-19. As committed in last year’s budget, a sum of \$452,000 in one-time funding is being recommended for 2019-20.
- In response to our Strategic Plan’s commitment to strengthen the ***entrepreneurship eco-system on campus***, the 2016-17 University budget allocated \$1 million in one-time funding to be spent over a 3-year period. That funding comes to an end in 2018-19 – and it is now being recommended that the funding be made permanent in 2019-20 with a base allocation of \$400,000. For information, we are also in the planning stages of creating new space/facilities for Entrepreneurship at Western.

The 2016 Provost's Taskforce on University Budget Models concluded that strong support remains for Western's current hybrid budget model – and its ability to evolve over time and to adopt various components found in Incremental, Responsibility-centered Management (RCM), and Performance-based models. The recommendations in this budget continue with the underlying principles that drive our current model: maintain high student and educational quality in the context of a research-intensive university. A high-level breakdown of the expenditure recommendations is as follows:



### C. The 2019-20 Capital Budget

The Capital Budget supports our Long-Range Space Plan which involves a number of high priority projects – including five major academic projects which are underway or in various planning stages:

- Modernization of Thames Hall – including the creation of an integrated Western Wellness Centre
- The Biomedical Research Facility – phase 1 of the multi-phased Medical School facilities renewal/realignment/expansion plan
- Modernization of Weldon Library – including the creation of student spaces
- Renewal and expansion of the Ivey Spencer Leadership Centre – including creation of modern accommodation facilities
- Space/facilities for Entrepreneurship at Western

Funding for the Capital Budget in 2019-20 is derived from the following sources: Government Grants (\$8.5 million), transfers from the Operating Budget – including unit budgets (\$33.2 million), self-funded or ancillary operations (\$17.6 million), and borrowing (\$19.4 million).

Capital Expenditures are projected to be \$95.3 million in 2019-20, with a breakdown as follows:

- \$24.8 million in support of ***new construction*** (including planning/design). This includes the Biomedical Research Facility and the Ivey Spencer Leadership Centre Renewal/Expansion Project.
- \$20.6 million in support of ***major renovation projects***, which includes modernization of Thames Hall and the Weldon Library.
- \$8.0 million for ***utilities and infrastructure projects***.
- \$9.3 million associated with the ***modernization/adaptation of instructional and research facilities*** across campus.
- \$14.3 million for ***general campus maintenance projects***.
- \$13.1 million in support of ***renewal in our residences***.
- \$5.4 million for all ***other capital expenditures*** – which includes carrying costs and debt repayment.

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### ACRONYMS used in University Budget Document

	<b>Acronym</b>	<b>Description</b>
1	APF	Academic Priorities Fund
2	APPF	Academic Planning, Policy, and Faculty
3	AQ	Additional Qualification Courses in the Faculty of Education
4	B.Ed.	Bachelor of Education Program/Degree
5	BRT	Bus Rapid Transit System
6	CERC	Canada Excellence Research Chairs Program
7	CRCs	Canada Research Chairs
8	CRV	Current Replacement Value (of Buildings)
9	CSD	Communication Sciences and Disorders Program
10	DM	Deferred Maintenance
11	Ed.D.	Doctor of Education Degree
12	EFB	Employee Future Benefits
13	FIMS	Faculty of Information & Media Studies
14	FRSF	Federal Research Support Fund (formerly FFICR)
15	FTE	Full-Time Equivalent
16	GGRP	Greenhouse Gas Reduction Program
17	HBA	Honours Business Administration Degree/Program
18	IBA	Initial Budget Adjustment
19	ILIC	Integrated Learning and Innovation Centre
20	ITIF	Information Technology Infrastructure Fund
21	M.Cl.Sc.	Masters in Clinical Sciences Degree
22	M.Ed.	Masters in Education Degree/Program
23	M.O.S.	Management & Organizational Studies Program
24	MBA	Master of Business Administration Degree/Program
25	MC	Middlesex College
26	MD	Doctor of Medicine Degree/Program
27	MEng	Master of Engineering Degree/Program
28	MESc	Master of Engineering Science Degree
29	MMI	Maintenance, Modernization, and Infrastructure
30	MOECC	Ministr of the Environment and Climate Change
31	MSc	Master of Science Degree
32	MTCU	Ministry of Training, Colleges, and Universities
33	MTP	Media, Theory, and Production Program
34	NCB	North Campus Building
35	OSAP	Ontario Student Assistance Program
36	OT	Occupational Therapy (School/Program)
37	Ph.D.	Doctor of Philosophy Degree
38	PT	Physical Therapy (School/Program)
39	RCM	Responsibility-centered Management
40	RISF	Research Infrastructure Support Fund
41	SGPS	School of Graduate & Postdoctoral Studies
42	SIF	Federal Government's Strategic Investment Fund
43	SSHRC	Social Science and Humanities Research Council
44	STEM	Science, Technology, Engineering, and Mathematics
45	SUPF	Support Unit Priorities Fund
46	WRCs	Western Research Chairs
47	WSC	Western Science Centre

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## 2019-20 Operating Budget

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### A. Planning and Budgetary Context

The current planning cycle moves us forward to a new (transitory) 2-year plan – spanning the period 2019-20 to 2020-21. This budget builds on the previous 4-year plan and has been developed in the context of our Strategic Plan – *Achieving Excellence on the World Stage* – and with reference to the priorities outlined in the Faculty Academic Plans and the Support Unit Operational Plans.

We move forward with planning for the next 2 years in the context of significant fiscal pressures associated with the two major sources of funding in our Operating Budget – tuition fees and provincial government grants.

- The Province has announced that domestic tuition fees must be rolled back 10% in 2019-20 and must remain frozen for 2020-21. The impact of this decision is a shortfall in tuition revenue of approximately \$43 million at the end of 2020-21 compared to our initial forecast – which was based on the continuation of the previous domestic tuition framework which allowed for an overall increase of 3%. In addition, it is not clear what rules will be put in place after 2020-21.
- We do not yet have a formal announcement on provincial government grants for 2019-20 and beyond. Our assumption at this point in time is that grant funding will remain unchanged from 2018-19 levels.
- Enrolments are the major drivers of our operating revenues. Modest growth is projected for both undergraduate and graduate enrolments. Undergraduate growth is targeted in the international component and graduate growth is projected based on the plans submitted by the Faculties.
- The net impact of these parameters is that total operating revenue is forecast to decline by 2.2%.

The recommendations in this budget are based on currently-known information on revenues and expenditures. We are awaiting more information on other Provincial Government initiatives that could have an impact on our Budget. These include:

- The restructuring of the Ontario Student Assistance Program (OSAP) which might impact the University Budget – through changes in enrolment levels and/or increased pressures on our student aid budget lines.
- The “Student Choice Initiative” which gives students the choice to opt out of certain ancillary fees – which in turn could impact revenues that fund some of our student services.

If our fiscal situation changes (either positive or negative), we will need to make the necessary adjustments as part of the next year’s planning cycle which will begin in September 2019.

At Western, our multi-year approach to planning serves us well – and we continue to focus our budget planning on our strategic priorities. Our enrolment planning follows the objectives set out in our Strategic Plan:

- Attract the brightest students as demonstrated through the highest entering grade average. Our first-year class is expected to be about 5,250 in 2019-20 and 5,300 in 2020-21.
  - Over time, increase the number of undergraduate international students to 15% of the undergraduate student body. Our plan is to recruit 680 first-year international students next year.
  - Increase the number of out-of-province students to at least 10% of the undergraduate student body. Our plan is to recruit 500 first-year out-of-province students.
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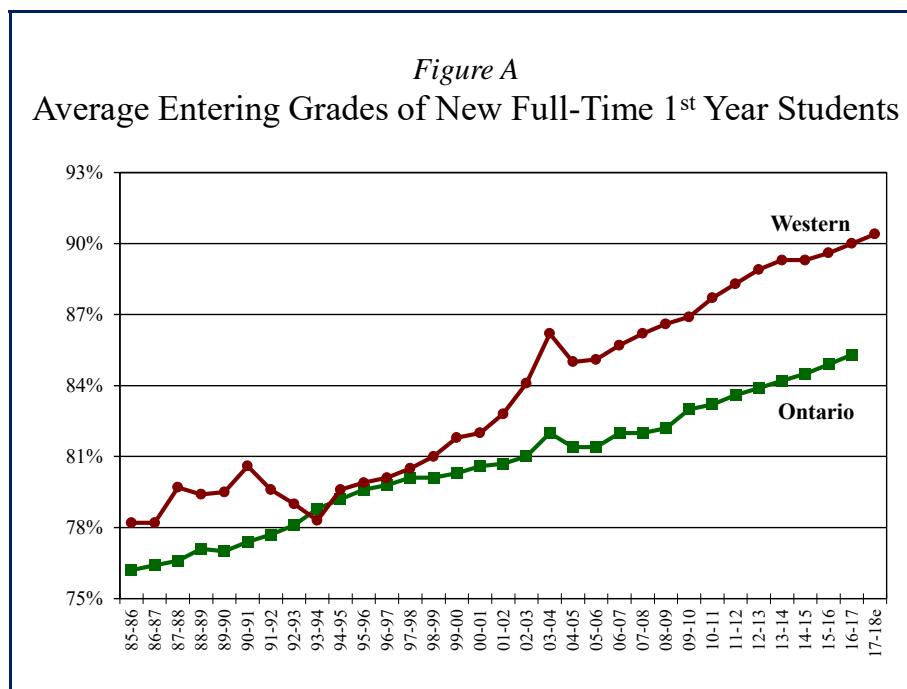


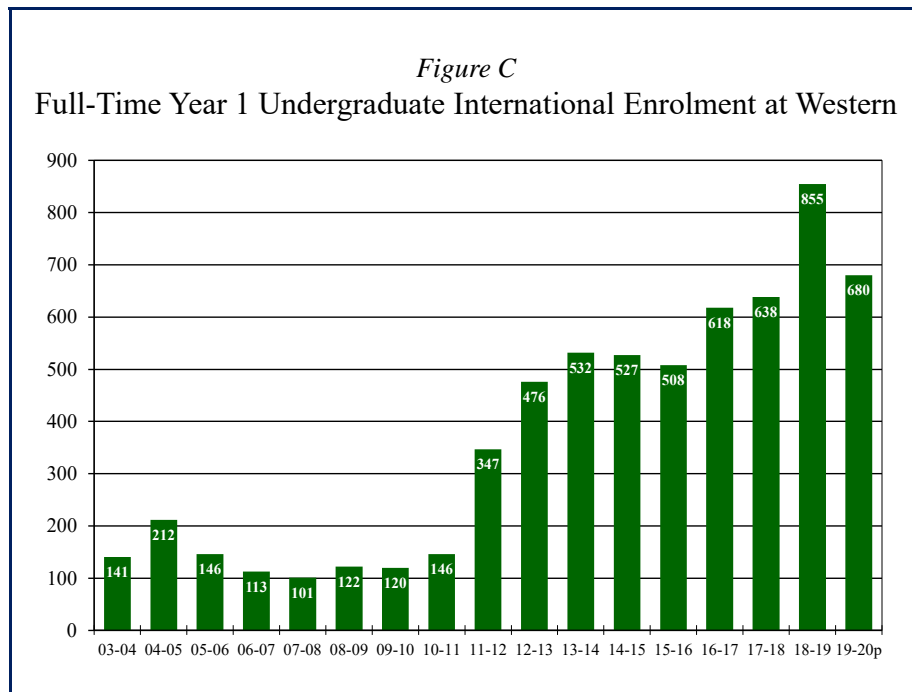
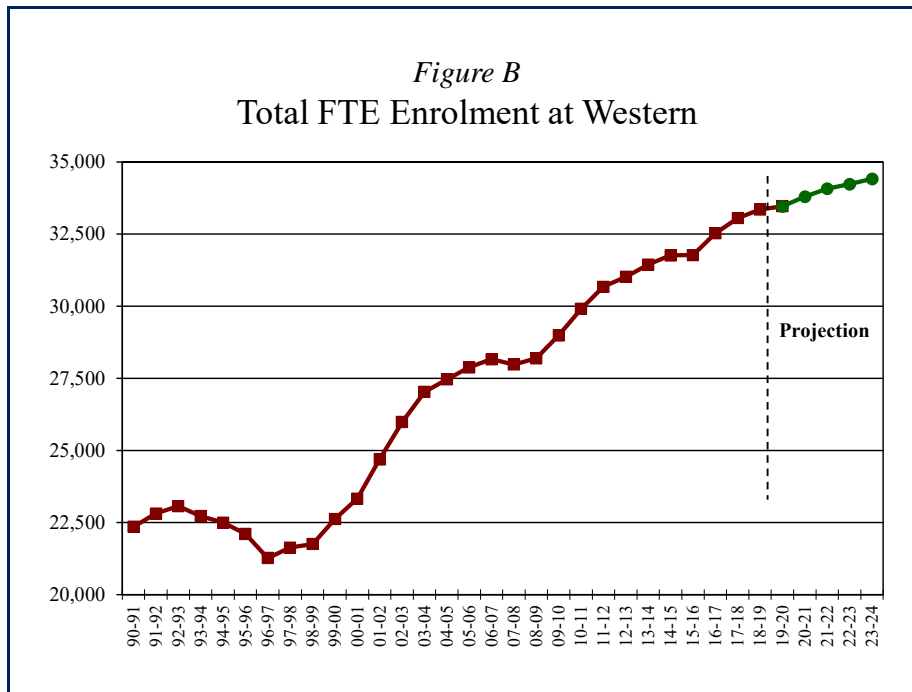
- Increase graduate student enrolment to at least 20% of the total student body. The graduate enrolment plans from the Faculties will place us very close to this threshold during the upcoming 2-year planning period.

Our approach to enrolment planning allows us to make significant enhancements to the quality of education and the educational experience we offer our students:

- Recruitment of outstanding undergraduate students is a high priority. The average entering grade of our incoming class in the fall of 2017 was 90.3% – well above the Ontario average.
- Over 93% of our first-year students continue into their second year. Our retention rates are amongst the highest in Canada and much higher than at our peer institutions in the United States.
- Graduation rates of our undergraduate students have been steadily increasing – and they are currently much higher than the rates at our peer universities in Canada and the United States. Over 83% of Western’s 2011-12 entering cohort graduated within 6 years.
- Our efforts in undergraduate international enrolment expansion resulted in nearly 16% of our fall 2018 first-year class coming from other countries. However, a vast majority of these students are from one country and are being admitted into three programs. Our aspirations are to diversify the source and destination of international students. We are developing diversification strategies, and expect to return to a growth trajectory in subsequent years.
- Expansion of graduate enrolments (in areas of demand and capacity) continues to be a strategic priority at Western. The current plans from the Faculties show significant growth aspirations. In the current year, full-time graduate students comprise 19.3% of total full-time enrolment.
- Results of exit surveys and course/instructor evaluations at Western indicate that our students rate their courses, instructors, and the quality of their education very high.

We remain committed to building on the substantive gains we have made in the areas of student quality, educational quality, and the students’ educational experience. Going forward, we will continue to manage our resources and target them towards our highest priority – *Achieving Excellence on the World Stage*.





## **B. Updates on Priorities and Initiatives from Last Year's Budget**

The following initiatives were included in the 2018-19 Budget, and involved substantial investments.

### **1. Growing our Endowment: The Endowed Chairs Matching Program**

Growing our Endowment continues to be a high priority for the University – and this priority has been supported through the expansion of the Endowed Chairs Matching Program, which started in 2010-11. In 2018-19, a sum of \$15 million in one-time funding was added to this program – bringing the total to \$83.5 million over the 9-year period.

### **2. Long-Range Space Plan**

Western continues with its integrated long-range approach to space/facilities planning. Last year's budget identified three projects for funding support:

- Weldon Library Renewal. Phase 1 of this Project is currently in design stage.
- Western Wellness Centre in Thames Hall. The first stages of construction have started.
- Realignment of space in the Natural Sciences Centre to create student spaces. Formal planning for this project is expected to begin in the summer of 2019.

### **3. Strategic Expansion of Engineering**

The multi-year self-funding Engineering Expansion Plan – launched in 2015-16 is well underway. The plan includes expansion of undergraduate enrolment, faculty/staff complements, and space/facilities. The specific elements for 2018-19 were as follows:

- Full-time undergraduate enrolment reached 2,032 – an increase of 486 from the 2014-15 level of 1,546.
- \$898,000 in incremental base funding was added to the Engineering budget – to support expansion of faculty and staff complements.
- \$6.8 million in one-time funding was transferred to the capital budget in support of Engineering facilities expansion and renewal.

### **4. Support for Scholarship/Research Initiatives in the SSHRC Disciplines**

The need to provide incremental targeted internal resources to support scholarship/research in the SSHRC disciplines was identified as a priority in the 2016-17 Budget – and a \$5 million endowment was established that year. In 2017-18, an incremental \$2.5 million was added to the endowment – bringing the total to \$7.5 million. Last year's budget allocated an incremental \$2.5 million – bringing the total to \$10 million. In the steady-state, this \$10 million is expected to yield an annual amount of \$400,000 to support this priority.

### **5. Pedestrian-Friendly Safe Campus Initiatives – Western's Open Space Strategy**

The planned transformation of our core campus into a vehicle-free pedestrian-friendly campus started two years ago. In support of this, a sum of \$2 million in one-time funding was allocated in 2018-19 – bringing the total allocation to \$6 million over the last three budgets. This work to-date includes new/improved safety-related signage, traffic calming or vehicle speed reduction initiatives in high traffic and pedestrian areas, improved lighting – in areas such as Alumni Circle, the Natural Sciences

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precinct, the Engineering Precinct, Talbot College areas, and various high-traffic parking lots, improvements to bike lanes across campus, and the transformation of Kent Drive and surrounding areas into a vehicle-free zone.

## **6. Energy Conservation Initiatives**

The University's utilities costs – after recoveries from self-funding operations – are in the range of \$25 million. In order to help contain utilities costs in the future, last year's budget allocated \$1 million in one-time funding in support of University-wide Energy Conservation Initiatives. These funds have been invested in campus-wide infrastructure projects such as insulation improvement, fume hood infrastructure enhancements, and upgrades to chilled water distribution systems, plumbing infrastructure, and electrical systems. These projects will help reduce electricity, water, and natural gas consumption – and the projected “payback” period for the \$1 million investment is three years.

## **7. The Postdoctoral Fellowship Program**

The Postdoctoral Fellowship Program – aimed at attracting and retaining top-tier postdoctoral talent (domestic and international) – was introduced last year as a 2-year pilot, with a one-time allocation of \$226,000 and the commitment to double the amount in 2019-20. To-date, the program has co-funded (matched by Faculties and Principal Investigators) ten top-tier postdoctoral fellows recruited nationally and internationally across a broad range of disciplines, including two Fellows in the area of Indigenous Scholarship/Research. The next round will be adjudicated in late April 2019. Details of the program can be found at:

[https://www.uwo.ca/research/funding/students/postdoctoral\\_fellowship\\_program.html](https://www.uwo.ca/research/funding/students/postdoctoral_fellowship_program.html)

## **8. Indigenous Initiatives – New Faculty Appointments**

In support of our priorities in the area of Indigenous Education, the 2018-19 Budget committed \$600,000 in base funding to support faculty appointments. This program – structured as a Cluster Hire Initiative designed to attract and appoint Indigenous Scholars in any discipline across the Academy – is formally launched. The Search Committee has been identified and has met, search processes have been finalized, and an advertisement is in development for posting.

## **9. Support for Front-Line Student Mental Health Services**

Western's Student Mental Health and Wellness Strategic Plan outlines a set of goals and priorities – with an underlying theme of strengthening front-line/direct services to our students. In support of this, last year's budget committed \$400,000 in base funding – with the understanding that this funding will be used to partner with our student organizations for matching/incremental funding. Through the Student Services Committee process, our student organizations have approved modest increments to ancillary fees that would provide a matching \$400,000. The total available funding will support incremental staffing that will allow us to broaden proactive support and strengthen responsive care in the areas of student mental health and wellbeing to enhance student thriving.

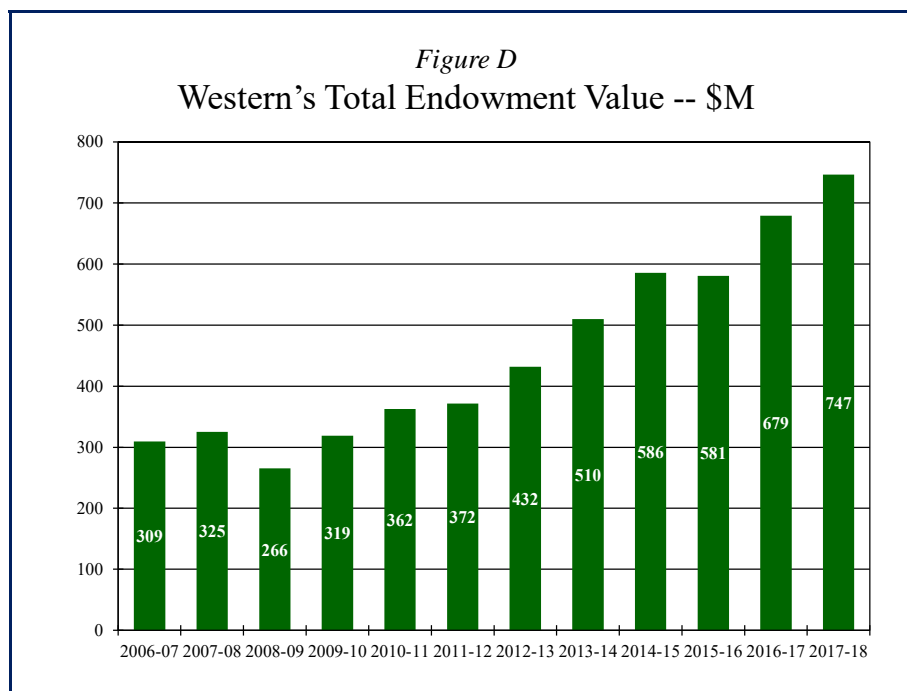
## C. Priorities for the 2019-20 Budget and New Initiatives

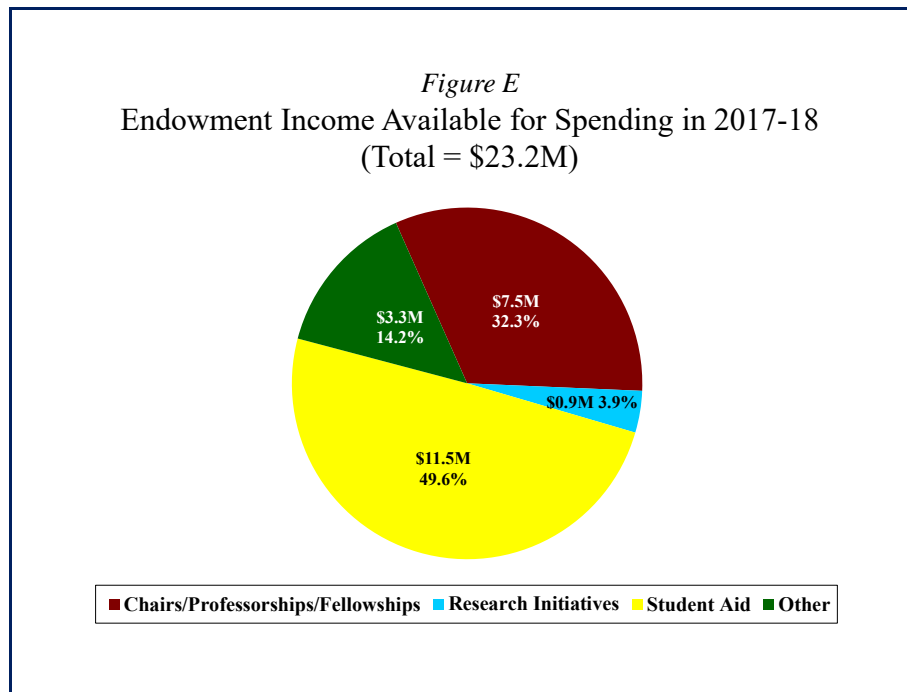
### 1. Growing our Endowment: The Endowed Chairs Matching Program

Growing our Endowment is a high priority for the University – and our budgetary allocations in recent years to the Endowed Chairs Matching Program have directly supported this priority. Starting in 2010-11, a total of \$83.5 million has been allocated to support the Endowed Chairs Program. To-date, pledges have been committed for 28 endowed chairs (of a possible 45 to 50). The Vice-President External is in discussions with donors at various stages for an additional 14 chairs (ranging from \$3 million to \$5 million per chair) – which, if successful, will bring the total to 42 chairs. In addition, a further 34 chairs have been identified by Deans as high priorities. Given the success of this program, and in order to continue with the Endowed Chairs Matching Program, it is recommended that a sum of \$12 million in one-time funding be allocated in 2019-20 to support this high priority.

The incremental \$12 million being proposed in this budget will be targeted towards sustained funding for the Western Research Chairs (WRC) Program – which was introduced in 2013-14 with one-time funding. Our objective is to continue the WRC program as a permanent program with ongoing funding – either through the creation of endowments through the matching chairs approach or future base funding from the Operating Budget.

As noted above, building our endowments is a high priority for the University – and is a central component of our fundraising efforts. Endowments provide the University with substantial additional resources (incremental to the funds in the Operating Budget) in the form of on-going or base resources – to support faculty positions (chairs, professorships, and fellowships), research initiatives, student aid, and other priority needs. Figure D shows the total value of Western’s endowments since 2006-07 and Figure E shows the funding that was available for spending from the endowments in 2017-18 by major category (totaling \$23.2 million).





## 2. Long-Range Space Plan

The University's space/facilities requirements to support our academic priorities are reviewed as part of our integrated approach to planning – and the updated Long-Range Space Plan is summarized in Table 14.

Category 1 of Table 14 lists the projects that are currently under way or soon-to-start and category 2 lists projects that are in various planning stages. In this budget, one-time funding (\$12 million) is being recommended to support two priority projects:

- a. A sum of \$5 million for the creation of Indigenous Learning Spaces across campus – which includes indoor/outdoor teaching and learning facilities, ceremonial space, multi-functional/gathering spaces, studio facilities, and offices; and
- b. The remaining \$7 million to move forward with the next stage of the multi-phased Medical School Facilities Project – which includes renewal, replacement, and expansion components.

As part of this budget document, we seek approval in principle from the Board of Governors to proceed with planning for the above projects – with the understanding that these projects and the detailed funding plans will be brought forward on an individual basis for formal Board-approval.

## 3. Efficiency and Innovation Fund

In order to support the Faculties and Support Units in adapting to lower budgets, the Efficiency and Innovation Fund is being established in 2019-20 – at a value of \$10 million in one-time funding. Deans and Unit Heads can submit proposals to support major initiatives aimed at revenue generation, increased efficiencies, and cost reduction initiatives. The high-level parameters for the fund/program are:

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- The one-time-only fund will support major initiatives that lead to significant revenue generation and/or cost reductions.
  - Examples of Revenue Generation Initiatives include new program development that results in incremental enrolments, targeted initiatives (such as 2+2 programs or joint/dual degree programs) that lead to incremental international enrolments, and partnerships with the private sector that could reduce costs or generate revenues.
  - Examples of modernization, realignment, and cost reduction initiatives include leveraging new technology to support educational innovation and/or administrative/operational efficiencies, realignment of services both within units and through pan-university collaboration to reduce/eliminate duplication and costs, and technology-enabled/supported delivery of courses.
  - The outcome of each initiative must have substantial financial benefits to the unit(s) and must assist the unit in achieving structurally stable/balanced budgets in the long-run. The outcome/benefits must be clearly measurable.
  - Funding requests could be a maximum of \$500,000 one-time – to be spent over the 2-year period 2019-20 and 2020-21 (i.e. by April 30, 2021). The level of funding requested should have a direct relationship to the financial outcomes/benefits.
  - Proposals that involve collaborative multi-unit initiatives and/or matched with unit carryforward funds will be viewed favourably. It is recognized that carryforward levels vary substantially among the units.
  - Initial proposals should be brief (maximum 3 pages) – and clearly describe the one-time funding plan and the expected financial and operational benefits. If a proposal is chosen for funding support, additional information – including a business plan and a project management structure – may be required.
  - Proposals can be submitted up to August 31, 2019 – i.e. prior to the start of next year’s planning process.
  - The Provost will respond within 20 working days from the proposal submission date.

*There will be a separate call for proposals – later in 2019 – for online program development that leads to revenue generation and/or cost reductions.*

While the current fiscal environment places financial pressures on all aspects of the University’s operations, we believe Western is well-positioned to take this period as an opportunity to review our processes and activities to become more effective and efficient in supporting our academic mission.

#### **4. Strategic Expansion of Engineering**

The multi-year strategic expansion of Engineering continues with the allocation of additional resources in 2019-20, as follows:

- A sum of \$950,000 in base funding to the Engineering Budget.
- A transfer of \$8 million one-time to the Capital Budget to finance Engineering facilities expansion and renewal.

#### **5. Innovation & Collaboration Hub for Advanced X-Ray Imaging and Intervention Suite**

A sum of \$3 million in one-time funding is being recommended to support the “First in Canada” Innovation & Collaboration Hub for Advanced X-Ray Imaging and Interventional Suite – specifically to cover the costs of renovations to create the necessary facilities. The facility – which will strengthen Western’s place as a leader in imaging research within Canada and Internationally – is being located

at the Roberts Research Institute and is being supported by over \$8 million in private sector investments.

#### **6. The Postdoctoral Fellowship Program**

A Postdoctoral Fellowship Program – aimed at attracting top-tier postdoctoral talent – was introduced as a pilot last year with a one-time allocation of \$226,000 and the commitment to double the funding in 2019-20. In this budget, a sum of \$452,000 in one-time funding is being recommended. The program will be reviewed at the end of 2019-20. Details of the program can be found at:

[https://www.uwo.ca/research/funding/students/postdoctoral\\_fellowship\\_program.html](https://www.uwo.ca/research/funding/students/postdoctoral_fellowship_program.html)

#### **7. Entrepreneurship Initiatives**

Our Strategic Plan – *Achieving Excellence on the World Stage* – makes a clear commitment to strengthen the entrepreneurship eco-system on campus, and it is noted that regardless of the program of study, all students should graduate having explored and acquired leadership and entrepreneurship skills. In order to better coordinate and integrate the various activities into an overall Entrepreneurship Ecosystem at Western, a sum of \$1 million in one-time funding was allocated in the 2016-17 University budget – to be spent over a 3-year period. It is recommended that the funding be made permanent in 2019-20 – with an allocation of \$400,000 in base funding, through the Student Experience Portfolio. For information, we are also in the planning stages of creating new space/facilities for Entrepreneurship at Western.



## **D. Two-Year Operating Budget Summary**

We seek approval of the 2019-20 Budget as outlined in this document. The recommendations in this document have been guided by projections of operating revenues and expenditures for the upcoming 2-year planning period. These projections respect the requirement of an operating reserve at the Board-mandated minimum level of \$7.5 million at the end of the 2-year cycle. Table 1 summarizes our current forecast for 2019-20 – and the major underlying assumptions are as follows:

### **Revenues**

- Provincial government grant funding remains constant for the next two years – consistent with the new corridor funding system.
- The \$750 international student recovery by the Province (which is applied as a base grant reduction) continues in this 2-year planning period.
- All other government grants continue under the current arrangements/levels.
- As required by the Province, domestic tuition rates have been reduced by 10% in 2019-20 and held constant in 2020-21. International tuition rates are de-regulated, and our proposed rate increases are shown in Tables 11 and 12.
- Enrolment projections and plans (shown in Table 13) underlying the tuition revenue projections will be achieved.

### **Expenditures**

- Faculty and Support Unit Budgets have been reduced by an additional 2.5% in each of 2019-20 and 2020-21 – on top of the 3% Annual Inflationary Budget Adjustment (IBA).
- Enrolment-related revenue sharing allocations to the Faculties continues during this 2-year planning period, and the projections are shown in Table 4a.
- Increases in non-salary costs for major University-wide budget items (e.g. utilities, insurance, and I.T. infrastructure) will be consistent with recent trends and/or known cost escalations.
- We need to set aside the necessary funds to cover the operating costs of incremental space in our new facilities. It should be noted that the Faculties are responsible for covering 50% of the operating costs of incremental space.

### **Net Position and the Operating Reserve**

- As can be seen in line 32 of Table 1, the Operating Reserve is projected to be at \$87.4 million at the end of the current year (i.e. 2018-19). The reserve is projected to be \$57.4 million at the end of the upcoming 2-year planning period (i.e. 2020-21) – above the current Board-mandated minimum level of \$7.5 million. ***It is recommended that the minimum reserve level be maintained at \$7.5 million for the upcoming 2-year planning period.***

## **E. Summary of the 2019-20 Operating Budget**

Table 2 summarizes the 2019-20 Operating Budget – including total revenues, expenditures by area, net position for the year, and the projected operating reserve.

Line 5: Total operating revenues are projected to be \$778.2 million in 2019-20 – a decrease of 2.2% over 2018-19. Details of the operating revenues are shown in Table 3.

Line 13: Total expenditures are projected to be \$792.2 million in 2019-20 – an increase of 0.3% over 2018-19. Details of the expenditures (by area) are shown in Tables 4 through 8.

Line 14: The in-year net position is projected to be a surplus of \$6 million in 2018-19 and a deficit of \$14.0 million in 2019-20.

Line 17: The Operating Reserve is forecast to be \$87.4 million at the end of 2018-19 and \$73.4 million at the end of 2019-20.

**Table 1**  
**TWO-YEAR OPERATING BUDGET OUTLOOK (\$M)**

		2018-19	2019-20	2020-21
1	<b>REVENUES</b>			
2	<b>Government Grants</b>			
3	Provincial: Core Operating Grant (enrolment-based)	241.7	241.7	241.7
4	Provincial: Differentiation Envelope	22.0	22.0	22.0
5	Provincial: Special Purpose Grants	19.9	18.5	18.4
6	Federal: Research Support Fund (FRSF)	11.1	11.2	11.4
7	<b>Total</b>	<b>294.6</b>	<b>293.4</b>	<b>293.4</b>
8	<b>Tuition Revenue</b>	<b>406.0</b>	<b>388.3</b>	<b>402.4</b>
9	<b>All Other Revenues</b>			
10	Canada Research Chairs (CRCs)	6.7	5.8	5.8
11	Recoverable Salaries	26.4	26.4	26.4
12	All Other	62.2	64.3	65.5
13	<b>Total</b>	<b>95.3</b>	<b>96.5</b>	<b>97.7</b>
14	<b>Total Revenues</b>	<b>795.9</b>	<b>778.2</b>	<b>793.5</b>
15	<b>EXPENDITURES</b>			
16	<b>Faculties</b>			
17	Base Budgets	427.8	413.5	399.8
18	Revenue Sharing Allocations	0.0	5.5	8.6
19	Canada Research Chairs (CRCs)	5.8	5.0	5.0
20	All Other	49.7	51.3	51.2
21	<b>Total</b>	<b>483.3</b>	<b>475.3</b>	<b>464.6</b>
22	<b>Scholarships and Bursaries</b>	<b>34.3</b>	<b>32.7</b>	<b>33.7</b>
23	<b>Support Areas</b>	<b>104.6</b>	<b>101.5</b>	<b>98.2</b>
24	<b>University-wide Expenditures</b>	<b>76.2</b>	<b>80.0</b>	<b>87.9</b>
25	<b>Provision for Cost Fluctuations</b>	<b>2.5</b>	<b>42.8</b>	<b>38.1</b>
26	<b>One-Time Allocations</b>	<b>89.1</b>	<b>59.9</b>	<b>87.1</b>
27	<b>Total Expenditures</b>	<b>790.0</b>	<b>792.2</b>	<b>809.6</b>
28	<b>REVENUES minus EXPENDITURES</b>	<b>5.9</b>	<b>-14.0</b>	<b>-16.1</b>
29	<b>OPERATING RESERVE</b>			
30	Beginning Operating Reserve	81.5	87.4	73.4
31	Surplus / (Deficit) -- from Line 28 above	5.9	-14.0	-16.1
32	<b>Ending Operating Reserve</b>	<b>87.4</b>	<b>73.4</b>	<b>57.4</b>

**Table 2**  
**SUMMARY OF OPERATING BUDGET: 2019-20**

	<a>	<b>	<c>
	2018-19 Budget (@Feb 28, 2019)	2019-20 Budget	\$ Change from 2018-19
1	<b>Operating Revenues (Table 3)</b>		
2	294,586,778	293,397,085	-1,189,693
3	405,969,193	388,255,730	-17,713,463
4	95,343,337	96,563,777	1,220,440
5	<b>795,899,308</b>	<b>778,216,592</b>	<b>-17,682,716</b>
6	<b>Expenditure Budgets</b>		
7	483,271,250	475,291,530	-7,979,720
8	34,320,520	32,669,856	-1,650,664
9	104,592,891	101,536,755	-3,056,136
10	76,184,649	80,027,519	3,842,870
11	2,450,000	42,786,381	40,336,381
12	89,114,930	59,924,179	-29,190,751
13	<b>789,934,240</b>	<b>792,236,220</b>	<b>2,301,980</b>
14	<b>5,965,068</b>	<b>-14,019,628</b>	
15	<b>81,476,641</b>	<b>87,441,709</b>	
16	5,965,068	-14,019,628	
17	<b>87,441,709</b>	<b>73,422,081</b>	
18	<b>7,500,000</b>	<b>7,500,000</b>	

## **F. Details of the 2019-20 Operating Revenue Forecasts (Table 3)**

### **Government Grants**

As a result of the Provincial Government's funding formula which was introduced in 2017-18, the overall level of grant funding will remain constant in 2019-20. Consistent with the new funding formula structure, provincial grants are presented in three categories: the Core Operating Grant (enrolment based), the Differentiation Envelope, and Special Purpose Grants which are targeted towards specific provincial priorities.

### **Tuition Fees**

The recommended tuition fee rates for 2019-20 are summarized in Tables 11 and 12. Tuition revenue projections are a function of tuition rates and the enrolment forecasts shown in Table 13.

### **Domestic Students**

Our recommendations for domestic student tuition fees follow the provincial government directive to reduce rates by 10% in 2019-20.

### **International Students**

The last nine University budgets highlighted the fact that Western's international undergraduate student tuition rates were below the average of our peer research-intensive institutions in Ontario – and it was noted that, looking forward, our recommendations for these tuition fees will seek to move Western's tuition rates to the level of our peers. The recommendations for international undergraduate tuition fees continue on the path to narrowing the gap with our peer institutions.

Starting with the fall term of 2018, tuition rates for international Ph.D. students are set at the same rate as domestic students.

### **All Other Revenues**

A number of other sources contribute to the University's Operating Budget. Major items to note are the Canada Research Chairs (CRCs), Transfer from the Affiliated University Colleges, Fundraising associated with Student Financial Aid, Royalties and Licences, and Contributions from Ancillaries and Other Self-funded Operations.

- Table 9 summarizes the distribution of currently-occupied CRCs at Western. Our current allocation is a total of 66 CRCs. The occupancy of the Chairs turns over dynamically as terms end and begin. There are currently 37 occupied Chairs continuing into 2019-20, 9 nominations currently under consideration by the CRC program for new occupancy in 2019-20, and 10 vacancies in various stages of the search and nomination process – with additional searches planned to fill 6 current and 4 pending vacancies in 2019-20. The upcoming search will be conducted as a Special Program aimed at improving our representation of equity-seeking groups, by attracting applications from the four Federally Designated Groups. The net effect of the transition in CRC occupancy is that, in 2019-20, we are projecting \$5.8 million for 37 chairs with current incumbents.

- The Transfer from the Affiliated University Colleges represents payments for services and teaching provided to their students. The transfer rate for 2019-20 is at the steady-state level of 12% of the Colleges' grant and tuition revenue, and the decline in the dollar value of the transfer reflects the reduction in projected domestic tuition revenue.
- Fundraising for needs-based Student Awards continues to be of high priority to the University. In 2019-20, we project a sum of \$7.9 million from this source.
- The revenue from Royalties and Licences includes patents/licences associated with the Robarts Research Institute.
- Western's self-funded operations and ancillary units generate substantial revenue for the University Operating Budget by way of recoveries associated with facilities costs and services provided by the University. The category also includes the payment from the Ivey Business School to the University for services provided by the University to Ivey – a component within the funding model for the Ivey School that was introduced in 2004-05.

## G. Details of the 2019-20 Expenditure Recommendations

### 1. Faculty Budget Recommendations

Table 4 shows the 2019-20 **base budget recommendations** for Western's Faculties. Final 2019-20 base budgets are the net result of the following:

- Starting base budgets;
- The Inflationary Budget Adjustments (IBA) established as part of the multi-year budget plan;
- Additional 2.5% base budget reductions;
- Faculty Turnover Recovery, which returns the greater of \$90,000 or 60% of the retiring or departing member's salary to the Faculty budget;
- Academic Priorities Fund (APF) allocations;
- Targeted government program expansion funding; and
- Funds associated with CRC positions (detailed in Table 9).

The **Inflationary Budget Adjustment (IBA)** – which reduces the base budget by 3% – is applied annually. This adjustment is required to help fund inflationary costs, which are primarily the annual employee salary increases as negotiated through collective bargaining agreements.

As an outcome of the 2016-17 planning cycle, in order to provide Faculties with resources to support faculty renewal, the **Faculty Turnover Recovery Program** was temporarily suspended. Turnover recovery was waived for all tenured/probationary faculty retirements (or exits of faculty members at age 55 or higher) signed between February 1, 2016 and June 30, 2019 – where the faculty member leaves the University by July 1, 2019. Turnover recovery associated with all previous exits – which are currently built into the Faculties' budget plans – will be applied as planned.

The **Academic Priorities Fund (APF)** shown in line 18 of Table 4 was established in 2011-12. The Provost's APF recommendations are in direct response to requests from the Faculties – and are made in the context of the following considerations:

- The Faculty's overall resource situation relative to enrolments/teaching
- Plans for program expansion and/or development of new graduate and undergraduate programs
- Projected revenue sharing allocations
- Resources relative to similar programs/Faculties
- Cost structure variations among disciplines/Faculties
- Relationship between resources, enrolments, and faculty/staff complements
- Scholarship/research activities and new initiatives, including interdisciplinary or cross-Faculty initiatives
- Previous investments made in the last 4-year planning cycle

The **Faculty-specific APF base recommendations** for 2019-20 (shown in column <d> of Table 4) are:

- \$150,000 to the Faculty of Arts & Humanities in support of Faculty priorities;
- \$45,000 to the Faculty of Education to support various Indigenous Student Initiatives;
- \$150,000 to the Faculty of Health Sciences in support of Faculty priorities;
- \$150,000 to the Faculty of Information & Media Studies in support of Faculty priorities;
- \$52,000 to the Schulich School of Medicine & Dentistry as partial support for a staff position in the area of Mental Health Counselling;

- \$210,000 to the Don Wright Faculty of Music in support of Experiential Learning Initiatives and general support for Faculty priorities;
- \$150,000 to the Faculty of Science in support of Faculty priorities;
- \$150,000 to the Faculty of Social Science in support of Faculty priorities.

As noted earlier (in section C.5.), the multi-year **strategic expansion of Engineering** will flow a sum of \$950,000 in base funding to the Engineering budget (Table 4, line 4, column e).

The **funding model for the Ivey Business School** – introduced in 2004-05 – flows all tuition fees and government grants deriving from the School’s enrolments directly to Ivey. Under this funding model, the Ivey School does not participate in the University’s other funding programs such as the APF or the Research Infrastructure Support Fund (RISF), and the School is responsible for all cost increases – including annual employee salary increases. The School also makes an annual payment to the central budget reflecting the cost of the services provided to the School by the University.

Over and above the base budget allocations, the Faculties receive substantial additional on-going funds through the **enrolment-related revenue sharing mechanism** that was implemented in 2011-12. A proportion of tuition revenue deriving from incremental enrolments flows to the Faculties, as follows:

- 40% on direct-entry undergraduate enrolments/teaching
- 40% on second-entry (or professional) undergraduate enrolments
- 50% on graduate enrolments

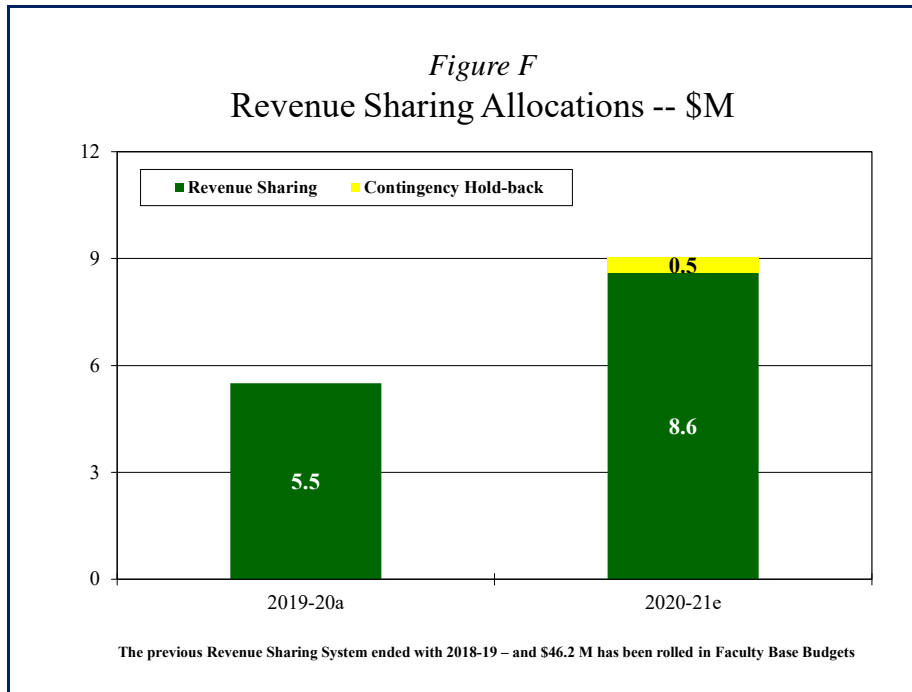
The Faculty-specific breakdown of the projected \$5.5 million in revenue sharing allocations is shown in Table 4a. This amount is on top of a total of \$46.2 million that was rolled into Faculty base budgets in 2018-19.

The Faculties also receive additional budgetary support through:

- **One-time operating budget allocations** (totaling \$9 million) – which are detailed in Table 8 (lines 8 to 18);
- **The Research Infrastructure Support Fund (RISF)** allocations shown in Table 10; and
- Support for **Faculty-specific capital projects** through the University’s Capital Budget.

For information, a consolidated summary of the Provost’s allocation recommendations for the Faculties (from the various sources described above) is presented in Figure G. These recommendations are for the 2019-20 Budget – and, given our multi-year planning approach, should be considered in the context of resource allocations made in previous years.





*Figure G*  
**SUMMARY OF 2019-20 ALLOCATION RECOMMENDATIONS FOR THE FACULTIES**

		Base Allocations	One-Time Allocations	Capital Allocations
1	Arts & Humanities	150,000	319,136	351,000
2	Education	45,000	50,000	
3	Engineering	950,303	125,000	119,000
4	Health Sciences	150,000	150,000	450,000
5	Information & Media Studies	150,000	100,000	89,600
6	Law		20,000	
7	Medicine & Dentistry	52,000	65,000	419,500
8	Music	210,000	98,200	69,000
9	Science	150,000		60,000
10	Social Science	150,000	150,000	1,000,000
11	<b>Total</b>	<b>2,007,303</b>	<b>1,077,336</b>	<b>2,558,100</b>

*Note: These recommendations are for the 2019-20 budget -- and, given our multi-year planning approach, should be considered in the context of resource allocations made in previous years.*

## 2. Scholarships and Bursaries

Base budget allocations for centrally-funded student support are shown in Table 5. Overall student support funding is projected to be \$32.7 million in 2019-20.

- Fundraising for undergraduate and graduate student needs-based awards continues to be of high priority to the University. In 2019-20, we project a sum of \$7.9 million from this source.
- As the footnote in Table 5 indicates, graduate student funding is now addressed through the Faculty budgets – and the Faculty Plans estimate a total of \$54 million in 2019-20 for this high priority item.

## 3. Support Unit Budget Recommendations

Table 6 shows the 2019-20 base budget recommendations for Support Units. Final 2019-20 base budgets are the net result of the following:

- Starting base budgets;
- The Inflationary Budget Adjustments (IBA) established as part of the multi-year budget plan;
- Additional 2.5% base budget reductions;
- Support Units Priorities Fund (SUPF) allocations; and
- Other strategic base allocations in support of: maintaining core services and the operating costs of new facilities.

The **Support Unit Priorities Fund (SUPF)** was established in 2011-12, and the unit-specific base allocations for 2019-20 (shown in column <c> of Table 6) are:

- \$100,000 to the Registrar's Office in support of additional staffing in the areas of international student recruitment and student pathways coordination;
- \$100,000 to Western International in support of student recruitment initiatives;
- \$80,000 to Western Technology Services for additional Cyber Security staffing;
- \$152,000 to Police Services to maintain staffing levels and services;
- \$100,000 to Financial Services to sustain operations;
- \$26,513 to Internal Audit to sustain operations;
- \$23,200 to Legal Services to sustain operations;
- \$50,000 to Facilities Management to sustain operations;
- \$100,000 to the Vice-President (Research) Portfolio in support of service enhancement;
- \$150,000 to the Vice-President (External) Portfolio in support of our fundraising campaign;
- \$85,000 to Human Resources to maintain service levels;
- \$60,000 to the Office of the President to maintain staffing levels.

The Provost and the Vice-President (Operations & Finance) are also carrying forward a portion of the SUPF resources associated with their units for allocation in the future. These are shown in lines 13 and 21 of Table 6.

The Support Units receive nearly \$1 million in additional base allocations (column d, Table 6) to **maintain core services** and the following targeted special allocations:

- \$400,000 to the Student Experience Portfolio in support of Student Entrepreneurship Initiatives;

- \$71,331 to Facilities Management to cover the incremental operating costs associated with new facilities.

Similar to the Faculties, the Support Units also receive additional budgetary support through:

- **One-time operating budget allocations** (\$5 million) – which are detailed in Table 8 (lines 20 through 34); and
- Support for **Unit-specific capital projects** through the University’s Capital Budget.

#### 4. University-wide Expenditures

Table 7 summarizes University-wide Expenditures – expenses that extend across all areas of the University.

- The increase in the University’s physical plant **Utilities** is the net result of projected rate increases, utilization patterns, anticipated savings resulting from the implementation of energy efficiency initiatives, and the opening of new buildings.
- The **Library Acquisitions Budget** continues to be a high priority – and is being maintained at the current level of \$15.4 million.
- The **Maintenance, Modernization, and Infrastructure (MMI)** transfer to the Capital Budget is being maintained at the Board-approved level of \$15.5 million. The status of this budget will be reviewed by the Board of Governors as part of the 2020-21 budget process.
- The **FRSF Transfer to Capital** continues at the \$3 million level – and these funds are used to support major projects in our Long-range Space Plan that involve research facilities.
- The **Information Technology Infrastructure Fund (ITIF)** supports rapidly-expanding University-wide central IT infrastructure – including our networks, wireless technologies, internet bandwidth, IT security infrastructure, general university computer labs, instructional support and eLearning software applications, central university databases, the hardware necessary to run the applications and databases, and maintenance costs associated with all the hardware and software.
- **Contingency** is being set at approximately \$2 million – 0.25% of Operating Revenues, as in previous years.
- Western attracts outstanding students. Their recruitment, within an increasingly competitive environment, continues to be of high priority to the University – and the **Student Recruitment** base budget supports a wide array of recruitment activities, including out-of-province student recruitment initiatives.

#### 5. One-Time Recommendations

The Faculties and Support Units will receive substantial one-time funding in 2019-20. The specific one-time recommendations are summarized in Table 8 – and include unit-specific items as well as allocations for University-wide initiatives.

- As described earlier in Section C of this document, the following high priority university-wide initiatives are recommended for support in the 2019-20 budget – with one-time allocations:
  - **Growing our Endowment – The Endowed Chairs Matching Program:** \$12 million.
  - **Support for our Long-Range Space Plan** – \$12 million – for the creation of Indigenous Spaces and initiation of future phases of the Medical Facilities Project.
  - **Efficiency and Innovation Fund:** \$10 million
  - Multi-year **Financing of Engineering Facilities Expansion/Renewal:** \$8 million
  - **Innovation & Collaboration Hub for Advanced X-Ray Imaging and Intervention Suite:** \$3 million
  - **Modernization of Instructional Facilities:** \$1 million

**Table 3**  
**2019-20 OPERATING REVENUES**

		2018-19 Budget Forecast (@Feb 28, 2019) (1)	2019-20 Budget (2)	Increase / (Decrease) Amount (3)	% Change (2) to (1)
1	<b>Government Grants</b>				
2	Provincial: Core Operating Grant (Enrolment-Based)	241,671,833	241,671,833	0	0.0%
3	Provincial: Differentiation Envelope	21,984,916	21,984,916	0	0.0%
4	Provincial: Special Purpose Grants	19,862,489	18,550,498	-1,311,991	-6.6%
5	Federal Research Support Fund (FRSF)	11,067,540	11,189,838	122,298	1.1%
6	<b>Sub-Total Government Grants</b>	<b>294,586,778</b>	<b>293,397,085</b>	<b>-1,189,693</b>	<b>-0.4%</b>
7	<b>Tuition Revenue</b>				
8	Undergraduate	267,940,000	251,844,331	-16,095,669	-6.0%
9	Graduate	62,500,000	55,794,022	-6,705,978	-10.7%
10	<i>Sub-Total General Programs</i>	<i>330,440,000</i>	<i>307,638,353</i>	<i>-22,801,647</i>	<i>-6.9%</i>
11	Ivey Programs (HBA, MBAs, MSc, PhD)	64,408,105	67,856,741	3,448,636	5.4%
12	International Medical and Dental Students	10,296,088	11,935,636	1,639,548	15.9%
13	<i>Sub-Total Other Programs</i>	<i>74,704,193</i>	<i>79,792,377</i>	<i>5,088,184</i>	<i>6.8%</i>
14	Miscellaneous Fees	825,000	825,000	0	0.0%
15	<b>Sub-Total Tuition Revenue</b>	<b>405,969,193</b>	<b>388,255,730</b>	<b>-17,713,463</b>	<b>-4.4%</b>
16	<b>Other Revenues</b>				
17	Canada Research Chairs (CRCs)	6,700,000	5,800,000	-900,000	-13.4%
18	Transfer from Affiliated University Colleges	9,410,241	8,914,608	-495,633	-5.3%
19	Recoverable Salaries	26,414,000	26,414,000	0	0.0%
20	Fundraising -- Need-based Student Awards and Bursaries	7,900,000	7,900,000	0	0.0%
21	Application Fees	1,780,258	1,780,258	0	0.0%
22	Research Overheads	2,300,000	2,300,000	0	0.0%
23	Royalties and Licences	3,350,000	3,350,000	0	0.0%
24	Scholarship/Research Initiatives in the SSHRC Disciplines	273,113	325,155	52,042	19.1%
25	Contributions from Self-Funded & Ancillary Operations	34,568,600	37,170,500	2,601,900	7.5%
26	Miscellaneous Revenues	2,647,125	2,609,256	-37,869	-1.4%
27	<b>Sub-Total Other Revenues</b>	<b>95,343,337</b>	<b>96,563,777</b>	<b>1,220,440</b>	<b>1.3%</b>
28	<b>Total Revenues</b>	<b>795,899,308</b>	<b>778,216,592</b>	<b>-17,682,716</b>	<b>-2.2%</b>

**Table 4  
FACULTIES**

	<a>	<b>	<c>	<d>	<e>	<f>	<g>
	2018-19 Base Budget (@Feb 28, 2019)	IBA and Add'l 2.5% Reduction	Faculty Turnover Recovery	APF	Other Base Changes	Canada Research Chairs	Resulting 2019-20 Base Budget
1	<b>Faculties</b>						
2	Arts & Humanities	33,603,816	-1,815,209	-103,530	150,000	-170,000	31,665,077
3	Education	18,413,085	-1,061,137	-71,270	45,000		17,325,678
4	Engineering	32,239,989	-1,744,708	-87,001		950,303	31,358,583
5	Health Sciences	34,587,725	-1,883,625	-86,207	150,000		32,767,893
6	Information & Media Studies	10,672,222	-586,973		150,000		10,235,249
7	Law	9,849,522	-541,724				9,307,798
8	Medicine & Dentistry	74,317,824	-3,935,945	-94,429	52,000	-170,000	70,169,450
9	Music	11,022,798	-606,254		210,000		10,626,544
10	Science	64,970,709	-3,511,239	-180,318	150,000	-180,000	61,249,152
11	Social Science	64,250,349	-3,495,269	-128,738	150,000	-90,000	60,686,342
12	<b>Sub-Total Faculties (excluding Business)</b>	<b>353,928,039</b>	<b>-19,182,083</b>	<b>-751,493</b>	<b>1,057,000</b>	<b>-610,000</b>	<b>335,391,766</b>
13	Business	77,467,507			3,413,290	-170,000	80,710,797
14	<b>Sub-Total Faculties</b>	<b>431,395,546</b>	<b>-19,182,083</b>	<b>-751,493</b>	<b>1,057,000</b>	<b>-780,000</b>	<b>416,102,563</b>
15	Revenue Sharing Allocation				5,496,916		5,496,916
16	Research Infrastructure Support Fund (RISF)	750,000					750,000
17	Faculty Recruitment Initiatives	1,473,760					1,473,760
18	Academic Priorities Fund (APF)	7,127,335			193,000		7,320,335
19	<b>Total -- with Revenue Sharing Allocation</b>	<b>440,746,641</b>	<b>-19,182,083</b>	<b>-751,493</b>	<b>1,250,000</b>	<b>-780,000</b>	<b>431,143,574</b>
20	<b>All Other</b>						
21	Western Strategic Success Programs	1,500,000					1,500,000
22	Continuing Studies: Trois-Pistoles	1,156,396			29,969		1,186,365
23	Education: Continuing Education for Teachers	1,354,320			-46,170		1,308,150
24	Medicine & Dentistry: International Tuition and Primary Care	10,645,893			1,639,548		12,285,441
25	Faculty Share of Research Overheads	1,075,000					1,075,000
26	Faculty Scholars & Distinguished University Professors	239,000					239,000
27	Graduate and Undergraduate Program Reviews	140,000					140,000
28	Recoverable Salaries	26,414,000					26,414,000
29	<b>Sub-Total</b>	<b>42,524,609</b>				<b>1,623,347</b>	<b>44,147,956</b>
30	<b>Total Academic Units</b>	<b>483,271,250</b>	<b>-19,182,083</b>	<b>-751,493</b>	<b>1,250,000</b>	<b>-780,000</b>	<b>475,291,530</b>

Note: Funding to cover the costs of negotiated employee salary and benefits increases will be incrementally added to Faculty base budgets (in year, to column g), as the information is available.

**Table 4a**  
**FACULTIES: REVENUE SHARING ALLOCATIONS**

		<b>2019-20</b>	<b>2020-21e</b>
1	Arts & Humanities	0	0
2	Education	1,236,160	1,212,163
3	Engineering	79,233	2,250,608
4	Health Sciences	735,598	771,691
5	Information & Media Studies	421,175	238,919
6	Law	33,666	14,032
7	Medicine & Dentistry	0	0
8	Music	25,726	191,822
9	Science	1,638,091	2,385,541
10	Social Science	1,327,267	1,491,597
11	<b>Sub-Total</b>	<b>5,496,916</b>	<b>8,556,373</b>
12	<b>Contingency Hold-back</b>		<b>456,987</b>
13	<b>Sub-Total</b>	<b>5,496,916</b>	<b>9,013,360</b>

**Table 5**  
**SCHOLARSHIPS and BURSARIES**

		<a>	<b>	<c>
		2018-19 Base Budget (@Feb 28, 2019)	Changes	Resulting 2019-20 Base Budget
1	Undergraduate Scholarships	7,300,000		7,300,000
2	Tuition Re-Investment	16,506,638	-1,650,664	14,855,974
3	Western Bursaries	776,545		776,545
4	Privately-Funded Need-based Awards & Bursaries	7,900,000		7,900,000
5	MAESD Bursaries	514,268		514,268
6	Global Opportunities Awards	200,000		200,000
7	Graduate Bursaries and Fellowships	1,123,069		1,123,069
8	<b>Total Scholarships and Bursaries</b>	<b>34,320,520</b>	<b>-1,650,664</b>	<b>32,669,856</b>

*Graduate student funding is now addressed through the Faculty budgets. In 2018-19, this funding is estimated to be \$55.6 million and the plan for 2019-20 is \$53.9 million.*



**Table 6**  
**SUPPORT AREAS**

	<a>	<b>	<c>	<d>	<e>	
	2018-19 Base Budget (@Feb 28, 2019)	IBA and Add'l 2.5% Reduction	SUPF	Other Base Changes	Resulting 2019-20 Base Budget	
1	<b>Reporting to the Provost</b>					
2	Centre for Teaching & Learning	746,554	-41,061		705,493	
3	Western Technology Services	10,731,922	-590,256	80,000	200,000	10,421,666
4	Libraries	13,607,011	-748,385			12,858,626
5	Registrar's Office	6,172,934	-339,511	100,000	100,000	6,033,423
6	Student Experience Portfolio	2,831,527	-122,787		400,000	3,108,740
7	Office of Vice-Provost (APPF)	1,440,101	-79,206			1,360,895
8	Graduate & Postdoctoral Studies	1,744,699	-95,958			1,648,741
9	Institutional Planning and Budgeting	2,239,316	-123,162			2,116,154
10	Western International	2,757,030	-151,637	100,000		2,705,393
11	McIntosh Gallery - Subsidy	298,294	-7,457			290,837
12	Teaching Fellows Program	500,000	-12,500			487,500
13	Support Unit Priorities Fund (SUPF)			235,000		235,000
14	<b>Sub-Total</b>	<b>43,069,388</b>	<b>-2,311,920</b>	<b>515,000</b>	<b>700,000</b>	<b>41,972,468</b>
15	<b>Reporting to the Vice-President Operations &amp; Finance</b>					
16	Financial Services	5,005,820	-275,321	100,000	70,000	4,900,499
17	Facilities Management	19,348,715	-896,957	50,000	131,331	18,633,089
18	Police	3,007,404	-165,407	152,000		2,993,997
19	Internal Audit	493,987	-27,170	26,513		493,330
20	Legal Services	428,557	-23,571	23,200		428,186
21	Support Unit Priorities Fund (SUPF)	944,000		-11,713		932,287
22	<b>Sub-Total</b>	<b>29,228,483</b>	<b>-1,388,426</b>	<b>340,000</b>	<b>201,331</b>	<b>28,381,388</b>
23	<b>Reporting to the Vice-President Research</b>					
24	Animal Care/Veterinary Services - Subsidy	1,000,000				1,000,000
25	Research Western	5,345,118	-293,982	100,000		5,151,136
26	Research Promotion Fund	579,930				579,930
27	Small Grants Support for Arts/Humanities/Social Sciences	250,000				250,000
28	Scholarship/Research Initiatives in the SSHRC Disciplines	273,113			52,042	325,155
29	Western Innovation Fund	400,000				400,000
30	<b>Sub-Total</b>	<b>7,848,161</b>	<b>-293,982</b>	<b>100,000</b>	<b>52,042</b>	<b>7,706,221</b>
31	<b>Vice-President External Portfolio</b>	<b>12,438,307</b>	<b>-684,106</b>	<b>150,000</b>		<b>11,904,201</b>
32	<b>General Administration</b>					
33	Human Resources	6,886,856	-378,777	85,000	70,000	6,663,079
34	Workplace Health Services	170,813				170,813
35	Offices of the President/Vice-Presidents	4,106,247	-225,843	60,000		3,940,404
36	University Secretariat	844,636	-46,455			798,181
37	<b>Sub-Total</b>	<b>12,008,552</b>	<b>-651,075</b>	<b>145,000</b>	<b>70,000</b>	<b>11,572,477</b>
38	<b>Total Support Areas</b>	<b>104,592,891</b>	<b>-5,329,509</b>	<b>1,250,000</b>	<b>1,023,373</b>	<b>101,536,755</b>

Note: Funding to cover the costs of negotiated employee salary and benefits increases will be incrementally added to Unit base budgets (in year, to column e), as the information is available.

**Table 7**  
**UNIVERSITY-WIDE EXPENDITURES and EMPLOYEE BENEFIT COSTS**

		<a>	<b>	<c>	<d>
		2018-19 Base Budget (@Feb 28, 2019)	New Investment	Other Changes	Resulting 2019-20 Base Budget
1	Utilities	24,450,000		435,785	24,885,785
2	Library Acquisitions	15,415,896			15,415,896
3	Transfer to MMI: Operating	15,500,000			15,500,000
4	Transfer to MMI: Ancillaries	600,000			600,000
5	FRSF Transfer to Capital	3,000,000			3,000,000
6	CRC Transfer to Capital	728,000		-96,000	632,000
7	Information Technology Infrastructure Fund	10,123,230			10,123,230
8	Property Taxes	2,289,150		12,825	2,301,975
9	Insurance	1,899,210		315,437	2,214,647
10	Contingency	1,968,362		-22,830	1,945,532
11	Services for Students with Disabilities	1,469,872			1,469,872
12	Professional Fees	1,282,500		100,000	1,382,500
13	Institutional Memberships	1,150,000			1,150,000
14	Student Recruitment	850,000			850,000
15	Sports and Recreation Services - Subsidy	927,435		-103,350	824,085
16	Convocation and Diplomas	338,000			338,000
17	Governance-Related Costs	177,800			177,800
18	Costs Associated with Employee Contracts	589,000			589,000
19	Ombudsperson	111,188			111,188
20	University Surveys and Teaching Evaluations	75,000			75,000
21	Centre for Research on Violence Against Women and Children - Subsidy	55,000			55,000
22	Museum of Ontario Archaeology - Subsidy	50,000			50,000
23	<b>Total University-wide Expenditures</b>	<b>83,049,643</b>	<b>0</b>	<b>641,867</b>	<b>83,691,510</b>
24	Employee Benefit Plan Costs	115,273,006		4,685,994	119,959,000
25	Employee Benefit Recoveries	-122,138,000		-1,485,000	-123,623,000
26	<b>Net Employee Benefits</b>	<b>-6,864,994</b>		<b>3,200,994</b>	<b>-3,664,000</b>
27	<b>Net University-wide Expenditures</b>	<b>76,184,649</b>	<b>0</b>	<b>3,842,861</b>	<b>80,027,510</b>

**Table 8**  
**2019-20 ONE-TIME ALLOCATIONS**

1	Endowed Chairs Matching Program	12,000,000
2	Long-Range Space Plan	12,000,000
3	Efficiency and Innovation Fund	10,000,000
4	Engineering Facilities Expansion/Renewal: Multi-year Financing Plan	7,953,641
5	Innovation & Collaboration Hub for Advanced X-Ray Imaging and Intervention Suite	3,000,000
6	Modernization of Instructional Facilities	1,000,000
7	<b>Faculties</b>	
8	Arts & Humanities: Undergraduate Recruitment Initiatives (\$75K), and Support to Manage Deficit (\$244K)	319,136
9	Education: Indigenous Graduate Student Initiatives	50,000
10	Engineering: CEAB Administrative Support (\$75K) and Support for Alumni & Development Staff Position (\$50K)	125,000
11	Health Sciences: Targetted Government Funding for Clinical Education (\$842K) and Nurse Practitioner Program (\$580K), and Teaching Equipment & Classroom Upgrades in Elborn College (\$150K)	1,572,412
12	Information & Media Studies: Media Production Equipment & IT Infrastructure (\$100K)	100,000
13	Law: Support for Continuing Professional Development Program (\$20K)	20,000
14	Medicine & Dentistry: Targetted Government Funding for Dental Clinical Education (\$1.2M), MD Expansion (\$2.4M), Roberts Royalties & Licences (\$2.5M), and Indigenous Engagement Coordinator (\$65K)	6,103,879
15	Music: Undergraduate Recruitment Awards (\$45K) and Grants Facilitator Staffing Joint with FIMS and Law (\$53.2K)	98,200
16	Social Science: Post Doctoral Positions in NEST (\$150K)	150,000
17	Provost: Unallocated Academic Priorities Fund	416,800
18	<b>Sub-Total Faculties</b>	<b>8,955,427</b>
19	<b>Support Units</b>	
20	Student Experience: Experiential Learning Initiatives (\$68.3K), Career Services and First Nations Initiatives (\$180K), and University Contribution for Artificial Turf Playing Fields (\$247.1K)	495,350
21	Centre for Teaching & Learning: 360 Degree Initiative for Graduate Students (\$292.5K), and Faculty Mentor Program (\$39K)	331,500
22	Western International: International World's Challenge Challenge	100,000
23	Vice-Provost (APPF): Training and Development Initiatives (\$50K), and Faculty Recruitment/Retention Initiatives (\$20K)	70,000
24	SGPS: Maintain Service and Staffing Levels (\$170.6K), Recruitment and Retention Initiatives (\$107.2), Exchange Program Travel Costs (\$14.6K), and Thesis Writing Boot Camp (\$18.5K)	311,025
25	Libraries: Staffing to Support Weldon Library Revitalization Project	40,000
26	McIntosh Gallery: Indigeneous Exhibitions and Programs	40,000
27	Provost: Unallocated Support Unit Priorities Fund	265,000
28	Police: Sustain Operations (\$80K), and Additional Evening Security (\$100K)	180,000
29	Vice-President (Operations & Finance): Unallocated Support Units Priorities Fund	160,000
30	Human Resources: Support Operational Needs	85,000
31	Office of the President / Vice Presidents: Support Operational Needs	60,000
32	Vice-President (Research): Support for Research/Scholarship Initiatives	2,402,000
33	Vice-President (External): Support for Fundraising Campaign	475,236
34	<b>Sub-Total Support Units</b>	<b>5,015,111</b>
35	<b>Total One-Time Allocations</b>	<b>59,924,179</b>

**Table 9**  
**CANADA RESEARCH CHAIRS -- by FACULTY (Cumulative)**

		2018-19 Final						2019-20 Preliminary					
		Tier 1		Tier 2		Total		Tier 1		Tier 2		Total	
		N	\$	N	\$	N	\$	N	\$	N	\$	N	\$
1	Arts & Humanities	3	510,000	1	90,000	4	600,000	2	340,000	1	90,000	3	430,000
2	Business	2	340,000	0	0	2	340,000	1	170,000	0	0	1	170,000
3	Education												
4	Engineering	4	680,000	3	270,000	7	950,000	4	680,000	3	270,000	7	950,000
5	Health Sciences	2	340,000	0	0	2	340,000	2	340,000	0	0	2	340,000
6	Info & Media Studies												
7	Law												
8	Medicine & Dentistry	7	1,190,000	6	540,000	13	1,730,000	6	1,020,000	6	540,000	12	1,560,000
9	Music												
10	Science	4	680,000	5	450,000	9	1,130,000	4	680,000	3	270,000	7	950,000
11	Social Science	2	340,000	4	360,000	6	700,000	2	340,000	3	270,000	5	610,000
12	Total to Faculties	24	4,080,000	19	1,710,000	43	5,790,000	21	3,570,000	16	1,440,000	37	5,010,000
13	<b>Total CRC Funding</b>		<b>4,800,000</b>		<b>1,900,000</b>		<b>6,700,000</b>		<b>4,200,000</b>		<b>1,600,000</b>		<b>5,800,000</b>

**Table 10**  
**RESEARCH INFRASTRUCTURE SUPPORT FUND (RISF)**  
**2019-20 Allocations**

1	Arts and Humanities	9,000
2	Education	11,000
3	Engineering	125,000
4	Health Sciences	34,000
5	Information & Media Studies	5,000
6	Law	5,000
7	Medicine & Dentistry	328,000
8	Music	5,000
9	Science	158,000
10	Social Science	70,000
11	<b>Total</b>	<b>750,000</b>

**Table 11**  
**2019-20 TUITION FEE PROPOSALS FOR UNDERGRADUATE PROGRAMS**

		Domestic Students			International Students		
		Actual 2018-19 Tuition	2019-20		Actual 2018-19 Tuition	2019-20	
			Proposed Tuition	<a> % Increase		Proposed Tuition	<a> % Increase
1	<b>First-Entry Programs &lt;b&gt;</b>						
2	Year 1	6,723	6,050	-10.0%	28,743	31,042	8.0%
3	Year 2	6,723	6,050	-10.0%	27,678	29,892	4.0%
4	Year 3	6,723	6,050	-10.0%	26,653	28,785	4.0%
5	Year 4	6,723	6,050	-10.0%	25,666	27,719	4.0%
6	Engineering						
7	Year 1	13,661	12,294	-10.0%	36,886	41,312	12.0%
8	Year 2	13,661	12,294	-10.0%	35,519	38,361	4.0%
9	Year 3	13,661	12,294	-10.0%	34,204	36,940	4.0%
10	Year 4	13,661	12,294	-10.0%	32,937	35,572	4.0%
11	M.T.P.						
12	Year 2	7,033	6,329	-10.0%	29,805	32,189	8.0%
13	Year 3	7,033	6,329	-10.0%	28,701	30,997	4.0%
14	Year 4	7,033	6,329	-10.0%	27,638	29,849	4.0%
15	M.O.S.						
16	Year 1	6,723	6,050	-10.0%	34,474	38,611	12.0%
17	Year 2	6,723	6,050	-10.0%	32,012	35,853	4.0%
18	Year 3	6,723	6,050	-10.0%	29,725	33,292	4.0%
19	Year 4	6,723	6,050	-10.0%	27,602	30,914	4.0%
20	Nursing						
21	Year 1	6,723	6,050	-10.0%	36,886	39,836	8.0%
22	Year 2	6,723	6,050	-10.0%	35,519	38,361	4.0%
23	Year 3	6,723	6,050	-10.0%	34,204	36,940	4.0%
24	Year 4	6,723	6,050	-10.0%	32,937	35,572	4.0%
25	<b>Second-Entry Programs</b>						
26	Business (HBA)						
27	Year 1	28,000	25,200	-10.0%	40,000	44,800	12.0%
28	Year 2	28,000	25,200	-10.0%	40,000	42,000	5.0%
29	Dentistry						
30	Year 1	39,268	35,341	-10.0%	82,088	88,655	8.0%
31	Year 2	39,268	35,341	-10.0%	79,048	85,371	4.0%
32	Year 3	39,268	35,341	-10.0%	76,120	82,209	4.0%
33	Year 4	39,268	35,341	-10.0%	73,301	79,165	4.0%
34	Education (B.Ed.)	8,079	7,271	-10.0%	29,411	31,764	8.0%
35	Law						
36	Year 1	22,390	20,151	-10.0%	36,886	39,836	8.0%
37	Year 2	22,390	20,151	-10.0%	35,519	38,361	4.0%
38	Year 3	22,390	20,151	-10.0%	34,204	36,940	4.0%
39	Medicine (M.D.)						
40	Year 1	26,652	23,986	-10.0%	n.a.	n.a.	n.a.
41	Year 2	26,652	23,986	-10.0%	n.a.	n.a.	n.a.
42	Year 3	26,652	23,986	-10.0%	n.a.	n.a.	n.a.
43	Year 4	26,652	23,986	-10.0%	n.a.	n.a.	n.a.

<a> The proposed 2019-20 rates are effective May 1, 2019.

The % increase figures are calculated on the previous year of study in the previous academic year;  
for example, the % increase for year 2 is the increase over the year 1 tuition in the previous academic year.

<b> Includes Arts & Humanities, BMedSc program, Health Sciences, Kinesiology, MIT program, Music, Science,  
Social Science (excl. M.O.S.).

**Table 12**  
**2019-20 TUITION FEE PROPOSALS FOR GRADUATE PROGRAMS**

		Domestic Students			International Students		
		Actual 2018-19 Tuition	2019-20		Actual 2018-19 Tuition	2019-20	
			Proposed Tuition	<a> % Increase		Proposed Tuition	<a> % Increase
1	<b>Masters Category 1</b>						
2	Arts & Humanities	7,067	6,360	-10.0%	17,889	18,247	2.0%
3	Engineering (M.E.Sc.)	7,067	6,360	-10.0%	17,889	18,247	2.0%
4	Health & Rehabilitation Sciences	7,067	6,360	-10.0%	17,889	18,247	2.0%
5	Health Information Sciences	9,627	8,664	-10.0%	25,245	25,750	2.0%
6	Interdisciplinary Programs <b>	7,067	6,360	-10.0%	17,889	18,247	2.0%
7	Kinesiology	7,067	6,360	-10.0%	17,889	18,247	2.0%
8	Law/Studies in Law	11,521	10,368	-10.0%	26,749	26,749	0.0%
9	Media Studies	7,067	6,360	-10.0%	17,889	18,247	2.0%
10	Medicine (Basic Health Sciences)	7,067	6,360	-10.0%	17,889	18,247	2.0%
11	Music	7,067	6,360	-10.0%	17,889	18,247	2.0%
12	Nursing (M.Sc.)	8,488	7,639	-10.0%	25,245	25,750	2.0%
13	Science	7,067	6,360	-10.0%	17,889	18,247	2.0%
14	Social Science	7,067	6,360	-10.0%	17,889	18,247	2.0%
15	<b>Masters Category 2</b>						
16	C.S.D./O.T./P.T. (MPT)	12,549	11,294	-10.0%	30,167	31,675	5.0%
17	Dentistry (Orthodontics)	30,415	27,373	-10.0%	70,611	74,142	5.0%
18	Education (M.Ed)	12,086	10,877	-10.0%	30,167	31,675	5.0%
19	Engineering (M.Eng.)	12,086	10,877	-10.0%	30,167	34,692	15.0%
20	Environment & Sustainability	13,533	12,179	-10.0%	30,167	31,675	5.0%
21	Financial Economics	33,944	30,549	-10.0%	51,107	53,662	5.0%
22	Library & Information Science	12,086	10,877	-10.0%	30,167	31,675	5.0%
23	M.M. in Journalism & Communication	15,048	13,543	-10.0%	30,167	31,675	5.0%
24	M.N Nurse Practitioner	12,086	10,877	-10.0%	30,167	31,675	5.0%
25	Master of Data Analytics	25,898	23,308	-10.0%	44,597	46,827	5.0%
26	Master of Mgmt. of Applied Science	21,878	19,690	-10.0%	44,597	46,827	5.0%
27	Medicine (Family Medicine)	15,914	14,322	-10.0%	30,167	31,675	5.0%
28	Medicine (Pathology Assistant)	12,551	11,295	-10.0%	30,167	31,675	5.0%
29	Medicine (Public Health)	34,457	32,734	-5.0%	55,149	57,906	5.0%
30	Physical Therapy (M.Cl.Sc.)	12,086	10,877	-10.0%	30,167	31,675	5.0%
31	<b>Doctoral</b>						
32	Doctor of Musical Arts	7,067	6,360	-10.0%	7,067	6,360	-10.0%
33	Doctor of Education (EdD)	11,219	10,097	-10.0%	30,167	31,675	5.0%
34	PhD Programs	7,067	6,360	-10.0%	7,067	6,360	-10.0%

<a> The proposed 2019-20 rates are effective September 1, 2019.

<b> Includes Biomedical Engineering, Neuroscience, Theory & Criticism, and Popular Music & Culture

**Table 13**  
**SUMMARY OF ENROLMENT FORECAST**

	Actual					Forecast				
	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24
<b>1 Constituent University</b>										
<b>2 Full-Time Undergraduates</b>										
3 Arts & Humanities	1,121	1,027	979	985	938	905	935	959	966	969
4 Business (HBA)	1,100	1,093	1,120	1,109	1,085	1,130	1,130	1,130	1,130	1,130
5 Dentistry	262	265	265	263	262	264	264	264	264	264
6 Education	657	286	653	747	716	668	668	668	668	668
7 Engineering	1,546	1,761	1,951	1,981	2,032	2,060	2,117	2,243	2,261	2,262
8 Health Sciences										
9 BHSc Program	1,163	1,179	1,199	1,189	1,227	1,233	1,281	1,278	1,277	1,294
10 Kinesiology	1,240	1,204	1,247	1,231	1,215	1,212	1,183	1,152	1,153	1,155
11 Nursing	835	868	903	960	969	969	966	957	957	957
12 Sub-Total	3,238	3,251	3,349	3,380	3,411	3,414	3,430	3,388	3,387	3,406
13 Law	486	474	482	478	480	468	468	468	468	468
14 Media, Information, & Tech	924	983	966	898	916	862	865	848	848	854
15 Medicine										
16 MD Program	683	684	682	699	685	684	684	684	684	684
17 BMedSci Program	892	881	928	1,021	1,000	1,000	970	970	970	970
18 Music	457	432	417	412	414	437	457	466	469	469
19 Science	4,606	4,679	4,826	4,948	5,143	5,190	5,256	5,282	5,281	5,296
20 Social Science	6,601	6,482	6,520	6,501	6,497	6,424	6,457	6,532	6,601	6,672
21 <b>Total Full-Time Undergraduates</b>	<b>22,573</b>	<b>22,298</b>	<b>23,138</b>	<b>23,422</b>	<b>23,579</b>	<b>23,505</b>	<b>23,700</b>	<b>23,902</b>	<b>23,997</b>	<b>24,112</b>
22 Concurrent Programs	200	255	233	231	247	250	250	250	250	250
23 Medical Residents	913	923	942	934	956	955	955	955	955	955
24 <b>Full-Time Graduates</b>										
25 Masters	3,146	3,276	3,431	3,750	3,734	3,869	3,971	4,009	4,044	4,084
26 Ph.D.	2,075	2,088	2,083	2,185	2,177	2,197	2,232	2,265	2,300	2,325
27 <b>Total Full-Time Graduates</b>	<b>5,221</b>	<b>5,364</b>	<b>5,514</b>	<b>5,935</b>	<b>5,911</b>	<b>6,066</b>	<b>6,203</b>	<b>6,274</b>	<b>6,344</b>	<b>6,409</b>
28 <b>Total Full-Time Enrolment</b>	<b>28,907</b>	<b>28,840</b>	<b>29,827</b>	<b>30,522</b>	<b>30,693</b>	<b>30,776</b>	<b>31,108</b>	<b>31,381</b>	<b>31,546</b>	<b>31,726</b>
29 <b>Part-Time FTEs</b>										
30 Undergraduate	2,123	2,226	2,084	2,012	2,061	2,100	2,100	2,100	2,100	2,100
31 Education (AQs)	607	595	499	401	456	440	440	440	440	440
32 Masters	99	79	89	95	114	120	120	120	120	120
33 Ph.D.	29	32	29	29	32	32	32	32	32	32
34 <b>Total Part-Time FTEs</b>	<b>2,858</b>	<b>2,932</b>	<b>2,701</b>	<b>2,537</b>	<b>2,663</b>	<b>2,692</b>	<b>2,692</b>	<b>2,692</b>	<b>2,692</b>	<b>2,692</b>
35 <b>Total Constituent FTEs</b>	<b>31,765</b>	<b>31,772</b>	<b>32,528</b>	<b>33,059</b>	<b>33,356</b>	<b>33,468</b>	<b>33,800</b>	<b>34,073</b>	<b>34,238</b>	<b>34,418</b>
<b>36 Affiliated University Colleges</b>										
37 <b>Full-Time Undergraduates</b>										
38 Brescia	1,269	1,327	1,329	1,306	1,392	1,405	1,440	1,485	1,532	1,535
39 Huron	1,144	1,062	979	882	1,038	1,075	1,136	1,184	1,203	1,211
40 King's	3,063	3,004	3,070	3,034	3,162	3,196	3,229	3,262	3,295	3,327
41 <b>Total Full-Time Undergraduates</b>	<b>5,476</b>	<b>5,393</b>	<b>5,378</b>	<b>5,222</b>	<b>5,592</b>	<b>5,676</b>	<b>5,805</b>	<b>5,931</b>	<b>6,030</b>	<b>6,073</b>
42 <b>Part-Time Undergraduate FTEs</b>										
43 Brescia	86	80	69	84	76	80	80	80	80	80
44 Huron	65	58	42	65	64	58	58	58	58	58
45 King's	277	260	232	234	254	231	231	231	231	231
46 <b>Total Part-Time FTEs</b>	<b>428</b>	<b>398</b>	<b>343</b>	<b>383</b>	<b>394</b>	<b>369</b>	<b>369</b>	<b>369</b>	<b>369</b>	<b>369</b>
47 <b>Graduate FTEs</b>										
48 Brescia	28	33	35	35	38	44	47	48	46	46
49 Huron	11	9	9	7	5	6	7	9	10	10
50 King's	33	29	41	48	50	60	60	60	60	60
51 <b>Total Graduate FTEs</b>	<b>72</b>	<b>71</b>	<b>85</b>	<b>90</b>	<b>93</b>	<b>110</b>	<b>114</b>	<b>117</b>	<b>116</b>	<b>116</b>
52 <b>Total Affiliate FTEs</b>	<b>5,976</b>	<b>5,862</b>	<b>5,806</b>	<b>5,695</b>	<b>6,079</b>	<b>6,155</b>	<b>6,288</b>	<b>6,417</b>	<b>6,515</b>	<b>6,558</b>
53 <b>Total UWO FTEs</b>	<b>37,741</b>	<b>37,634</b>	<b>38,334</b>	<b>38,754</b>	<b>39,435</b>	<b>39,623</b>	<b>40,088</b>	<b>40,490</b>	<b>40,753</b>	<b>40,976</b>



**Table 13**  
**SUMMARY OF ENROLMENT FORECAST**

	Actual					Forecast					
	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	
	<i>Rows 55 to 86 Included above</i>										
54	<b>International Students</b>										
55	Constituent Full-Time										
56	Undergraduates	1,895	1,990	2,217	2,342	2,692	2,835	2,932	3,091	3,102	3,264
57	Medical Residents	134	136	134	123	142	140	140	140	140	140
58	Masters (excluding Ivey)	495	576	596	715	717	735	765	775	785	800
59	MBA (Regular), Ivey MSc	39	56	68	75	63	94	112	112	112	112
60	Executive MBA	35	24	2	1	4	0	0	0	0	0
61	Ph.D.	547	562	562	581	607	618	625	630	635	640
62	Affiliates										
63	Undergraduates	577	661	718	732	991	1,094	1,184	1,257	1,307	1,332
64	Masters	0	0	2	0	0	1	2	2	2	2
65	<b>Year 1 Only</b>										
66	<b>Constituent</b>										
67	Arts & Humanities	267	217	240	229	233	240	240	240	240	240
68	Engineering	511	637	598	571	588	610	610	610	610	610
69	Health Sciences										
70	BHSc Program	347	336	320	335	393	340	350	360	375	375
71	Kinesiology	386	335	350	318	304	320	320	320	320	320
72	Nursing	131	143	162	144	142	145	145	145	145	145
73	Media, Information, & Tech	336	350	304	245	263	250	250	260	260	260
74	MOS Program	857	794	861	769	819	800	820	835	850	850
75	Music	99	100	102	112	121	120	120	120	120	120
76	Science	1,474	1,445	1,599	1,551	1,680	1,625	1,635	1,650	1,650	1,650
77	Social Science	803	796	817	815	835	800	810	820	850	850
78	<b>Total Year 1 - Constituent</b>	<b>5,211</b>	<b>5,153</b>	<b>5,353</b>	<b>5,089</b>	<b>5,378</b>	<b>5,250</b>	<b>5,300</b>	<b>5,360</b>	<b>5,420</b>	<b>5,420</b>
79	<b>Affiliated University Colleges</b>										
80	Brescia	315	350	321	313	320	337	365	382	394	400
81	Huron	274	290	253	250	415	450	463	463	463	463
82	King's	740	774	777	788	834	845	853	861	869	877
83	<b>Total Year 1 - Affiliates</b>	<b>1,329</b>	<b>1,414</b>	<b>1,351</b>	<b>1,351</b>	<b>1,569</b>	<b>1,632</b>	<b>1,681</b>	<b>1,706</b>	<b>1,726</b>	<b>1,740</b>
84	<b>Total UWO Year 1</b>	<b>6,540</b>	<b>6,567</b>	<b>6,704</b>	<b>6,440</b>	<b>6,947</b>	<b>6,882</b>	<b>6,981</b>	<b>7,066</b>	<b>7,146</b>	<b>7,160</b>
85	<b>Masters</b>										
86	All Programs (excluding MBAs)	2,781	2,877	3,004	3,280	3,319	3,419	3,487	3,525	3,560	3,600
87	Ivey (excl EMBA)	161	208	255	265	241	275	310	310	310	310
88	Executive MBA	204	191	172	205	174	175	174	174	174	174

For Information

89	Year 1 Constituent International Students	527	508	618	638	855	680	730	790	850	850
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Table 14

**WESTERN'S LONG-RANGE SPACE PLAN**

Note: within each category, the projects are not prioritized

	Project	Type
	<b>CATEGORY 1 -- Underway or Soon-to-Start</b>	
1	Modernization of Thames Hall	Modernization
2	Weldon Library Modernization	Modernization
3	Biomedical Research Facility - Phase 1 of Medical School Project	New Construction
4	Ivey Spencer Leadership Centre Renewal and Expansion	New Construction / Modernization
5	Space/Facilities for Entrepreneurship Initiatives	New Construction
6	University-wide Infrastructure Projects (multiple stages)	New Construction / Modernization
7	Campus Sustainability and Energy Conservation Initiatives (multiple stages)	Modernization / Infrastructure
	<b>CATEGORY 2 -- Projects in Various Planning Stages</b>	
8	Space Realignment in the Natural Sciences Centre	Modernization / Adaptation
9	Indigenous Learning Spaces	Adaptation
10	Renewal/Replacement/Expansion of Medical School Facilities -- Future Phases	Modernization / New Construction
11	Multi-Level Parking Structures	New Construction
12	Space for Student Career Services	Adaptation
	<b>CATEGORY 3 -- For Future Consideration -- if Funding Identified</b>	
13	Renewal of Chemistry Facilities, Spencer Engineering Building, Elborn College, Talbot College	Modernization or Replacement
14	Social Sciences Centre Realignment/Expansion	Adaptation / Expansion
15	Renewal and/or Realignment of Library Facilities	Modernization
16	New Research Initiatives/Partnerships at the Research Parks	New Construction
17	Athletic Facilities -- Indoor and Outdoor	New Construction / Renewal
18	Residence Projects	Modernization
19	Asset Acquisitions	Acquisition

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## 2019-20 Capital Budget

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### A. The Evolution of Capital Expenditures

The Capital Budget for 2019-20 should be seen in the context of both recent trends in capital spending and the University's proposed Long-Range Space Plan as outlined in Table 14 of the Operating Budget portion of this document. Table 15 sets out expenditures in the Capital Budget since 2015-16 in nine categories.

Category 1 shows all new construction, while categories 2 to 7 show renovations to existing space. Category 1 expenditures are usually funded from general University funds, the major exceptions being projects funded all or in part from external research grants, private funds, government, student contributions, and Housing construction – the latter being funded from the Housing budget. Categories 2 to 5 are funded primarily from general University funds and government, while category 6 is funded from Housing operations, and category 7 is funded by the particular Ancillary Unit undertaking the work. Categories 8 and 9 involve carrying costs and loan repayments, other expenditures such as purchases of land and buildings, and transfers from the capital budget for other purposes. Planned capital expenditures for 2019-20 total \$95.3 million.

Categories 2 to 5 involve **Maintenance, Modernization, and Infrastructure (MMI)** and are eligible to receive funds from the annual MMI transfer from the operating budget to the capital budget, which is budgeted to remain at \$15.5 million in 2019-20 (\$15.5 million in 2018-19). These are expenditures directed at modification of existing space and renewal and expansion of the utilities and infrastructure of the University.

In planning future expenditures on Maintenance, Modernization, and Infrastructure, it is useful to review the value of our current fixed assets on campus. At February 28, 2019, our buildings and infrastructure have a current replacement value (CRV) of approximately \$2,668 million, as follows:

	CRV (\$M)	Square Metres	Major Buildings
Major Non-Residential Buildings	1,874	559,172	72
Utilities and Infrastructure	239		
Subtotal, Eligible for MMI	2,113	559,172	72
Housing	437	257,546	15
Other Ancillary Buildings	118	42,479	8
Total	2,668	859,197	95

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At February 28, 2019, the University had 559,000 gross square metres in 72 major non-residential buildings, ranging in size from the Cronyn Observatory (338 square metres) to the Social Science Centre (33,757 square metres). Those buildings, and \$239 million in utilities and infrastructure, are the physical assets generally eligible for MMI expenditures. On that same date, the University had 258,000 square metres of Housing space in eleven major undergraduate residences, four major apartment buildings, and numerous smaller buildings for graduate students in Platt's Lane Estates. Other than Housing, there are many buildings which are operated largely or entirely as ancillaries: Western Student Recreation Centre, Thompson Recreation and Athletic Centre, TD Stadium, Boundary Layer Wind Tunnel, Child Care Centre, the Ivey Spencer Leadership Centre, and facilities at the Research Parks.

With this background in mind, we briefly set out the nine categories of capital expenditures.

1. **New Construction.** This category includes projects which create new buildings, including housing, additions to existing buildings, and other new facilities such as parking structures or lots, power plants and athletic fields. It does not include projects which improve the space within existing buildings or projects which upgrade other existing facilities.
2. **Major Building Renovations.** This category involves major maintenance and renovation expenditures on non-residential building projects, with projects generally spanning more than one year. Given that 51% of the 559,000 square metres in major buildings was built before 1980, renovations to major buildings will continue to be a part of our capital planning.
3. **Utilities and Infrastructure Projects.** This category involves projects with values greater than \$10,000 directed at the upgrading and new installation of utilities and other infrastructure, including boilers, chillers, and electrical, water, and sewer distribution systems. Construction of a new Chiller Plant or major Power Plant expansion would be included in category 1. Given that most of our Utilities and Infrastructure plant and equipment is over thirty years old, these projects will continue to be a critical part of our capital budget. It is noted that proposed projects in this category include many that are devoted to the renewal of portions of our steam, water, and chilled water systems. Major projects in future years will include Central Power Plant upgrades, chiller capacity expansion, continued work on electrical distribution systems, and energy conservation initiatives.
4. **Modernization of Instructional and Research Facilities.** This category includes the renewal and modernization of classrooms, laboratories, libraries, and other space used for instruction and research, as well as upgrades to information technology. These expenditures are critical to maintaining Western's reputation as a leader in the quality of teaching and research. These projects are sometimes funded by the units themselves with operating or research funds.
5. **General Maintenance and Modernization Projects.** This category consists of a wide variety of maintenance and modernization projects which are not included in categories 2 to 4. Most of the projects are under \$100,000, involving such work as roof replacement, interior and exterior painting, road, bridge, and sidewalk repair, and general maintenance of structures and systems. A provision for unforeseen projects forms part of the allotment in this category.
6. **Housing Renovations.** This category includes all maintenance and modernization expenditures on University residences and apartment buildings. Construction of a new residence or apartment

building would be included in category 1. Maintenance and modernization expenditures, projected to be \$13.1 million in 2019-20, are funded from Housing revenues. Housing has always set aside adequate maintenance funds and does not have the significant deferred maintenance on its buildings which may be observed in many other University buildings.

7. **Ancillary Projects.** This category includes capital expenditures on Ancillaries other than Housing, including Hospitality Services, the Book Store, Parking Services, student fee-funded units, self-funded support units, and self-funded research units. These units pay a charge to the University for the space they occupy.
8. **Carrying Costs and Debt Repayments.** This category consists of principal repayments and interest on debt for capital projects.
9. **Other Capital Expenditures.** This category includes asset acquisitions and other miscellaneous expenditures. It has been an established principle in Western's Campus Master Plan that the University pursue, as appropriate, the purchase of lands contiguous to University property as lands become available. Western will continue to seek to protect the Regional Facilities zoning around the main campus and to buy land near our campus when it comes up for sale. The University will also look to acquire strategic physical assets.

The last twelve lines of Table 15 are labeled A to M. Line A shows total sources of funding for the capital budget, including debt; line B, sources of funds less expenditures; line C, the capital reserve at year-end; and line D, capital debt outstanding at year-end. Details on these items are shown in Tables 17 and 19. Annual changes in the capital reserve (line C) are driven by the differences between funding and expenditure (line B). Thus for 2017-18, line B shows a surplus of \$8,549, the difference between funding of \$110,681 and expenditures of \$102,132 (all figures in \$000). The accumulated capital reserve in line C increases by this same amount of \$8,549.

Line E shows the replacement value of non-residential buildings and utilities and infrastructure, the assets eligible for MMI spending, while line F shows the ratio of the annual MMI expenditure to the replacement value. For example, in 2017-18, MMI expenditures were \$36.3 million, while the estimated replacement value of non-residential buildings, utilities, and infrastructure was \$1.99 billion. The ratio of the two is 1.8%, as shown in line F.

Line G of Table 15 shows the annual transfer from the operating budget to the capital budget for Maintenance, Modernization, and Infrastructure (the MMI transfer). As part of the 1995 Strategic Plan, the Board of Governors approved an incremental annual base transfer of \$750,000 from operating to capital in support of maintenance spending for ten years, from 1996-97 to 2005-06. Since there was an existing transfer of \$500,000 in 1995-96, the annual transfer was \$8.0 million in 2005-06. In 2004, Western's Board of Governors approved the recommendation that the base transfer of \$750,000 be continued for another ten years after 2005-06 until 2015-16, when the annual transfer would be \$15.5 million. This commitment established Western as a leader among Canadian universities in maintaining its facilities and dealing with deferred maintenance. For 2009-10 and 2010-11, given the financial constraints faced by the University at that time, the Board approved the annual transfer be held at \$10.25 million. Since that time the base transfer has resumed. In 2019-20, the base transfer will be maintained at the current maximum annual transfer of \$15.5 million (2018-19, \$15.5 million).

Line H of Table 15 shows the ratio of the annual MMI transfer to total MMI expenditures; for example, in 2017-18, the transfer was \$15.5 million and MMI expenditures were \$36.3 million, so the ratio in line H is 42.8%. Other sources of funding for MMI expenditures include the annual capital facilities renewal grant from the Province (projected for 2018-19 and 2019-20 at \$4.6 million and \$4.6 million respectively), special Provincial grants, additional one-time allocations from the University's operating budget, one-time allocations from the Province, fundraising, and borrowing.

Line J contains an estimate of maintenance spending, defined narrowly as spending required to bring aging facilities up to their condition when originally built. In fact, whenever Western undertakes a major maintenance project, there is also modernization of the facility, and whenever we carry out a major modernization project, there is generally some maintenance expenditure; it is thus difficult to separate the two. Line J is calculated on the assumption that 2/3 of the expenditures in categories 2, 3, and 5 involve maintenance (the remaining 1/3 is modernization), while 1/3 of the expenditures in category 4 involve maintenance (the remaining 2/3 is modernization). While the ratio of maintenance to modernization would vary by project and by year, Facilities Management considers them a reasonable average for the four categories over a number of years.

The value of line J in 2017-18 is \$21.7 million, or 1.1% (line K) of the replacement value in that year. A standard target in industry for this ratio is 2.0%; if large buildings last an average of 50 years, then average maintenance spending should be 2.0% of replacement value. When the actual ratio is consistently lower than 2.0%, as has been the case at Western and most Canadian universities over the last three decades, the level of deferred maintenance will grow. As Western continues to renovate aging buildings, there will be years that deferred maintenance will decline.

Table 16 reviews major capital projects – and the projects are assigned to one of the nine categories. For most of the projects, the year and month of the start and end of the project are shown.

The projects listed in Table 16 are the result of an update to the Long-Range Space Plan outlined in Table 14 of the Operating Budget portion of this document. These projects include new construction that will create new student and research spaces, and major building renovations and utilities and infrastructure projects – reflecting the need to maintain and modernize Western's aging physical plant. With new building construction on campus continuing, Western is utilizing scarce developable land in the core campus. The Campus Master Plan, approved in June 2015, highlights the constraints in the amount of buildable land on our campus and the fact that new buildings are being constructed on parking lots – thus increasing the pressure on available parking. We are planning for the construction of parking structures in the periphery of campus – including siting, a funding plan, and the required parking fee rates to finance the structures. University-wide Infrastructure Projects are also included on Table 16. These projects cover upgrades to Western's central power plant, chiller facilities, and major electrical upgrades, and are typically comprised of multiple projects completed over a number of years.

## **B. Sources of Funding and Capital Expenditures in 2019-20**

Table 17 displays sources of funding for budgeted capital expenditures with estimates of comparative data for 2018-19, divided into six major categories: federal, provincial and municipal government grants; funds transferred from Western's operating budget; interest earned; general fundraising; borrowing; and other sources, including internal recoveries. As compared to a decade ago, the University's capital budget is more dependent on transfers from the operating budget and debt.

Table 18 shows expenditures in categories 1 and 2 for 2018-19 (estimates as of January 31, 2019) and 2019-20 (current proposals).

**Table 15**  
**CAPITAL BUDGET SUMMARY, 2015-16 TO 2019-20**  
**(\$000)**

Category	Purpose	Actual 2015-16	Actual 2016-17	Actual 2017-18	Projected 2018-19	Budget 2019-20
	<b>New Construction</b>					
1	New Construction (Table 18, line 11)	32,343	45,717	51,484	18,805	24,754
	<b>Maintenance, Modernization, and Infrastructure (MMI)</b>					
2	Major Building Renovations (Table 18, line 19)	4,737	15,789	18,924	12,551	20,563
3	Utilities and Infrastructure Projects	8,046	5,719	4,262	14,035	8,024
4	Modernization of Instructional and Research Facilities	6,640	7,816	7,543	6,688	9,308
5	General Maintenance and Modernization Projects	5,923	4,775	5,526	8,765	14,258
	Sub-Total MMI	25,346	34,099	36,255	42,039	52,153
	<b>Other</b>					
6	Housing Renovations	9,124	9,192	9,565	7,890	13,052
7	Ancillary Projects	2,239	1,170	1,776	924	400
8	Carrying Costs and Debt Repayments	4,702	4,453	2,964	2,250	4,500
9	Other Capital Expenditures	3,399	20	88	2,308	488
	Sub-Total Other	19,464	14,835	14,393	13,372	18,440
10	<b>Total Expenditures</b>	<b>77,153</b>	<b>94,651</b>	<b>102,132</b>	<b>74,216</b>	<b>95,347</b>

Line		Actual 2015-16	Actual 2016-17	Actual 2017-18	Projected 2018-19	Budget 2019-20
	<b>Sources of Funding, Reserves, and Debt</b>					
A	Total Sources of Funding, Including Debt (Table 17)	80,836	92,798	110,681	85,582	78,860
B	Sources of Funding less Expenditures	3,683	-1,853	8,549	11,366	-16,487
C	Capital Reserve, Year End (Table 19)	43,604	41,751	50,300	61,666	45,179
D	Capital Debt Outstanding, Year End (Table 19)	291,392	283,725	375,759	362,253	353,903
E	Replacement Value of Non-Residential Buildings, Utilities & Infrastructure, \$M	1,852	1,906	1,985	2,113	2,200
F	MMI Expenditures/Replacement Value	1.4%	1.8%	1.8%	2.0%	2.4%
G	Annual MMI transfer from Operating to Capital Budget	14,000	14,750	15,500	15,500	15,500
H	MMI transfer/MMI Expenditures	55.2%	43.3%	42.8%	36.9%	29.7%
J	Estimate of Maintenance Expenditure	14,684	20,127	21,656	25,797	31,666
K	Maintenance Expenditure/Replacement Value	0.8%	1.1%	1.1%	1.2%	1.4%
L	Number of Major Buildings	92	93	94	95	95
M	Total Gross Square Meters (000's)	836	843	854	859	859

Category 8 does not include carrying costs and loan repayments for Residences and Apartments, Research Park and Richard Ivey School of Business.

Line B is equal to Line A Total Sources of Funding less Total Expenses.

The change in line C from one year to the next is equal to Line B.

Line J consists of 2/3 of Category 2, 3 and 5 and 1/3 of Category 4.



**Table 16**  
**MAJOR CAPITAL PROJECTS**

		Category	Start	End
1	<b><i>Projects Underway or Soon-to-Start</i></b>			
2	Modernization of Thames Hall	2	Jan 17	Jun 21
3	Weldon Library Modernization	2	tbd	tbd
4	Biomedical Research Facility -- Phase 1 of Medical School Project	1	Jan 17	Dec 21
5	Ivey Spencer Leadership Centre Renewal and Expansion	1&2	Nov 18	Dec 20
6	Space/Facilities for Entrepreneurship Initiatives	1	tbd	tbd
7	University-wide Infrastructure Projects (multiple stages)	1,3&5	May 19	tbd
8	Campus Sustainability and Energy Conservation Initiatives (multiple stages)	3&5	Ongoing	
9	<b><i>Projects in Various Planning Stages</i></b>			
10	Space Realignment in the Natural Sciences Centre	2	tbd	tbd
11	Indigenous Learning Spaces	2	tbd	tbd
12	Renewal/Replacement/Expansion of Medical School Facilities -- Future Phases	1&2	tbd	tbd
13	Multi-Level Parking Structures	1	tbd	tbd
14	Space for Student Career Services	2	tbd	tbd
15	<b><i>Projects for Future Consideration -- if Funding Identified</i></b>			
16	Renewal of Chemistry Facilities, Spencer Engineering Building, Elborn College, Talbot College	2	tbd	tbd
17	Social Sciences Centre Realignment/Expansion	1&2	tbd	tbd
18	Renewal and/or Realignment of Library Facilities	2	tbd	tbd
19	New Research Initiatives/Partnerships at the Research Parks	1	tbd	tbd
20	Athletic Facilities -- Indoor and Outdoor	1	tbd	tbd
21	Residence Projects	6	tbd	tbd
22	Asset Acquisitions	9	tbd	tbd

**Table 17**  
**CAPITAL BUDGET: SOURCES OF FUNDING**  
**(\$000)**

		<b>Projected 2018-19</b>	<b>Budget 2019-20</b>
1	<b>Government Grants</b>		
2	MTCU Annual Capital Grant (Facilities Renewal Program)	4,644	4,644
3	MTCU Graduate Expansion Capital Grant	3,921	3,893
4	MTCU/MOECG GGRP Innovation Fund	11,610	0
5	Federal Strategic Investment Fund (SIF)	7,454	0
6	<b>Sub-Total</b>	<b>27,629</b>	<b>8,537</b>
7	<b>Operating Budget</b>		
8	Operating Budget MMI Transfer - Base	15,500	15,500
9	Operating Budget MMI Transfer - Base (Ancillaries)	600	600
10	Operating Budget - Engineering Building Facilities Expansion/Renewal	8,694	8,154
11	Operating Budget - FRSF Transfer	3,000	3,000
12	Operating Budget - Medical School Innovation Hub	0	3,000
13	Operating Budget - Classroom Modernization	1,000	1,000
14	Operating Budget - CRC Transfer	910	790
15	Operating Budget - Weldon Library Modernization	15,000	0
16	Operating Budget - Space Realignment in Natural Sciences Centre to Create Student Spaces	10,000	0
17	Operating Budget - Western Wellness Centre (in Thames Hall)	10,000	0
18	Operating Budget - Open Space Strategy and Campus Safety Initiatives	2,000	0
19	Operating Budget - Energy Conservation Initiatives	1,000	0
20	Operating Budget - Improvements to Alumni Hall to Accommodate SRS and Relocate GU Spaces	1,000	0
21	Operating Budget - Planning for Future Projects	250	0
22	Operating Budget - Ivey Spencer Leadership Centre Renewal and Expansion	-500	0
23	Operating Budget - Modernization/Expansion of Talbot College	-719	0
24	Miscellaneous Faculty/Department Budgets	368	1,157
25	<b>Sub-Total</b>	<b>68,103</b>	<b>33,201</b>
26	<b>Interest Earned</b>	<b>615</b>	<b>100</b>

**Table 17**  
**CAPITAL BUDGET: SOURCES OF FUNDING**  
**(\$000)**

		<b>Projected 2018-19</b>	<b>Budget 2019-20</b>
27	<b>Fundraising</b>		
28	The Claudette MacKay-Lassonde Pavilion (Green Building)	1,990	0
29	Ivey Building	639	0
30	Western Fund	300	0
31	<b>Sub-Total</b>	<b>2,929</b>	<b>0</b>
32	<b>Borrowing</b>		
33	Long-Range Space Plan	-27,500	19,432
34	<b>Sub-Total</b>	<b>-27,500</b>	<b>19,432</b>
35	<b>Other</b>		
36	Student Contribution - Western Student Recreation Centre	1,326	1,365
37	Energy Conservation Incentives (Rebates)	350	500
38	Sports & Recreation Services - Indoor Track Surface Replacement	0	422
39	Sports & Recreation Services - Student Contribution for Artificial Turf Playing Fields	168	172
40	Projects Funded by Housing	7,890	13,052
41	Projects Funded by Units	3,148	1,679
42	Projects Funded by Ancillaries	924	400
43	<b>Sub-Total</b>	<b>13,806</b>	<b>17,590</b>
44	<b>Total Sources of Funding</b>	<b>85,582</b>	<b>78,860</b>

**Table 18**  
**CAPITAL EXPENDITURES FOR NEW CONSTRUCTION AND MAJOR BUILDING RENOVATIONS**  
**2018-19 and 2019-20**  
**(\$000)**

		<b>Projected 2018-19</b>	<b>Budget 2019-20</b>
1	<b><i>Category 1: New Construction</i></b>		
2	Biomedical Research Facility - Phase 1 of Medical School Project	1,010	14,150
3	Ivey Spencer Leadership Centre Renewal and Expansion	228	6,009
4	Medical School Innovation Hub	387	2,612
5	Space/Facilities for Entrepreneurship Initiatives	50	1,700
6	Faculty of Education Courtyard Addition	3,278	145
7	North Chiller Plant	0	88
8	Amit Chakma Engineering Building	6,430	50
9	Ivey Building - Completion of Unfinished Space	7,082	0
10	Western Interdisciplinary Research Building	340	0
11	<b><i>Total, Category 1</i></b>	<b><i>18,805</i></b>	<b><i>24,754</i></b>
12	<b><i>Category 2: Major Building Renovations</i></b>		
13	Modernization of Thames Hall	5,326	11,598
14	Weldon Library Modernization	130	5,945
15	Renewal of Spencer Engineering Building	1,752	2,000
16	Space Realignment in Natural Science Centre to Create Student Spaces	0	750
17	Modernization of University College	4,453	270
18	Infectious Disease Pathogenesis and Imaging Facility	890	0
19	<b><i>Total, Category 2</i></b>	<b><i>12,551</i></b>	<b><i>20,563</i></b>

**Table 19**  
**CAPITAL RESERVES AND DEBT AT FISCAL YEAR END**  
**(\$000)**

		Actual 2015-16	Actual 2016-17	Actual 2017-18	Projected 2018-19	Budget 2019-20
1	<b>A. Capital Reserves</b>					
2	General Capital Fund	16,973	20,291	25,783	29,112	22,710
3	Designated Capital Fund	26,631	21,460	24,517	32,554	22,469
4	<b>Total Capital Reserves</b>	<b>43,604</b>	<b>41,751</b>	<b>50,300</b>	<b>61,666</b>	<b>45,179</b>

		Actual 2015-16	Actual 2016-17	Actual 2017-18	Projected 2018-19	Budget 2019-20
5	<b>B. Capital Debt</b>					
6	New Construction, Major Renovations & Other	96,681	61,970	51,141	2,007	26,164
7	Housing	132,437	120,247	107,003	88,346	73,839
8	Research Park	28,712	27,868	27,015	26,000	25,000
9	Unused and Invested Debenture Proceeds	33,562	73,640	190,600	245,900	228,900
10	<b>Total Capital Debt</b>	<b>291,392</b>	<b>283,725</b>	<b>375,759</b>	<b>362,253</b>	<b>353,903</b>

Line 2 includes the required \$6 million general capital reserve fund.

Line 3 includes fund balances for large capital projects.

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## Long-Term Financial Trends

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The Operating and Capital Budgets set out in Tables 2 and 15 of this document describe proposed spending of some \$888 million for the single year of 2019-20. That spending will take place, however, in a longer term context which must be understood in evaluating the Operating and Capital Budgets. The Administration and Board have identified three elements of that longer term context which should be reviewed in the annual Budget of the University: capital reserves and debt, employee future benefits, and deferred maintenance. These three items are described below.

The long term context for Western changed in May 2007, when the University issued its first debenture for \$190 million. Western issued a further \$100 million debenture in December 2017. As part of this process, the University received a credit rating of AA from Standard & Poor's. This credit rating was reaffirmed in February of 2019. Part of the credit rating evaluation focused on a number of long-term obligations that the University manages on an ongoing basis, including the three which we review below.

### A. Capital Reserves and Debt

Table 19 displays Capital Reserves and Debt for fiscal years ending April 30. Capital Reserves are divided into two categories:

- the General Capital Fund, which includes funds not yet designated for specific purposes and funds designated for future projects.
- the Designated Capital Fund, which has been assigned to specific capital projects.

The Designated Capital Fund includes the remaining funds from the sale of the Gibbons property. The University's intention is that when the Gibbons funds are spent, there will be naming opportunities to honor the Gibbons name.

Capital Debt is divided into the following categories:

- **New Construction, Major Renovations, Infrastructure, and Other** – represents debt on projects that are largely new construction, additions to existing buildings, other new facilities, non-residential projects that involve major maintenance, and renovations to existing space. It also includes debt on purchases of property.
- **Housing** – debt required for new construction, maintenance, and modernization projects for University residences and apartment buildings.
- **Research Park** – debt incurred by the Research Park.
- **Unused and Invested Debenture Proceeds** – unused proceeds from Western's debenture issues that have been committed and invested until the specific capital project requires the funding.

Capital Debt is viewed as a resource that can help the University advance its Long-Range Space and Campus Master Plans. As part of the overall management of capitalization, the University has significantly increased its Capital Debt, primarily through debenture issuances of \$190 million and \$100 million in 2007 and 2017, respectively, and by entering into a \$100 million fifteen-year facility in 2013 to finance a 1,000 bed residence and other capital projects. The Board of Governors has approved a Capital Debt Policy which outlines the principles used in guiding the University's overall capitalization and debt management strategy. The University regularly monitors its Capital Debt position and continues to utilize monitoring ratios as part of its management strategy. The table below shows the monitoring ratio of debt per FTE, along with selected revenue metrics, for the years ending April 30.

	FTE Enrolment	Debt (\$M)	Debt per FTE (\$)	Combined Revenue (\$M)*	Revenue per FTE (\$)	Debt / Revenue (%)
2015	31,765	299.0	9,412	1,137.2	35,800	26.3%
2016	31,772	291.4	9,170	1,125.2	35,415	25.9%
2017	32,528	283.7	8,722	1,253.1	38,524	22.6%
2018	33,059	375.8	11,369	1,253.7	37,923	30.0%
2019 p	33,356	362.3	10,858	1,191.8	35,730	30.4%

\*2015-2017 information has been updated for comparability purposes to reflect reclassifications in revenues and expenses occurring in 2018

The projected debt is based on Board-approved projects with allowance for other projects which may be presented to the Board during the period under consideration.

## **B. Employee Future Benefits**

Subject to eligibility rules set within various collective agreements, the University provides medical, dental, and life insurance benefits to eligible employees after their employment with Western has ended.

The obligation for these employee future benefits are projected by actuarial valuation every three years. In the years between valuations, an extrapolation of the actuarial valuation is used to determine the projected benefit obligations. At April 30, 2018, the University's accrued benefit liability relating to the employee future benefit plans was \$495 million (2017 - \$474 million).

Cost containment of active and post-retirement benefits have been a focus in previous negotiations. These design changes have included a reduction of the co-insurance, limitation on dependent coverage, and increased service requirements for benefits to vest.

Included in the University's 2018 Audited Combined Statement of Operations is an annual expense in the amount of \$21.8 million (2017 - \$28.9 million) for non-pension employee future benefits.

A recent review of major research universities identified only five institutions with significant post-employment benefit obligations greater than \$200 million, ranging from \$204 million to \$591 million

and an unweighted average of \$365 million. Western ranked second in total liability for employee future benefits. The ratio of obligations to total expenses ranged from 19.7% to 44.1% with an unweighted average of 29.3%.

**Employee Future Benefits (EFB)**  
**Obligation and Expense as a % of Total Expenditures: Years ending April 30, 2014 to 2018**

	Obligation (\$M)	Expense (\$M)	Total University Expenses (\$M)*	EFB Obligation as % of Total	EFB Expense as % of Total
2014	401.7	23.2	1,054	38.1%	2.2%
2015	482.3	22.5	1,061	45.5%	2.1%
2016	525.7	25.4	1,079	48.7%	2.4%
2017	473.5	28.9	1,113	42.5%	2.6%
2018	495.3	21.8	1,122	44.1%	1.9%

\*2014-2017 information has been updated for comparability purposes to reflect reclassifications in revenues and expenses occurring in 2018

### C. Deferred Maintenance

Deferred Maintenance is defined as work on the maintenance of physical facilities that has been deferred on a planned or unplanned basis to a future budget cycle or postponed until funds become available. To avoid increasing the size of the deferred maintenance backlog, it is necessary to carry out replacement of facility components on an annual basis.

The estimates of deferred maintenance are different than estimates of debt or employee future benefits in the previous sections. There are actual contracts in place for the first two categories that allow us to make reasonable estimates. For deferred maintenance, that is not the case; therefore, we have to find other ways to quantify this liability. In 2001, a common capital-asset management system was purchased by the Ontario University system to assess, track, and report on the condition of facilities. The system requires that each major component of a building – roof sections, classrooms, heating, ventilation, air-conditioning systems and so on – be inspected, either entirely or on a sample basis. Data on the findings of these inspections are entered into a central database. The system uses industry-standard cost and lifecycle data to forecast the timing and costs of capital renewal projects. The Facilities Management Division estimates that (as of February 28, 2019) deferred maintenance at Western is \$224 million for non-residential buildings and \$35 million for residences. Slightly more than 55% of the deferred maintenance for non-residential buildings relates to mechanical, electrical, and infrastructure requirements. Other major components include maintenance driven by code requirements and maintenance for roofs and windows.



A common measure for determining the overall condition of facilities is the ratio of deferred maintenance over replacement value of the facilities. The calculation for February 28, 2019 is as follows:

	Non-Residential Buildings	Combined Residences
Current Replacement Value (CRV)	\$2,113 million	\$437 million
Deferred Maintenance (DM)	\$224 million	\$35 million
DM/CRV	10.6%	8.0%

The average age of buildings for universities in the Province of Ontario was 54 years as of March 2019. Western's average age is 39 years. Over 51% of our buildings were built before 1980. Western's residences are funded through rents which cover maintenance; the University has never had a problem with deferred maintenance on residences. A ratio of 10.6% (Deferred Maintenance/Current Replacement Value) for non-residential buildings indicates a significant need for maintenance funding.

If the average component of a large building lasts 50 years, then on average, maintenance spending should be 2.0% of replacement value. This level of spending is a standard target in the industry. When the actual ratio is consistently less than 2.0%, as has been the case at most Canadian universities, the volume of deferred maintenance will grow. Failure to adequately address deferred maintenance results in substandard facilities and could result in the failure of critical systems. Based on the current replacement value of our facilities at \$2.0 billion, spending on major maintenance for campus buildings at 2.0% should be in the range of \$40 million annually.

As part of the 1995 Strategic Plan, the Board of Governors approved an incremental annual base transfer of \$750,000 from operating capital in support of maintenance spending for ten years, from 1996-97 to 2005-06. In 2004, Western's Board of Governors approved the recommendation that the base transfer of \$750,000 be continued for another ten years after 2005-06, until 2015-16, when the annual transfer will be \$15.5 million. However, for 2009-10 and 2010-11, the annual transfer was maintained at \$10.25 million. For 2019-20, the base transfer will remain at the current maximum of \$15.5 million (2018-19, \$15.5 million).

As explained at the start of the Capital Budget, the maintenance transfer is used for Maintenance, Modernization, and Infrastructure (MMI). The administration is sometimes asked by faculty and staff if the MMI transfer is too large. As lines G and E in Table 15 show, the ratio of the MMI transfer to the current replacement value (CRV) of our nonresidential buildings, utilities, and infrastructure has been below 1% in recent years.

	Actual 2015-16	Actual 2016-17	Actual 2017-18	Projected 2018-19	Budget 2019-20
MMI (\$M)	14.00	14.75	15.50	15.50	15.50
CRV (\$M)	1,852	1,906	1,985	2,113	2,200
Ratio	0.76%	0.77%	0.78%	0.73%	0.70%

Thus, the MMI transfer from the operating to the capital budget is less than half of the 2.0% required to keep deferred maintenance from growing. Continuation of this MMI transfer is essential to maintaining a safe and reliable campus infrastructure, which supports modern research and teaching, and sustains faculty, staff, and student morale.

Line J of Table 15 presents an estimate of maintenance spending from all sources, with maintenance defined as spending required to bring aging facilities up to their condition when originally built. During the five years, 2006-07 through 2010-11, the ratio was about 2.0%, so that the level of deferred maintenance was stabilized. The University reached the 2.0% ratio with one-time funds from the provincial and federal governments, and by borrowing. The estimated ratios for 2018-19 and 2019-20 will not reach the 2.0% target.

In 2017-18, the Province increased its annual facilities renewal grant to \$60 million (for Ontario's universities and colleges). Western's share of this \$60 million was \$3.5 million in 2017-18. While this increased support is welcome, the most recent assessment within the sector indicates the need for much larger investments (on an entirely different scale) to begin to restore to the condition of University facilities.



**Western**  
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## **2019-20 Program Specific Fees and Other Supplemental Fees**

**March 31, 2019**

## Notes regarding 2019-20 Program-specific Tuition and Fees and Other Supplemental Fees

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### **Table 1: Program-Specific Tuition**

This table includes tuition fees that do not align with the standard structure of tuition fees outlined in Tables 11 and 12 of the Operating Budget document – and include concurrent programs, new programs, and graduate diploma programs.

### **Table 2: Program-Related Fees**

This table includes program-related fees – including internship/placement fees, course materials fees, tuition deposits, and the health insurance plan for International Students.

### **Table 3: Application Fees**

This table includes application fees for graduate programs, professional programs, and undergraduate exchange programs.

### **Table 4: Supplemental Fees and Other Charges**

This table includes a variety of other fees including late registration fees, course cancellation/change fees, and charges for official documentation, supplemental exams, and photo identification.

### **Table 5: Business Foundation Course Material Fees**

Reports the costs of custom course material fees (course packs and related) for all pre-HBA and HBA courses.

Table 1

**2018-2019 and 2019-2020 PROGRAM-SPECIFIC TUITION FEES**

			2018-19	2019-20	
			Amount	Amount	% change
1	<b>Concurrent Programs</b>				
2	<b>HBA/BESc Program</b>				
3	Entering After 2 Years of Engineering	<i>Domestic</i>	24,598.00	22,138.00	-10.0%
4	Entering After 3 Years of Engineering	<i>Domestic</i>	35,662.00	32,095.00	-10.0%
5	<b>HBA/JD Program</b>				
6	All Years	<i>Domestic</i>	32,784.00	29,505.00	-10.0%
7	<b>HBA/BA-BSc Program</b>				
8	Entering After 2 Undergraduate Years	<i>Domestic</i>	19,290.00	17,361.00	-10.0%
9	Entering After 3 Undergraduate Years	<i>Domestic</i>	31,003.00	27,902.00	-10.0%
10	<b>JD/BA-BSc Program</b>				
11	Entering After 2 Undergraduate Years	<i>Domestic</i>	17,334.00	15,600.00	-10.0%
12	Entering After 3 Undergraduate Years	<i>Domestic</i>	22,173.00	19,955.00	-10.0%
13	<b>JD/BESc Program</b>				
14	Entering After 2 Years of Engineering	<i>Domestic</i>	20,991.00	18,891.00	-10.0%
15	Entering After 3 Years of Engineering	<i>Domestic</i>	24,658.00	22,192.00	-10.0%
16	<b>MBA/JD Program</b>				
17	Note: 2018-19 = March 2019; 2019-20 = March 2020				
18	Year 1	<i>Domestic</i>	71,092.00	63,982.00	-10.0%
19	Years 2 and 3	<i>Domestic</i>	47,089.00	42,380.00	-10.0%
20	Year 1	<i>International</i>	92,613.00	97,243.00	5.0%
21	Years 2 and 3	<i>International</i>	64,573.00	67,801.00	5.0%
22	<b>JD/MA-MSc Program</b>				
23	Entering Before Year 1 Law	<i>Domestic</i>	24,699.00	22,229.00	-10.0%
24	Entering After Year 1 Law	<i>Domestic</i>	26,119.00	23,507.00	-10.0%
25	<b>MBA/MEng Program</b>				
26	Note: 2018-19 = March 2019; 2019-20 = March 2020				
27	Years 1 - 2	<i>Domestic</i>	53,505.00	48,154.00	-10.0%
28	After 2 years	<i>Domestic; per term</i>	17,834.00	16,050.00	-10.0%
29	Years 1 - 2	<i>International</i>	70,155.00	73,662.00	5.0%
30	After 2 years	<i>International; per term</i>	23,384.00	24,553.00	5.0%
31	<b>AMBA/JD Program</b>				
32	Note: 2018-19 = July 2018; 2019-20 = July 2019				

Table 1

## 2018-2019 and 2019-2020 PROGRAM-SPECIFIC TUITION FEES

			2018-19	2019-20	
			Amount	Amount	% change
33	Year 1	<i>Domestic</i>	46,320.00	41,688.00	-10.0%
34	Year 2	<i>Domestic</i>	38,250.00	34,425.00	-10.0%
35	Year 3	<i>Domestic</i>	38,250.00	34,425.00	-10.0%
36	<b>Richard Ivey School of Business</b>				
37	The rates shown below are program fees				
38	Business (MBA)	<i>Domestic</i>	92,500.00	83,250.00	-10.0%
39	Business (MBA)	<i>International</i>	106,500.00	111,750.00	4.9%
40	Business (MSc In Management)	<i>Domestic</i>	42,500.00	38,250.00	-10.0%
41	Business (MSc In Management)	<i>International</i>	60,500.00	65,000.00	7.4%
42	Business (MGMT/CEMS)	<i>Domestic</i>	48,000.00	43,200.00	-10.0%
43	Business (MGMT/CEMS)	<i>International</i>	66,000.00	70,000.00	6.1%
44	Tuition, Executive MBA Program- Canada - - September 2019 Intake	<i>total for 3 terms</i>	110,000.00	115,000.00	4.5%
45	Tuition, AMBA (Accelerated MBA) (Domestic) -- July 2020 Intake		55,650.00	50,085.00	-10.0%
46	Tuition, AMBA (Accelerated MBA) (International) -- July 2020 Intake		64,000.00	67,250.00	5.1%
47	Tuition, MBA (Advanced Standing) (Domestic) -- Jan 2020 Intake	<i>new</i>		83,000.00	
48	GDip in Management				
49	If taken in Canada	<i>new</i>			
50	Per Module (1-4)	<i>Fees in Canadian Dollars</i>		4,000.00	
51	Term 5	<i>Fees in Canadian Dollars</i>		5,200.00	
52	Entry Assessment	<i>Fees in Canadian Dollars</i>		1,300.00	
53	If taken in Hong Kong				
54	Per Module (1-4)	<i>Fees in U.S Dollars</i>		3,000.00	
55	Term 5	<i>Fees in U.S Dollars</i>		4,000.00	
56	Entry Assessment	<i>Fees in U.S Dollars</i>		1,000.00	
57	<b>Faculty of Education</b>				
58	Tuition - Post Graduate Certificate in Education	<i>per course</i>	250.00	250.00	0.0%
59	3-Part Additional Qualification	<i>per course</i>	675.00	675.00	0.0%
60	Additional Basic Qualification	<i>Domestic, per course</i>	675.00	675.00	0.0%
61	Additional Basic Qualification	<i>International, per course</i>	1,350.00	1,350.00	0.0%
62	Honor Specialist	<i>per course</i>	725.00	725.00	0.0%
63	Technology Studies	<i>per course</i>	725.00	725.00	0.0%
64	Certificate of Unified English Braille Competence (non-credit)	<i>per level</i>	325.00	325.00	0.0%

Table 1

**2018-2019 and 2019-2020 PROGRAM-SPECIFIC TUITION FEES**

			2018-19	2019-20	
			Amount	Amount	% change
65	<b>Master of Professional Education (MPed)</b>				
66	Domestic Students				
67	All Fields	<i>per course</i>	3,022.00	2,719.00	-10.0%
68	International Students				
69	TOESL Field	<i>per course</i>	3,771.00	3,959.00	5.0%
70	All Other Fields	<i>per course</i>	3,022.00	3,022.00	0.0%
71	<b>Faculty of Engineering</b>				
72	University Network of Excellence in Nuclear Engineering Program	<i>10 courses or 8 courses and project</i>	25,000.00	22,500.00	-10.0%
73	Advanced Design and Manufacturing Institute (ADMI) Engineering Program	<i>9 courses; per course fee</i>	2,700.00	2,430.00	-10.0%
74	<b>Faculty of Health Science</b>				
75	<b>Compressed Nursing Program</b>				
76	Years 3 and 4, Summer	<i>Domestic</i>	3,361.50	3,025.00	-10.0%
77	Yr 3, Summer	<i>International</i>	18,443.00	19,918.00	8.0%
78	Yr 4, Summer	<i>International</i>	17,759.50	19,181.00	8.0%
79	Year 3 and 4, Fall/Winter	<i>Domestic</i>	6,723.00	6,050.00	-10.0%
80	Yr 3, Fall/Winter	<i>International</i>	36,886.00	39,836.00	8.0%
81	Yr 4, Fall/Winter	<i>International</i>	35,519.00	38,361.00	8.0%
82	<b>Combined Health Professional Masters and PhD</b>				
83	All Years	<i>Domestic</i>	12,549.00	11,294.00	-10.0%
84	All Years	<i>International</i>	30,167.00	31,675.00	5.0%
85	Graduate Diploma in Applied Health Sciences	<i>Domestic; per-course tuition fee</i>	1,500.00	1,575.00	5.0%
86	Graduate Diploma in Applied Health Sciences	<i>International; per-course tuition fee</i>	2,900.00	3,045.00	5.0%
87	<b>Faculty of Law</b>				
88	Graduate Diploma in Mining Law, Finance and Sustainability	<i>Domestic; per-course tuition fee</i>		2,250.00	
89	Graduate Diploma in Mining Law, Finance and Sustainability	<i>International; per-course tuition fee</i>		3,500.00	
90	<b>Faculty of Medicine and Dentistry</b>				
91	MSc in Clinical Medical Biophysics	<i>Domestic</i>	12,549.00	11,294.00	-10.0%
92	MSc in Clinical Medical Biophysics	<i>International</i>	30,167.00	31,675.00	5.0%
93	Internationally Trained Dentistry Program	Year 3 (plus full-time ancillary and supplementary fees)	56,000.00	56,000.00	0.0%
94	Internationally Trained Dentistry Program	Year 4 (plus full-time ancillary and supplementary fees)	55,500.00	55,500.00	0.0%
95	International Medical Trainees	<i>includes Saudi Trainees</i>	100,000.00	100,000.00	0.0%
96	Dentistry, PLA Wk Internationally Trained Dentistry		1,600.00	1,600.00	0.0%

Table 1

**2018-2019 and 2019-2020 PROGRAM-SPECIFIC TUITION FEES**

			2018-19	2019-20	
			Amount	Amount	% change
97	<b>Faculty of Music</b>				
98	Music Recording Arts, All Years - Domestic tuition	<i>compressed prgm with Fanshawe</i>	7,682.00	6,914.00	-10.0%
99	Music Recording Arts - Year 1 - International tuition	<i>compressed prgm with Fanshawe</i>	31,502.00	34,022.00	8.0%
100	Music Recording Arts - Year 2 - International tuition	<i>compressed prgm with Fanshawe</i>	30,335.00	32,762.00	4.0%
101	Music Recording Arts - Year 3 - International tuition	<i>compressed prgm with Fanshawe</i>	29,212.00	31,548.00	4.0%
102	<b>Faculty of Social Science</b>				
103	Graduate Diploma in Public Administration				
104	per-course tuition	<i>Domestic</i>	1,022.00	920.00	-10.0%
105	per-course tuition	<i>International</i>	4,333.00	4,420.00	2.0%
106	Undergraduate Diploma in Public Administration	<i>per half course</i>	808.00	727.00	-10.0%
107	<b>School of Graduate and Postdoctoral Studies</b>				
108	Graduate Diploma in Accounting				
109	Summer program	<i>Domestic, 1 term</i>	9,000.00	9,000.00	0.0%
110	Summer program	<i>International, 1 term</i>	16,000.00	16,000.00	0.0%
111	<b>Continuing Studies</b>				
112	Trois Pistoles French Immersion School:				
113	Summer (\$1,210.14 tuition, \$972.55 r&b, \$617.31 immersion )	<i>Domestic Bursary</i>	2,800.00	2,800.00	0.0%
114	Summer (\$1,210.14 tuition, \$972.55 r&b, \$617.31 immersion )	<i>Domestic Non-Bursary</i>	2,675.00	2,800.00	4.7%
115	Summer (\$6,208.49 tuition, \$972.55 r&b, \$972.55 immersion)	<i>International</i>	7,079.00	7,663.89	8.3%
116	<i>The % increase figures are calculated on the previous year of study in the previous academic year; for example, the % increase for year 4 is the increase over the year 3 tuition in the previous academic year.</i>				
117	<b>*NOTE*</b> Any student registered in course(s) over and above those specified as program requirements shall be assessed tuition on a per-course basis for those non-required courses.				
118	<b>Per-Course Tuition Fees</b>				
119	<i>First Entry Programs:</i> <ul style="list-style-type: none"> <li>• Tuition per full course is one-fifth (20%) of full program tuition for the respective program.</li> <li>• Tuition per full audited course is one-tenth (10%) of full program tuition for the respective program.</li> </ul>				
120	<i>Law:</i> Tuition per full course is one-fifth (20%) of full program tuition.				
121	<i>Medicine/Dentistry:</i> Tuition will be set by special arrangement.				
122	<i>Graduate Programs:</i> Tuition per term is one-half (50%) of full-time tuition for the respective program.				
123	<i>PhDLIS/MLIS Program:</i> <ul style="list-style-type: none"> <li>• Tuition per full course will be 30% of full-time (per term) tuition for the respective program or one tenth (10%) of full-time (annualized) tuition for the respective program. For example, MLIS annual tuition for Domestic students is \$10,877 for 2019-20. Tuition for one course is \$1,087.7.</li> <li>• Tuition for two (2) courses will be one-half (50%) of full-time (per term) tuition for the respective program or one-sixth (16.7%) of full-time (annualized) tuition for the respective program. For example, MLIS annual tuition for Domestic students is \$10,877 for 2019-20. Tuition for two courses is \$1,816.5.</li> </ul>				



**Table 2**  
**2019-2020 PROGRAM-RELATED FEES**

			<b>2018-19 Amount</b>	<b>2019-20 Amount</b>
1	<b>Faculty of Arts and Humanities</b>			
2	Student Donation		50.00	50.00
3	Visual Arts Field Trip Fee	<i>Applicable to specific courses</i>	45.00	45.00
4	1020 Safety Kit & Materials Fee		30.00	30.00
5	Photography 1&2 Materials Fee		30.00	30.00
6	236 Printmaking Materials Fee		90.00	90.00
7	330 Printmaking Materials Fee		140.00	140.00
8	Printmaking Silk Screen Fee		40.00	40.00
9	Workshop Fees		20.00	20.00
10	Sculpture 1 & 2 Workshop Materials Fee		80.00	80.00
11	Toronto: Culture and Performance field trip fee	<i>new; Theatre Studies 3581F/English 3581F/ArtHum 3393F</i>		150.00
12	Internship Fee - 4 month academic internship (0.5 credit), Western-sourced position	<i>new; one instalment</i>		125.00
13	Internship Fee - (Professional Internship) - 4 month work term	<i>new; one instalment</i>		125.00
14	<b>Continuing Studies</b>			
15	Tuition Deposit for Diploma Programs in: Not for Profit Management, Clinical Trials Management, Occupational Health & Safety Management, Public Relations, Pedorthics, Marketing, Human Resources, Computer Science	<i>non-refundable</i>	250.00	250.00
16	<b>Faculty of Education</b>			
17	Tuition Deposit - B.Ed./Dip.Ed.	<i>non-refundable</i>	500.00	500.00
18	Tuition Deposit - Add'l Qualifications (per full course)	<i>non-refundable</i>	100.00	100.00
19	Tuition Deposit - All Graduate programs	<i>non-refundable</i>	250.00	250.00
20	Deferral Fee - All Graduate programs	<i>admission deferral, non-refundable</i>	250.00	250.00
21	Practicum Supports B.Ed./Dip. Ed.	<i>Year 1</i>	462.00	454.00
22	Practicum Supports B.Ed./Dip. Ed.	<i>Year 2</i>	416.00	424.00
23	Student Donation		30.00	30.00
24	Technology AQ Facility Fee	<i>to rent space &amp; equipment needed for course EDTECH 5677</i>	75.00	75.00
25	<b>Faculty of Engineering</b>			
26	Industry Internship Program	<i>Canadian</i>	2,276.83	2,049.00
27	Industry Internship Program	<i>International</i>	5,700.67	6,156.67
28	Industry Internship Deposit	<i>non-refundable</i>	100.00	100.00

**Table 2**  
**2019-2020 PROGRAM-RELATED FEES**

			<b>2018-19 Amount</b>	<b>2019-20 Amount</b>
29	Summer Co-op Program	<i>Western sourced position</i>	300.00	300.00
30	Summer Co-op Program	<i>student sourced position</i>	100.00	100.00
31	Summer Co-op Program Deposit	<i>non-refundable</i>	100.00	100.00
32	Fanshawe Externship Program		400.00	400.00
33	M.Eng Tuition Deposit	<i>new; non-refundable</i>		500.00
34	Student Donation		100.00	100.00
35	Undergraduate Student Laboratory Endowment Fund		50.00	50.00
	<p><b>Exchange Programs</b></p> <p>For information on fees for the International Study Centre, please contact Western International at <a href="mailto:exchange@uwo.ca">exchange@uwo.ca</a> or call (519) 661-2111 ext. 89309</p> <p>Incoming Exchange, Fees Payable to Western:</p> <p>36 • <i>Full or half year:</i> bus pass, Western One card, and UHIP for International Students</p> <p>Outgoing Exchange, Fees Payable to Western:</p> <p>• <i>Full Year:</i> full program tuition fees, USC health and dental plans</p> <p>• <i>Half Year at Western:</i> full program tuition fees, USC health plan and dental plans, bus pass, half of full-time ancillary fees</p> <p><i>Half Year not at Western:</i> half of full-time tuition fees, USC health and dental plans</p>			
37	<b>School of Graduate and Postdoctoral Studies</b>			
38	MLIS Co-op Placement	<i>Applicable to specific courses</i>	618.00	618.00
39	PhDLIS Co-op Placement	<i>Applicable to specific courses</i>	618.00	618.00
40	Physical Therapy MCISc Clinical Mentorship		1,500.00	1,500.00
41	Accelerated Masters in Geology	<i>field school course; refundable upon successful completion of course</i>	1,600.00	1,600.00
42	Accelerated Masters in Geophysics		1,600.00	1,600.00
43	Master of Environment and Sustainability (MES)	<i>placement costs</i>	2,190.00	2,440.00
44	Master of Management of Applied Science Internship Fee	<i>placement costs</i>	3,000.00	3,000.00
45	Master of Data Analytics	<i>placement costs</i>	3,000.00	3,000.00
46	<b>Faculty of Health Science (CSD, Nursing, OT, PT, Health Studies, Kinesiology)</b>			
47	Graduate Professional Programs Tuition Deposit fee		500.00	500.00
48	<b>School of Health Studies</b>			
49	Course fee: Aging Globally, Lessons from Scandinavia	<i>optional</i>	2,500 - 3,500	2,500 - 3,500
50	Student Donation ( <i>Student Opportunity Fund</i> )		100.00	100.00

**Table 2**  
**2019-2020 PROGRAM-RELATED FEES**

			<b>2018-19 Amount</b>	<b>2019-20 Amount</b>
51	<b>School of Kinesiology</b>			
52	Holster Kit Fee	<i>Applicable to specific courses</i>	110.00	120.00
53	Student Donation ( <i>Student Opportunity Fund</i> )		100.00	100.00
54	Loire Valley (France) Bicycle Tour (Kin 3300B)		2,500.00	2,500.00
55	Kinesiology - Taping supplies	<i>optional, for students in Kinesiology 3336A/B only</i>	75.00	85.00
56	Kinesiology - Tophat interactive learning tool	<i>for students in Kinesiology 2032</i>	26.00	26.00
57	Kinesiology - First responder certification training	<i>for students in Kinesiology 4585</i>	425.00	425.00
58	<b>School of Kinesiology AND School of Health Studies</b>			
59	Internship fee: 4 month work term	<i>paid in one installment</i>	450.00	450.00
60	Internship fee: 8 month work term	<i>paid in two installments</i>	700.00	700.00
61	Internship fee: 9-12 month work term	<i>paid in three installments</i>	950.00	950.00
62	Internship fee: 13-16 month work term	<i>paid in three installments</i>	1,200.00	1,200.00
63	<b>School of Nursing</b>			
64	Student Donation ( <i>Student Opportunity Fund</i> )		100.00	100.00
65	<b>School of Occupational Therapy</b>			
66	Fit mask testing and Immunization check	<i>applicable to students in Year 1 of MSc(OT) program</i>	80.00	80.00
67	<b>School of Communication Sciences and Disorders</b>			
68	Laboratory and Clinic Kit fee		300.00	300.00
69	<b>Faculty of Information and Media Studies</b>			
70	Internship Fee, MIT, Academic Internship		103.00	125.00
71	Internship Fee, MIT, non-academic, Short Term Internship	<i>non-refundable</i>	309.00	309.00
72	Internship Fee, MIT, non-academic, Long Term Internship	<i>non-refundable</i>	772.50	772.50
73	Internship Fee, MMJC, non-academic, Third-Term Internship		103.00	103.00
74	Tuition Deposit, MMJC	<i>non-refundable</i>	412.00	412.00
75	Tuition Deposit, Library and Info. Science (Graduate)	<i>non-refundable</i>	412.00	412.00
76	Student Donation		50.00	50.00
77	<b>Faculty of Law</b>			
78	Computer Access Fee		75.00	75.00
79	Tuition Deposit, JD program, Year 1 only	<i>non-refundable</i>	500.00	500.00

**Table 2**  
**2019-2020 PROGRAM-RELATED FEES**

			<b>2018-19 Amount</b>	<b>2019-20 Amount</b>
80	Tuition Deposit, LLM and MSL programs, new students only	<i>non-refundable</i>	500.00	500.00
81	International Law Capstone Course field trip fee; Ottawa	<i>optional</i>	500-600	500-600
82	International Business Negotiations Course field trip fee; Ghent, Belgium	<i>optional</i>	1,700-2,200	1,700-2,200
83	International Organizations Course field trip fee; Washington/NY	<i>optional</i>	1,000-1,600	1,000-1,600
84	Symplicity Fee		35.00	35.00
85	<b>Faculty of Medicine and Dentistry</b>			
86	Dental Kits	<i>Year 1</i>	15,820.00	15,000.00
87	Dental Kits	<i>Year 2</i>	16,400.00	16,700.00
88	Dental Kits	<i>Year 3</i>	3,400.00	3,400.00
89	Dental Kits	<i>Year 4</i>	650.00	550.00
90	Internationally Trained Dentists Program - Dental Kits	<i>Year 3</i>	28,900.00	29,800.00
91	Internationally Trained Dentists Program - Dental Kits	<i>Year 4</i>	650.00	550.00
92	Tuition Deposit, Dentistry, Year 1 only	<i>\$500 non-refundable</i>	1,000.00	1,000.00
93	Tuition Deposit, Dentistry, Year 3, Internationally Trained Dentistry (Tuition deposit \$4,950, Instrument deposit \$5,000)	<i>\$500 non-refundable tuition deposit instrument deposit non-refundable</i>	9,950.00	9,950.00
94	Tuition Deposit, Medicine, Year 1 only	<i>\$500 non-refundable</i>	1,000.00	1,000.00
95	Tuition Deposit, Masters of Public Health	<i>\$500 non-refundable</i>	1,000.00	950.00
96	Visiting Medical Elective, Canadian Universities	<i>per elective</i>	100.00	100.00
97	Visiting Medical Elective, International Universities	<i>per elective</i>	1,050.00	1,050.00
98	Student Donation, Undergraduate BMSc	<i>opt out option</i>	75.00	75.00
99	Student Donation, Dentistry Clinic Endowment Fund	<i>opt out option</i>	100.00	100.00
100	<b>Faculty of Music</b>			
101	Music Lesson (2 term total)	<i>Applicable to specific courses</i>	2,200.00	2,200.00
102	Music - Audition Fee		60.00	60.00
103	Music - Opera Workshop Fee		75.00	75.00
104	Music - Music Education Instrument Fee		40.00	40.00
105	Music Performance String Instrument Bank Fee		125.00	125.00
106	Internship Fee - 4 month academic internship (0.5 credit), Western-sourced position	<i>new; one instalment</i>		125.00
107	Student Donation (Gift Fund)	<i>opt out option</i>	100.00	100.00

**Table 2**  
**2019-2020 PROGRAM-RELATED FEES**

			<b>2018-19 Amount</b>	<b>2019-20 Amount</b>
108	<b>Richard Ivey School of Business</b>			
109	Tuition Deposit, MBA	<i>\$500 non-refundable</i>	5,000.00	5,000.00
110	Tuition Deposit, Executive MBA Program (Canada)	<i>non-refundable</i>	3,000.00	3,000.00
111	Executive MBA Program (Canada) Cancellation fee (withdrawal from program within 30 days of the program start date)	<i>non-refundable</i>	5,000.00	5,000.00
112	Tuition Deposit, HBA	<i>\$500 non-refundable</i>	2,000.00	2,000.00
113	Tuition Deposit, MSc program	<i>\$500 non-refundable</i>	2,000.00	2,000.00
114	Tuition Deposit, PhD	<i>non-refundable</i>	300.00	300.00
115	Course Material Fees, MBA		2,632.00	2,749.00
116	Course Material Fees, MBA (exchange students)		420.00	437.50
117	Course Material Fees, JD/MBA		2,340.00	2,435.00
118	Course Material Fees, Accelerated MBA		1,252.00	1,454.00
119	MSc Course Material Fees	<i>charged in Fall and Winter terms</i>	500.00	525.00
120	Pre Business Custom Course Material Fees	<i>See Ivey Custom Course Material Fees 2019-2020</i>		
121	HBA 1 Custom Course Material Fees	<i>See Ivey Custom Course Material Fees 2019-2020</i>		
122	HBA 2 Custom Course Material Fees	<i>See Ivey Custom Course Material Fees 2019-2020</i>		
123	<b>Faculty of Science</b>			
124	<i>For internships commencing May 2016 and beyond:</i>			
125	Internship Fee - 4 month work term	<i>one instalment</i>	450.00	450.00
126	Internship Fee - 8 month work term	<i>2 installments of \$350 each</i>	700.00	700.00
127	Internship Fee - 12 month work term	<i>three installments of approx \$316.67 each</i>	950.00	950.00
128	Internship Fee - 16 month work term	<i>four installments of \$300 each</i>	1,200.00	1,200.00
129	Student Donation		75.00	75.00
130	<b>Faculty of Social Science</b>			
131	MOS 4410 Strategic Management Hong Kong field trip fee	<i>approximate cost; optional</i>	1,800.00	1,800.00
132	<i>For internships commencing May 2016 and beyond:</i>			
133	Internship Fee - 4 month academic internship (0.5 credit), Western-sourced position	<i>new; one instalment</i>		125.00
134	Internship Fee - 4 month work term	<i>one instalment</i>	450.00	450.00
135	Internship Fee - 8 month work term	<i>2 installments of \$350 each</i>	700.00	700.00
136	Internship Fee - 12 month work term	<i>three installments of approx \$316.66 each</i>	950.00	950.00

**Table 2**  
**2019-2020 PROGRAM-RELATED FEES**

			<b>2018-19 Amount</b>	<b>2019-20 Amount</b>
137	Internship Fee - 16 month work term	<i>four installments of \$300 each</i>	1,200.00	1,200.00
138	Student Donation		50.00	50.00
139	<b>General</b>			
140	Admission Deferral Deposit	<i>non-refundable</i>	250.00	250.00
141	Tuition Deposit, Full-time Undergraduates	<i>\$250 refundable</i>	500.00	500.00
142	Tuition Deposit, Part-time Undergraduates	<i>\$50/\$25 refundable per full/half course</i>	100.00	100.00
143	University Health Insurance Plan for International Students			
144	Undergraduates, Full-time and Part-time <i>(rates set by insurer)</i>	<i>12 month term</i>	624.00	636.00
145	Graduates, excluding MBA <i>(rates set by insurer)</i>	<i>per term</i>	208.00	212.00
146	Graduates, MBA <i>(rates set by insurer)</i>	<i>12 month term</i>	624.00	636.00
147	Exchange students <i>(rates set by insurer)</i>	<i>per term</i>	208.00	212.00

**Table 3**  
**2019-2020 APPLICATION FEES**

			<b>2018-19 Amount</b>	<b>2019-20 Amount</b>
1	<b>Undergraduate Programs</b>			
2	Arts and Humanities - Application fee for Student Service Learning Option in Cuba	<i>Spanish 2200 or 3300</i>	60.00	60.00
3	Arts and Humanities - Application fee for summer study at University of Holguin Oscar Lucero Moya in Cuba	<i>academic credit via Letter of Permission</i>	60.00	60.00
4	Arts and Humanities - Application fee for Barcelona study abroad	<i>Spanish 2100: Barcelona: Culture through the ages</i>	50.00	50.00
5	Arts and Humanities - Visual Arts Portfolio Application Fee	<i>BFA Studio Arts; USD</i>	12.00	12.00
6	Dentistry		275.00	275.00
7	Dentistry International Student Application		275.00	275.00
8	Dentistry: Advanced Standing Dentistry Application		275.00	275.00
9	Dentistry: Internationally Trained Dentist Program Application		275.00	275.00
10	Education, B.Ed./Dip.Ed.		80.00	80.00
11	Engineering, Leadership and Innovation Certificate	<i>change in name from Technological Entrepreneurship Certificate</i>	50.00	50.00
12	Exchange programs: Western International Exchange, Study Abroad, Internship, Research & Summer programs		55.00	55.00
13	Exchange programs, Faculty of Law		55.00	55.00
14	French Immersion Application Fee	<i>non-refundable</i>	275.00	275.00
15	Part-time Application Fee		61.00	64.00
16	Law		100.00	100.00
17	Medicine		125.00	125.00
18	Social Science - Diploma in Public Administration		75.00	75.00
19	Social Science - Diploma in Public Administration, Late Application		100.00	100.00
20	<b>Richard Ivey School of Business</b>			
21	HBA		125.00	125.00
22	HBA Academic Excellence Opportunity Program		125.00	125.00
23	HBA Late Application Fee		250.00	250.00
24	HBA Academic Excellence Opportunity Late Application Fee		250.00	250.00
25	<b>Continuing Studies</b>			
26	Application Fee due at time of submission for Diploma Program in: Not for Profit Management, Clinical Trials Management, Occupational Health & Safety Management, Public Relations, Pedorthics, Marketing, Human Resources, Computer Science	<i>non-refundable</i>	100.00	100.00
27	<b>Graduate Programs</b>			

**Table 3**  
**2019-2020 APPLICATION FEES**

			<b>2018-19 Amount</b>	<b>2019-20 Amount</b>
28	Communication Sciences and Disorders (M.CL.Sc.)		245.00	245.00
29	Ivey EMBA Hong Kong Program		1,500.00	1,500.00
30	Ivey MBA		150.00	150.00
31	Ivey MSc		100.00	100.00
32	Occupational Therapy M.Sc. (OT)		245.00	245.00
33	Orthodontics		250.00	250.00
34	Physical Therapy (MPT)		245.00	245.00
35	All other graduate programs		120.00	120.00



**Table 4****2019-2020 SUPPLEMENTAL FEES AND OTHER CHARGES**

			<b>2018-19 Amount</b>	<b>2019-20 Amount</b>
1	<b>Course Cancellation/Change Fees</b>			
2	Full-time Undergraduates - excl. Yr 1 Dentistry, Yr 1 Medicine and HBA		345.00	360.00
3	Full-time Undergraduates - Year 1 Dentistry, Year 1 Medicine		1,365.00	1,420.00
4	Part-time Undergraduates	<i>per full course</i>	69.00	72.00
5	Part-time Undergraduates	<i>per half course</i>	34.50	36.00
6	Education - B.Ed./Dip.Ed.		336.00	350.00
7	Education - Additional Qualifications		100.00	100.00
8	Law - JD, First year only		500.00	500.00
9	Law - LLM and MSL programs		500.00	500.00
10	EMBA	<i>if withdrawing up to 30 days from start of session</i>	5,000.00	5,000.00
11	HBA		618.00	645.00
12	MSc in Management		618.00	645.00
13	<b>Identification Cards</b>			
14	Photo Identification Card (Western ONEcard)		31.00	32.00
15	Photo Identification Replacement		31.00	32.00
16	<b>Late Payment Charges: based on overdue balance on new consolidated account including tuition and residence charges (Fall 2019)</b>			
17	Fall/Winter Non-Graduate ( <i>per instalment</i> )	<i>Overdue balance up to \$1,500</i>	from 74.50 to 401.00	80.00
	Graduate ( <i>per term</i> )	<i>\$1,500-\$4,000</i>		156.00
	International, Canadian and Permanent Residents ( <i>per instalment</i> )	<i>\$4,000-\$8,000</i>		250.00
	*Excluding those in programs listed below *All outstanding balances to be paid by OSAP are deferred without penalty	<i>\$8,000 +</i>		430.00

Table 4

## 2019-2020 SUPPLEMENTAL FEES AND OTHER CHARGES

			2018-19 Amount	2019-20 Amount
18	HBA, MBA ( <i>per instalment</i> ) Medicine ( <i>per instalment</i> ) Dentistry ( <i>per instalment</i> ) Law ( <i>per instalment</i> ) <i>includes combined programs</i> <i>*All outstanding balances to be paid by OSAP are deferred without penalty</i>	<i>Overdue balance up to \$8,000</i>	from 401.00 to 1,096.00	275.00
		\$8,000- \$16,000		550.00
		\$16,000- \$25,000		860.00
		\$25,000 +		1,200.00
19	Summer Non-Graduate International ( <i>per instalment</i> ) Summer Non-Graduate Canadian and Permanent Residents ( <i>per instalment</i> ) <i>*All outstanding balances to be paid by OSAP are deferred without penalty</i>	<i>Overdue balance up to \$700</i>	from 74.50 to 350.50	80.00
		\$700-\$2,000		156.00
		\$2,000-\$5,000		220.00
		\$5,000+		380.00
20	Graduate Delinquent Account charge (excluding MBA & Orthodontics)	<i>per term</i>	149.00	156.00
21	<b>Late Registration Fees</b>			
22	Full-time Undergraduates		175.00	183.00
23	Part-time Undergraduates		87.50	91.50
24	Accommodated Exam, Unauthorized Exam Absence Fee		21.50	22.00
25	Communication Sciences & Disorders - foreign licensure documentation		200.00	200.00
26	Courier	<i>Within Ontario</i>	23.00	25.00
27	Courier	<i>Canada (Outside of Ontario)</i>	31.00	34.00
28	Courier	<i>U.S.</i>	44.00	48.00
29	Courier	<i>International</i>	65.00	77.00
30	Course Description/Course Syllabus	<i>1 course</i>	2.00	2.00
31	Deregistration Fee		283.00	311.00
32	Diploma Authentication		7.00	7.00
33	Duplicate Tax Receipts (T2202A/T4A)	<i>per paper receipt</i>	26.50	27.50
34	Early Release of Diploma		113.00	117.00

**Table 4****2019-2020 SUPPLEMENTAL FEES AND OTHER CHARGES**

			<b>2018-19 Amount</b>	<b>2019-20 Amount</b>
35	Education - French as a Second Language Testing		100.00	100.00
36	Braille Drills Supplement Book	<i>one time charge for levels 2-4; included if entering in level 1</i>	30.00	30.00
37	PLA Testing Braille Certificate	<i>if studied at other Braille provider</i>	75.00	75.00
38	Education - Kodaly certification	<i>new</i>		320.00
39	Engineering - Supplemental Examination	<i>new, non-refundable</i>		100.00
40	Exchange Placement fee, Faculty of Law		165.00	165.00
41	Exchange , Western International & Western Heads East Placement Fee		165.00	165.00
42	Facsimile (Fax)/PDF charge		25.00	25.00
43	Graduates - Late applications for part-time status		150.00	150.00
44	Late Application to Graduate		74.00	100.00
45	Letter of Permission - Outgoing Students		72.00	75.00
46	Letter of Permission - Incoming Students		72.00	75.00
47	Mailing of Diplomas	<i>Outside of Canada</i>	65.00	77.00
48	Mailing of Diplomas	<i>Within Canada</i>	31.00	34.00
49	Mailing of Miscellaneous Items	<i>new; for mailing items through Canada Post (excludes mailing of transcripts, official letters, forms)</i>		12.00
50	Medicine - Supplemental Examination	<i>London</i>	75.00	75.00
51	Dentistry - Supplemental Examination	<i>London</i>	100.00	100.00
52	Medicine - Supplemental Examination	<i>Outside Centre</i>	100.00	100.00
53	Medicine - Postgraduate Fellowship Administrative Fee		150.00	150.00
54	Medicine - Masters of Public Health - Learning Materials	<i>new; charged 1/3 in each of 3 terms</i>		800.00
55	Medicine - Masters of Public Health - Field Trip Fee	<i>new; charged 1/3 in each of 3 terms</i>		600.00
56	Medicine - Masters of Public Health - Practicum Fee	<i>new; charged 1/3 in each of 3 terms</i>		600.00
57	Medicine - Postgraduate Verification-Dates only	<i>Regular &amp; Rush</i>	50.00	50.00

**Table 4****2019-2020 SUPPLEMENTAL FEES AND OTHER CHARGES**

			<b>2018-19 Amount</b>	<b>2019-20 Amount</b>
58	Medicine - Postgraduate Verification-Dates & Performance		75.00	75.00
59	Medicine - Postgraduate Certificate of Completion of Training - duplicate/replacement		25.00	25.00
60	Medicine - Postgraduate Courier	<i>Within Canada</i>	15.00	15.00
61	Medicine - Postgraduate Courier	<i>To USA</i>	25.00	25.00
62	Medicine - Postgraduate Courier	<i>International</i>	50.00	50.00
63	Medicine - Postgraduate Duplicate Receipts		15.00	15.00
64	Medicine - Postgraduate Registration - Residents/Fellows	<i>set by provincial COFM</i>	735.00	770.00
65	Medicine - Postgraduate Returned Cheque Charge		55.00	55.00
66	Medicine - Undergraduate Non-credit Summer Elective	<i>per elective</i>	60.00	60.00
67	Medicine - Undergraduate Verification-Dates only		50.00	50.00
68	Medicine - Undergraduate Verification-Dates & Performance		75.00	75.00
69	Music - Recital Cancellation Fee		200.00	200.00
70	Nursing - Foreign Licensure Documentation		250.00	250.00
71	Nursing - Clinical Placement documentation requirement late fee		50.00	50.00
72	Official Western Letter	<i>per letter; standard processing time</i>	16.00	16.00
73	Official Western Letter, on demand	<i>per letter; in-person priority request</i>	20.00	25.00
74	Official Western Letter Special Handling	<i>new; to include an external student-provided form with Official Western Letter (in addition to form processing fee, if applicable)</i>		20.00
75	Physical Therapy - Foreign Licensure Documentation		250.00	250.00
76	Physical Therapy - Remedial Clinical Placement		250.00	250.00
77	Physical Therapy - Supplemental Examination		250.00	250.00
78	Physical Therapy - MCISc Supplemental Practical Examination	<i>for Manipulative Therapy and Wound Healing MCISc programs</i>	500.00	500.00
79	Re-admission Fee (Undergraduates deleted for non-payment of fees)		72.00	75.50

**Table 4**  
**2019-2020 SUPPLEMENTAL FEES AND OTHER CHARGES**

			<b>2018-19 Amount</b>	<b>2019-20 Amount</b>
80	Removal of Academic Sanctions (Sealing charge)		64.00	67.00
81	Replacement Cheque Fee		27.00	28.50
82	Replacement/Duplication of Graduation Diplomas		58.50	62.00
83	Reprinting of non-current fee bills		15.00	20.00
84	Returned Cheque Charge		68.50	72.00
85	Self-service Verification of Enrolment Letters	<i>Per Letter</i>	8.00	8.00
86	Special Examination	<i>Off Campus location</i>	93.00	150.00
87	Supplemental Examination	<i>London; for Faculties not listed above</i>	40.00	41.50
88	Third Party Forms	<i>per form; standard processing time</i>	16.00	16.00
89	Third Party Forms, on demand	<i>per form; in-person priority request</i>	20.00	25.00
90	Transcripts	<i>per copy; standard processing time</i>	15.00	15.00
91	Transcripts, on demand	<i>per copy; in-person priority request</i>	20.00	25.00
92	Transcript Special Handling	<i>new; to include an external student-provided form with transcript (in addition to form processing fee, if applicable)</i>		20.00
93	Transcript Evaluation Fee		90.00	93.50
94	Visiting Research Only Processing Fee	<i>Graduate</i>	200.00	200.00
95	Visiting Research Only Processing Fee	<i>Undergraduate</i>	200.00	200.00
96	Writing Proficiency Examination		66.50	69.00

Table 5

**BUSINESS FOUNDATIONS COURSE MATERIAL FEES 2019-20**

			2018-2019 Amount	2019-2020 Amount	% change	
<b>BUSINESS FOUNDATIONS CUSTOM COURSE MATERIAL FEES</b>						
	<b>Course</b>		<i>Fees include program activity fees if applicable</i>			
1	1220E	Introduction to Business Fall & Spring	<i>Bus1220E Making Business Decisions 11th Edition</i>	198.00	208.00	5.1%
2	2257	Accounting and Business Analysis Fall & Spring	<i>Bus2257 Accounting + Bus Analysis Casbook Vol 1+2</i>	224.00	236.00	5.4%
3	2257	Accounting and Business Analysis	<i>Financial Accounting Tools for Business Decision Making Cust</i>	71.00	75.00	5.6%
4	2257	Accounting and Business Analysis	<i>T-account Pad, 45 sheets</i>	7.00	7.50	7.1%
5	2295F/G	Business Basics for the Sciences	<i>Bus2295F/G Bus Basic for Science Casebook</i>	68.00	72.00	5.9%
6	2299E	Business for Engineers	<i>Bus2299E Business for Engineers Casebook</i>	162.00	170.00	4.9%
7	1299E	Business for Engineers	<i>Bus1299E Business for Engineers Casebook</i>	211.00	221.00	4.7%
8	<b>Notes</b>					
9	•	<i>Business Foundations course material fees include a custom coursepack, plus all course materials not bound in the custom coursepack including in-class readings, handouts, additional cases and items ordered from outside suppliers like software, workbooks, or other materials.</i>				
10	•	<i>The custom course pack and hardcopy handouts are comprised of purchased material, copyright material reported through copyright holders directly, copyright material reported through Access Copyright, and materials that fall in the fair dealing copyright exception.</i>				
			2018-2019 Amount	2019-2020 Amount	% change	
<b>IVEY HBA CUSTOM COURSE MATERIAL FEES</b>						
	<b>Course</b>		<i>Fees include program activity fees if applicable</i>			
12	3300	Strategy		115.00	115.00	0.0%
13	3301	Marketing		125.00	135.00	8.0%
14	3302	Communications		115.00	115.00	0.0%
15	3303	Finance		100.00	100.00	0.0%
16	3304	Operations		200.00	200.00	0.0%
17	3311	Leading People in Organizations		300.00	300.00	0.0%
18	3316	Competing with Analytics		175.00	175.00	0.0%
19	3321	Financial Analysis		110.00	110.00	0.0%
20	3322	Leveraging Information Technology		110.00	150.00	36.4%
21	3323	Learning Through Action		75.00	75.00	0.0%

Table 5

**BUSINESS FOUNDATIONS COURSE MATERIAL FEES 2019-20**

			2018-2019 Amount	2019-2020 Amount	% change
22	<b>Electives</b>				
23	4402	Communications and Society	35.00	35.00	0.0%
24	4408	Cross-Cultural Management	85.00	85.00	0.0%
25	4413	Derivatives	65.00	65.00	0.0%
26	4417	Corporate Financial Reporting	200.00	200.00	0.0%
27	4421	Business to Business Marketing	145.00	145.00	0.0%
28	4427	Advanced Corporate Financial Reporting	65.00	65.00	0.0%
29	4431	Consumer Marketing: Advertising & Promotion	140.00	140.00	0.0%
30	4433	Portfolio Management	125.00	125.00	0.0%
31	4434	Management of Services	140.00	140.00	0.0%
32	4439	Entrepreneurial Finance	100.00	100.00	0.0%
33	4441	Entrepreneurial Marketing	125.00	150.00	20.0%
34	4443	Value Investing	110.00	110.00	0.0%
35	4454	Operations Strategy	125.00	125.00	0.0%
36	4458	Leading Change	210.00	210.00	0.0%
37	4461	Strategic Market Planning	110.00	130.00	18.2%
38	4468	Interpersonal Negotiations	100.00	100.00	0.0%
39	4469	Competing with Analytics	65.00	100.00	53.8%
40	4477	Corporate Financial Reporting II	70.00	70.00	0.0%
41	4479	Taxation for Managers	65.00	65.00	0.0%
42	4480	Global Strategy	150.00	150.00	0.0%
43	4486	Financial Models	100.00	100.00	0.0%
44	4489	Management of Professional Service Firms	125.00	125.00	0.0%
45	4500	Learning from Leaders	80.00	80.00	0.0%
46	4503	Leadership and Communication	50.00	50.00	0.0%

**Table 5****BUSINESS FOUNDATIONS COURSE MATERIAL FEES 2019-20**

			2018-2019 Amount	2019-2020 Amount	% change
47	4505	Global Environment of Business	60.00	60.00	0.0%
48	4517	End User Modelling	75.00	75.00	0.0%
49	4518	Project Management	150.00	150.00	0.0%
50	4525	Service Learning in Africa	100.00	100.00	0.0%
51	4530	Competition & Competitor Analysis	<i>new</i>	150.00	
52	4535	Integrating & Implementing Marketing Decisions	150.00	150.00	0.0%
53	4539	C&S Business Sustainability	110.00	110.00	0.0%
54	4547	Health Sector Leadership	100.00	125.00	25.0%
55	4553	Social Enterprise	135.00	135.00	0.0%
56	4554	Private Equity	150.00	150.00	0.0%
57	4557	C&S - Business, Government and Globalization	100.00	100.00	0.0%
58	4558	New Venture Creation	180.00	150.00	-16.7%
59	4559	Raising Capital in Financial Markets	130.00	130.00	0.0%
60	4564	Design Driven Innovation	150.00	150.00	0.0%
61	4566	Managing High Growth Companies	90.00	100.00	11.1%
62	4567	Investment Management	125.00	125.00	0.0%
63	4569	Ivey Client Field Project (ICFP)	25.00	25.00	0.0%
64	4571	Leadership Under Fire - Developing Character	400.00	400.00	0.0%
65	4574	Mergers and Acquisitions	125.00	125.00	0.0%
66	4580	Reputation Management	90.00	90.00	0.0%
67	4588	C&S Sustainable Finance	125.00	125.00	0.0%
68	4591	Business Markets	150.00	150.00	0.0%
69	4592	Sports and Entertainment Marketing	125.00	125.00	0.0%
70	4607	Microeconomics for Managers	200.00	200.00	0.0%
71	4610	Leading Family Firms	50.00	50.00	0.0%



Table 5

**BUSINESS FOUNDATIONS COURSE MATERIAL FEES 2019-20**

				2018-2019 Amount	2019-2020 Amount	% change
72	4611	Start Ups		100.00	100.00	0.0%
73	4614	Social Media, Analytics and Digital Marketing		150.00	150.00	0.0%
74	4616	Innovation, Entrepreneurship and Economic Growth in Israel		100.00	100.00	0.0%
75	4619	The Performing Leader		150.00	100.00	-33.3%
76	4620	Impact Assessment		150.00	150.00	0.0%
77	4621	Design and Technology Management		150.00	175.00	16.7%
78	4623	International Collaborative Arrangements		180.00	180.00	0.0%
79	4625	Developing More Sustainable Supply Chain		175.00	175.00	0.0%
80		Managerial Accounting & Control		150.00	150.00	0.0%
81		Fintech Disruption of Banking	<i>new</i>		125.00	
82		Introductory Data Science	<i>new</i>		100.00	
83	Note: Courses may change depending on enrollment for Winter Term 2018					
84	<b>Program Activity Fees</b>					
85	3302	Communications	<i>Improv Workshop</i>	10.00	10.00	0.0%
86	3302	Communications	<i>Supporting Roles</i>	10.00	10.00	0.0%
87	3304	Operations - Operations in various organizations	<i>Field Trip</i>	30.00	30.00	0.0%
88	3311	Leading People in Organizations: Team Building Exercise	<i>Field Trip</i>	60.00	60.00	0.0%
89	3311	Leading People in Organizations: Team Building Exercise	<i>Transportation</i>	10.00	10.00	0.0%
90	3311	Leading People - Supporting Roles Workshop Role-play	<i>Commitment Workshop</i>	40.00	40.00	0.0%
91	4535	SABRE	<i>Simulation</i>	70.00	70.00	0.0%
92	4433	Stock Track Simulation	<i>Simulation</i>	25.00	25.00	0.0%
93	4535	Simulation	<i>Simulation</i>	65.00	65.00	0.0%
94	4441	Entrepreneurial Marketing Simulation	<i>Simulation</i>	30.00	30.00	0.0%
95	<b>Notes</b>					
96	•	<i>Course pack fees include: custom course pack, items not bound in the custom course pack including in-class readings, handouts, additional cases and items ordered from outside suppliers through Ivey Publishing like software, workbooks or other materials.</i>				

**Table 5****BUSINESS FOUNDATIONS COURSE MATERIAL FEES 2019-20**

		2018-2019 Amount	2019-2020 Amount	% change
97	•	<i>Approximately \$10.00 has been added to the course pack fee to cover the cost of binding, graphic services labour charges and distribution costs.</i>		
98	•	<i>The custom course pack and hardcopy handouts are comprised of purchased material, copyright material reported through copyright holders directly, copyright material reported through Access Copyright, and materials that fall in the fair dealing copyright exception.</i>		
99	•	<i>Any increase/decrease in fee reflects adjustments made due to actual costs as compared to estimated fees from previous year.</i>		
100	•	<i>Estimated fees for new core courses are based on how many cases and readings will be used.</i>		



# **Student Fee-Funded Units, Ancillaries, Academic Support Units, and Associated Companies 2019-20 Budgets**

**March 31, 2019**

*Western University*

**Student Fee-Funded Units, Ancillary Units, Academic Support Units, and  
Associated Companies**

**2019-20 Budget and Ancillary Fees**

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**Summary of Unit Budgets**

Table 1 includes a summary of the 2018-19 projected results and 2019-20 budgets for Student Fee-funded Units, Ancillary Units, Academic Support Units, and Associated Companies. The following summary provides an overview of the funding source for each category of units and noteworthy comments pertaining to specific 2018-19 year-end projections, 2019-20 budgets, and forecasted unit reserve positions.

**A. Student Fee-Funded Units**

*Student Fee-Funded Units* are supported, in whole or in part, by non-tuition related ancillary fees. A listing of Western's proposed 2019-20 Student Organization and Ancillary Fees are presented in Tables 2 through 6. Student involvement in establishing fee levels for ancillaries is facilitated through the Student Services Committee (SSC) – a student-run group comprised of voting representatives from the University Students' Council (USC), the Society of Graduate Students (SOGS), and the Master of Business Administration Association (MBAA).

In 2004, the SSC implemented a fee adjustment mechanism designed to avoid the erosion of service levels caused by the negative financial impact of progressive inflation. The base level of the fee adjustment mechanism was revised in 2018 to be the greater of the Consumers Price Index (CPI) for the previous calendar year or the most recent 5-year historical average of CPI. Under the terms of this inflation mechanism, the SSC has approved a 2% inflationary fee increase for Western's student fee-funded Ancillary Units starting in September 2019.

In addition to the standard inflation adjustment, the SSC has approved additional fee increases for certain fee-funded ancillary operations to enhance programs that support important health and wellness initiatives for students. These additional investments will serve as a direct match against a \$400,000 base funding allocation from the University, which was approved in fiscal 2018-19 to address health and wellness issues. The specific unit increases approved to support these initiatives are detailed on Table 4.

In January 2019, the Ministry of Training, Colleges and Universities (MTCU) introduced the Student Choice Initiative.....a new fee policy that will give students the choice to opt out of certain fees considered optional by the Ministry. Those Student Organization and Ancillary Fees now classified as optional and available for the opt-out option under the new fee policy are detailed separately on Tables 2 through 6.

*Student Fee-Funded Unit Specific Comments:*

***Student Health Services (line 11)*** – The deficit projected for 2018-19 (-\$46,700) is primarily due to lower than anticipated medical billings and one-time costs associated with the integration of the patient records system with the Psychological Services area of the Student Development Centre. The reserve for this unit is above the target level and is sufficient to cover the shortfall.

**B. Ancillary Units**

Revenues that support the *Ancillary Unit* budgets are primarily derived from the sale of goods and services to the general University community.

*Ancillary Unit Specific Comments:*

***Housing Services (line 17)*** – The Housing surplus projected for 2018-19 (\$6,748,500) was higher than planned primarily due to an increased demand from first-year students for residence rooms, higher occupancy in the family housing units, and lower major maintenance costs. The surplus for 2019-20 is expected to decline because of a return to traditional occupancy levels for residence rooms (98%) and an increase in projected major maintenance expenses.

**C. Academic Support Units**

The budgets for the *Academic Support Units* are funded from various sources, including recoveries from internal research projects, revenues from external industrial contracts, and course fees. In addition, Animal Care & Veterinary Services receives support from the University operating budget to help offset the costs of unrecoverable regulatory requirements and training for animal users.

*Academic Support Unit Specific Comments:*

***Animal Care & Veterinary Services (line 22)*** – The Animal Care & Veterinary Services unit provides facilities, veterinarians, animal care technicians, managers, and office staff to support Western's research community using animal models. A restructuring plan is currently under development which will involve the replacement of aging facilities (i.e. construction of a new biomedical facility) and the reorganization of operations to better meet the needs of the research community, while continuing to maintain full compliance with regulatory bodies.

***University Machine Services (line 24)*** – The Machine Services is forecasting a deficit for fiscal 2018-19 (-\$76,700) primarily due to one-time retroactive salary costs resulting from a new collective agreement, lower project activity, and one major project that came in over budget. The reserve for this unit is above the target level and is sufficient to cover the shortfall.

***Fraunhofer Project Centre (line 25)*** – The Fraunhofer Project Centre is forecasting consecutive surplus positions for fiscal 2018-19 (\$49,600) and 2019-20 (\$77,300). These positive results will continue to reduce the negative carryforward reserve position for this unit.

## **D. Associated Companies**

The following Associated Companies are consolidated into the Combined Western University Financial Statements:

### *Associated Companies Unit Specific Comments:*

***Western Research Parks (line 30)*** – The deficits projected for the Western Research Parks is primarily due to declining hotel accommodation and food & beverage revenue for Windermere Manor, vacancies in the Collider Centre located at the Advanced Manufacturing Park, and lower product sales for ADEISS. The lower sales for ADEISS is attributed to delays in obtaining required certifications.

***Ivey Business School Foundation (line 31)*** – The Ivey Business School Foundation (IBSF) provides non-degree Executive Education programs through The Ivey Academy, publishes business case material and provides case method workshops, and operates educational and conference facilities at the Spencer Hall Leadership Centre in London and the Donald K. Johnson Centre in downtown Toronto. The conference facilities at Spencer Hall and in Toronto support the academic mission of the Richard Ivey School of Business.

***Ivey Business School Asia Limited (line 32)*** – Ivey continues to work on reducing the annual deficit for Ivey Asia, while developing a path towards sustainability in the region. The surplus projected for 2018-19 (\$1,485,000) is primarily due to the transfer of \$1.5M from the IBSF to reduce intercompany debt in the Shanghai operation.

**Table 1**  
*Western University*  
**Student Fee-Funded Units, Ancillary Units, Academic Support Units, and Associated Companies**  
**2019-20 Budget (\$ 000)**

	2018-19 Projected			2019-20 Budget			% Change		Budgeted Reserves at April 30, 2020	
	Revenues	Expenses	Rev-Exp	Revenues	Expenses	Rev-Exp	Revenues	Expenses	Operating	Capital
<b>1 A - Student Fee-Funded Units</b>										
2 SRS: Campus Recreation	5,769.0	5,720.3	48.7	6,077.8	6,056.6	21.2	5.35%	5.88%	722.6	628.5
3 SRS: Intercollegiate Athletics	6,812.4	6,821.0	-8.6	6,834.4	6,830.4	4.0	0.32%	0.14%	106.6	
4 SRS: Thompson Recreation & Athletic Centre	1,204.2	1,217.1	-12.9	1,236.3	1,241.3	-5.0	2.67%	1.99%	253.2	433.4
5 Financial Aid Office	1,157.6	1,158.4	-0.8	1,173.3	1,167.9	5.4	1.36%	0.82%	57.5	
6 International Student Services	475.5	473.9	1.6	485.4	480.7	4.7	2.08%	1.43%	6.5	
7 Indigenous Services	829.7	812.9	16.8	872.5	861.1	11.4	5.16%	5.93%	154.4	
8 Services for Students with Disabilities	475.1	468.7	6.4	698.2	694.6	3.6	46.96%	48.20%	38.6	74.2
9 Student Development Centre	2,684.1	2,666.9	17.2	2,827.4	2,831.4	-4.0	5.34%	6.17%	327.9	150.0
10 Student Success Centre	1,663.9	1,657.9	6.0	1,822.2	1,802.9	19.3	9.51%	8.75%	450.0	
11 Student Health Services	4,485.4	4,532.1	-46.7	4,799.0	4,796.0	3.0	6.99%	5.82%	681.9	500.0
12 Off-Campus Housing & Housing Mediation Svcs	455.8	466.5	-10.7	469.6	469.9	-0.3	3.03%	0.73%	135.5	
13 Western Foot Patrol	189.9	189.8	0.1	194.1	192.6	1.5	2.21%	1.48%	36.0	46.6
14 <b>Sub-Total</b>	<b>26,202.6</b>	<b>26,185.5</b>	<b>17.1</b>	<b>27,490.2</b>	<b>27,425.4</b>	<b>64.8</b>	<b>4.91%</b>	<b>4.74%</b>	<b>2,970.7</b>	<b>1,832.7</b>
<b>15 B - Ancillary Units</b>										
16 Family Practice Clinic and Workplace Health Svcs	511.1	504.4	6.7	510.5	509.1	1.4	-0.12%	0.93%	178.4	
17 Housing Services	79,364.5	72,616.0	6,748.5	80,178.3	77,746.2	2,432.1	1.03%	7.06%	70,131.0	
18 Parking Services	5,858.1	5,835.1	23.0	6,210.9	6,118.1	92.8	6.02%	4.85%	5,035.2	
19 Retail Services	32,569.8	32,529.7	40.1	32,179.3	32,179.2	0.1	-1.20%	-1.08%	1,448.4	
20 <b>Sub-Total</b>	<b>118,303.5</b>	<b>111,485.2</b>	<b>6,818.3</b>	<b>119,079.0</b>	<b>116,552.6</b>	<b>2,526.4</b>	<b>0.66%</b>	<b>4.55%</b>	<b>76,793.0</b>	<b>0.0</b>
<b>21 C - Academic Support Units</b>										
22 Animal Care & Veterinary Services	4,721.8	4,658.1	63.7	4,761.2	4,749.0	12.2	0.83%	1.95%	351.5	
23 Boundary Layer Wind Tunnel	2,249.3	2,239.5	9.8	2,350.0	2,344.5	5.5	4.48%	4.69%	605.5	300.0
24 University Machine Services	1,883.2	1,959.9	-76.7	2,104.6	2,000.3	104.3	11.76%	2.06%	440.5	
25 Fraunhofer Project Centre	1,249.8	1,200.2	49.6	1,338.5	1,261.2	77.3	7.10%	5.08%	-634.9	146.1
26 Surface Science Western	1,752.1	1,750.7	1.4	1,748.0	1,756.8	-8.8	-0.23%	0.35%	280.5	407.4
27 Continuing Studies at Western	2,567.6	2,420.4	147.2	2,664.8	2,595.7	69.1	3.79%	7.24%	625.8	
28 <b>Sub-Total</b>	<b>14,423.8</b>	<b>14,228.8</b>	<b>195.0</b>	<b>14,967.1</b>	<b>14,707.5</b>	<b>259.6</b>	<b>3.77%</b>	<b>3.36%</b>	<b>1,668.9</b>	<b>853.5</b>
<b>29 D - Associated Companies</b>										
30 Western Research Parks (incl. Windermere Manor, ADEISS & AMP)	8,370.6	8,823.3	-452.7	8,253.7	8,734.2	-480.5	-1.40%	-1.01%	-14,285.6	
31 Ivey Business School Foundation	31,585.0	29,893.0	1,692.0	31,338.0	30,765.0	573.0	-0.78%	2.92%	15,116.5	
32 Ivey Business School Asia Limited	3,772.0	2,287.0	1,485.0	4,109.0	4,095.0	14.0	8.93%	79.06%	-995.1	
33 <b>Sub-Total</b>	<b>43,727.6</b>	<b>41,003.3</b>	<b>2,724.3</b>	<b>43,700.7</b>	<b>43,594.2</b>	<b>106.5</b>	<b>-0.06%</b>	<b>6.32%</b>	<b>-164.2</b>	<b>0.0</b>
<b>34 Grand Total</b>	<b>202,657.5</b>	<b>192,902.8</b>	<b>9,754.7</b>	<b>205,237.0</b>	<b>202,279.7</b>	<b>2,957.3</b>	<b>1.27%</b>	<b>4.86%</b>	<b>81,268.4</b>	<b>2,686.2</b>

**Table 2**  
Western University  
**Recommended 2019-20 Full-Time Student Organization and Ancillary Fees (\$ 000)**

	Full-Time Undergraduate				Full-Time Graduate - Three Terms				Full-Time MBA			
	Actual 2018-19	<a> Proposed 2019-20	\$ Change	% Change	Actual 2018-19	<a> Proposed 2019-20	\$ Change	% Change	Actual 2018-19	<a> Proposed 2019-20	\$ Change	% Change
1 <b>Total Student Organization Fees (See Table 5)</b>	<b>998.02</b>	<b>1,072.94</b>	<b>74.92</b>	<b>7.5%</b>	<b>1,063.66</b>	<b>1,144.14</b>	<b>80.48</b>	<b>7.6%</b>	<b>922.93</b>	<b>1,134.30</b>	<b>211.37</b>	<b>22.9%</b>
2 <b>Western Student Ancillary Fees</b>												
3 <i>Western Compulsory Student Ancillary Fees</i>												
4 SRS: Campus Recreation	103.28	107.28	4.00	3.9%	131.49	135.49	4.00	3.0%	131.49	135.49	4.00	3.0%
5 SRS: Intercollegiate Athletics	91.15	93.97	2.82	3.1%	91.15	93.97	2.82	3.1%	91.15	93.97	2.82	3.1%
6 SRS: Thompson Recreation & Athletic Centre	19.97	20.37	0.40	2.0%	19.97	20.37	0.40	2.0%	19.97	20.37	0.40	2.0%
7 Financial Aid Office	39.42	40.21	0.79	2.0%	39.42	40.21	0.79	2.0%	39.42	40.21	0.79	2.0%
8 Indigenous Services	12.39	13.11	0.72	5.8%	12.39	13.11	0.72	5.8%	12.39	13.11	0.72	5.8%
9 Student Success Centre	49.76	52.86	3.10	6.2%	32.23	34.97	2.74	8.5%	32.23	34.97	2.74	8.5%
10 Student Development Centre	81.54	84.50	2.96	3.6%	81.54	84.50	2.96	3.6%	81.54	84.50	2.96	3.6%
11 Student Health Services	51.79	54.67	2.88	5.6%	51.79	54.67	2.88	5.6%	51.79	54.67	2.88	5.6%
12 Western Foot Patrol	4.85	4.95	0.10	2.1%	4.85	4.95	0.10	2.1%	4.85	4.95	0.10	2.1%
13 <i>Sub-Total Compulsory Student Ancillary Fees</i>	<i>454.15</i>	<i>471.92</i>	<i>17.77</i>	<i>3.9%</i>	<i>464.83</i>	<i>482.24</i>	<i>17.41</i>	<i>3.7%</i>	<i>464.83</i>	<i>482.24</i>	<i>17.41</i>	<i>3.6%</i>
14 <i>Western Optional Student Ancillary Fees &lt;b&gt;</i>												
15 International Student Services	14.45	14.74	0.29	2.0%	14.45	14.74	0.29	2.0%	14.45	14.74	0.29	2.0%
16 Off-Campus Housing & Housing Mediation Svcs	9.18	9.36	0.18	2.0%	9.18	9.36	0.18	2.0%	9.18	9.36	0.18	2.0%
17 Services for Students with Disabilities	13.65	17.00	3.35	24.5%	13.65	17.00	3.35	24.5%	13.65	17.00	3.35	24.5%
18 <i>Sub-Total Optional Student Ancillary Fees</i>	<i>37.28</i>	<i>41.10</i>	<i>3.82</i>	<i>10.2%</i>	<i>37.28</i>	<i>41.10</i>	<i>3.82</i>	<i>10.2%</i>	<i>37.28</i>	<i>41.10</i>	<i>3.82</i>	<i>10.2%</i>
19 <b>Sub-Total Western Student Ancillary Fees</b>	<b>491.43</b>	<b>513.02</b>	<b>21.59</b>	<b>4.4%</b>	<b>502.11</b>	<b>523.34</b>	<b>21.23</b>	<b>4.2%</b>	<b>502.11</b>	<b>523.34</b>	<b>21.23</b>	<b>4.2%</b>
20 <b>Grand Total</b>	<b>1,489.45</b>	<b>1,585.96</b>	<b>96.51</b>	<b>6.5%</b>	<b>1,565.77</b>	<b>1,667.48</b>	<b>101.71</b>	<b>6.5%</b>	<b>1,425.04</b>	<b>1,657.64</b>	<b>232.60</b>	<b>16.3%</b>

<a> The proposed rates are effective September 1, 2019, except for the Student recreation Centre fee which increases on May 1, 2019.

<b> In accordance with the fee classification framework introduced by the Ministry of Training, Colleges and Universities as part of the Student Choice Initiative, students will now have the option to opt-out of these particular fees upon receipt of their fee bill.



**Table 3**  
*Western University*  
**Recommended 2019-20 Part-Time Student Organization and Ancillary Fees for Part-Time Students (\$ 000)**

	Part-Time Undergrad - per full course				Undergrad: Summer - per full course				Part-Time Graduate - per term				
	Actual 2018-19	Proposed 2019-20	\$ Change	% Change	Actual 2018-19	Proposed 2019-20	\$ Change	% Change	Actual 2018-19	Proposed 2019-20	\$ Change	% Change	
1	<b>Total Student Organization Fees (See Table 6)</b>	<b>75.29</b>	<b>74.93</b>	<b>-0.36</b>	<b>-0.5%</b>	<b>36.86</b>	<b>36.22</b>	<b>-0.64</b>	<b>-1.7%</b>	<b>33.17</b>	<b>34.02</b>	<b>0.85</b>	<b>2.6%</b>
2	<b>Western Student Ancillary Fees</b>												
3	<i>Western Compulsory Student Ancillary Fees</i>												
4	SRS: Campus Recreation	20.66	21.46	0.80	3.9%	10.33	10.73	0.40	3.9%				
5	SRS: Intercollegiate Athletics	18.23	18.79	0.56	3.1%	9.12	9.40	0.28	3.1%				
6	SRS: Thompson Recreation & Athletic Centre	3.99	4.07	0.08	2.0%	2.00	2.04	0.04	2.0%				
7	Financial Aid Office	7.88	8.04	0.16	2.0%	3.94	4.02	0.08	2.0%	6.57	6.70	0.13	2.0%
8	Indigenous Services	2.48	2.62	0.14	5.6%	1.24	1.31	0.07	5.6%	2.07	2.19	0.12	5.8%
9	Student Success Centre	9.95	10.57	0.62	6.2%	4.98	5.29	0.31	6.2%	5.37	5.83	0.46	8.6%
10	Student Development Centre	16.31	16.90	0.59	3.6%	8.15	8.45	0.30	3.7%	13.59	14.08	0.49	3.6%
11	Student Health Services	10.36	10.93	0.57	5.5%	5.18	5.47	0.29	5.6%				
12	Western Foot Patrol	0.97	0.99	0.02	2.1%	0.49	0.50	0.01	2.0%	0.81	0.83	0.02	2.5%
13	<i>Sub-Total Compulsory Student Ancillary Fees</i>	<b>90.83</b>	<b>94.37</b>	<b>3.54</b>	<b>3.9%</b>	<b>45.43</b>	<b>47.21</b>	<b>1.78</b>	<b>3.9%</b>	<b>28.41</b>	<b>29.63</b>	<b>1.22</b>	<b>4.3%</b>
14	<i>Western Optional Student Ancillary Fees &lt;a&gt;</i>												
15	International Student Services	2.89	2.95	0.06	2.1%	1.45	1.47	0.02	1.4%	2.41	2.46	0.05	2.1%
16	Off-Campus Housing & Housing Mediation Svcs	1.84	1.87	0.03	1.6%	0.92	0.94	0.02	2.2%				
17	Services for Students with Disabilities	2.73	3.40	0.67	24.5%	1.37	1.70	0.33	24.1%				
18	<i>Sub-Total Optional Student Ancillary Fees</i>	<b>7.46</b>	<b>8.22</b>	<b>0.76</b>	<b>10.2%</b>	<b>3.74</b>	<b>4.11</b>	<b>0.37</b>	<b>9.9%</b>	<b>2.41</b>	<b>2.46</b>	<b>0.05</b>	<b>2.1%</b>
19	<b>Sub-Total Western Student Ancillary Fees</b>	<b>98.29</b>	<b>102.59</b>	<b>4.30</b>	<b>4.4%</b>	<b>49.17</b>	<b>51.32</b>	<b>2.15</b>	<b>4.4%</b>	<b>30.82</b>	<b>32.09</b>	<b>1.27</b>	<b>4.1%</b>
20	<b>Grand Total</b>	<b>173.58</b>	<b>177.52</b>	<b>3.94</b>	<b>2.3%</b>	<b>86.03</b>	<b>87.54</b>	<b>1.51</b>	<b>1.8%</b>	<b>63.99</b>	<b>66.11</b>	<b>2.12</b>	<b>3.3%</b>

<a> In accordance with the fee classification framework introduced by the Ministry of Training, Colleges and Universities as part of the Student Choice Initiative, students will now have the option to opt-out of these particular fees upon receipt of their fee bill.

**Table 4**  
*Western University*  
**Fee Details for Units Granted an Increase to Support Health & Wellness Initiatives**

		Full-Time Undergraduate							Full-Time Graduate - Three Terms and MBA						
		Actual 2018-19	Proposed 2019-20				\$ Change	% Change	Actual 2018-19	Proposed 2019-20				\$ Change	% Change
			Inflation Adjustment 2% <a>	Health & Wellness Increase	Total Change	<a> Proposed 2019-20				Inflation Adjustment 2% <a>	Health & Wellness Increase	Total Change	<a> Proposed 2019-20		
1	SRS: Campus Recreation	103.28	1.85	2.15	4.00	107.28	4.00	3.9%	131.49	1.85	2.15	4.00	135.49	4.00	3.0%
2	SRS: Intercollegiate Athletics	91.15	1.82	1.00	2.82	93.97	2.82	3.1%	91.15	1.82	1.00	2.82	93.97	2.82	3.1%
3	Indigenous Services	12.39	0.25	0.47	0.72	13.11	0.72	5.8%	12.39	0.25	0.47	0.72	13.11	0.72	5.8%
4	Services for Students with Disabilities	13.65	0.27	3.08	3.35	17.00	3.35	24.5%	13.65	0.27	3.08	3.35	17.00	3.35	24.5%
5	Student Development Centre	81.54	1.63	1.33	2.96	84.50	2.96	3.6%	81.54	1.63	1.33	2.96	84.50	2.96	3.6%
6	Student Success Centre	49.76	1.00	2.10	3.10	52.86	3.10	6.2%	32.23	0.64	2.10	2.74	34.97	2.74	8.5%
7	Student Health Services	51.79	1.04	1.84	2.88	54.67	2.88	5.6%	51.79	1.04	1.84	2.88	54.67	2.88	5.6%
8	<b>Grand Total</b>	<b>403.56</b>	<b>7.86</b>	<b>11.97</b>	<b>19.83</b>	<b>423.39</b>	<b>19.83</b>	<b>4.9%</b>	<b>414.24</b>	<b>7.50</b>	<b>11.97</b>	<b>19.47</b>	<b>433.71</b>	<b>19.47</b>	<b>4.7%</b>

<a> Inflation increase is 2% for all units except for Campus Recreation. The inflation increase for Campus Recreation was limited to 1.8% due to an overall maximum allowable increase of \$4.00 for any given unit, as specified by the provisions of the Student Fee Protocol.

**Table 5**  
*Western University*  
**Recommended 2019-20 Full-Time Student Organization Fees**

	Full-Time Undergraduate				Full-Time Graduate - Three Terms				Full-Time MBA and AMBA				
	Actual 2018-19	<a> Proposed 2019-20	\$ Change	% Change	Actual 2018-19	<a> Proposed 2019-20	\$ Change	% Change	Actual 2018-19	<a> Proposed 2019-20	\$ Change	% Change	
1	<b>Compulsory Fees</b>												
2	Student Buildings	95.29	124.53	29.24	30.7%	32.43	24.00	- 8.43	-26.0%	90.00	-	- 90.00	-100.0%
3	Student Recreation Centre Fund	80.64	83.06	2.42	3.0%	80.64	83.06	2.42	3.0%	80.64	83.06	2.42	3.0%
4	Academic Support (incl. Ombuds Fee)	3.37	11.73	8.36	248.1%	3.37	5.00	1.63	48.4%				
5	Transit Pass	240.82	262.92	22.10	9.2%	240.09	253.74	13.65	5.7%		<g> 275.00	275.00	-
6	Health and Wellness	-	5.40	5.40	-								
7	Safe Transit Program	10.58	15.85	5.27	49.8%								
8	Health Plan (Incl. Dental for SOGS) <e>	138.47	161.00	22.53	16.3%	601.17	669.99	68.82	11.4%	152.29	<d> 176.24	23.95	15.7%
9	Dental Plan <e>	141.50	155.34	13.84	9.8%								
10	<b>Sub-Total USC Compulsory Fees</b>	<b>710.67</b>	<b>819.83</b>	<b>109.16</b>	<b>15.4%</b>	<b>957.70</b>	<b>1,035.79</b>	<b>78.09</b>	<b>8.2%</b>	<b>322.93</b>	<b>534.30</b>	<b>211.37</b>	<b>65.5%</b>
11	<b>Optional Fees &lt;f&gt;</b>												
12	Organization Fee	84.82	<b>	- 84.82	-100.0%	78.36	80.19	1.83	2.3%	600.00	600.00	-	0.0%
13	Grad Club Levy					5.19	5.31	0.12	2.3%				
14	CFS Fee					16.80	17.13	0.33	2.0%				
15	Government Advocacy	3.73	8.75	5.02	134.6%								
16	Student Life	-	14.05	14.05	-								
17	Peer Programs	-	3.20	3.20	-								
18	Clubs Administration	-	6.82	6.82	-								
19	Orientation Week Fee <first year students only>	100.00	118.26	18.26	18.3%								
20	Student Initiative Grants	4.04	7.43	3.39	83.9%								
21	Gazette	20.03	19.43	- 0.60	-3.0%								
22	Radio Western	12.00	12.24	0.24	2.0%								
23	Student Refugee Program	0.83	0.83	-	0.0%								
24	Daycare	1.60	1.60	-	0.0%								
25	Marching Band Fee	0.53	0.54	0.01	1.9%								
26	Community Legal Services	5.61	5.72	0.11	2.0%	5.61	5.72	0.11	2.0%				
27	Faculty and Affiliate Councils	4.16	4.24	0.08	1.9%								
28	Student Bursary Fund	50.00	50.00	-	0.0%								
29	<b>Sub-Total USC Optional Fees</b>	<b>287.35</b>	<b>253.11</b>	<b>- 34.24</b>	<b>-11.9%</b>	<b>105.96</b>	<b>108.35</b>	<b>2.39</b>	<b>2.3%</b>	<b>600.00</b>	<b>600.00</b>	<b>-</b>	<b>0.0%</b>
30	<b>Grand Total</b>	<b>998.02</b>	<b>1,072.94</b>	<b>74.92</b>	<b>7.5%</b>	<b>1,063.66</b>	<b>1,144.14</b>	<b>80.48</b>	<b>7.6%</b>	<b>922.93</b>	<b>1,134.30</b>	<b>211.37</b>	<b>22.9%</b>

<a> The proposed rates are effective September 1, 2019, except for the Student recreation Centre fee which increases on May 1, 2019.

<b> The former USC Student Organization Fee has been broken out and redistributed into more detailed individual program/service components.

<c> In addition to the Full-Time Undergraduate fees, an additional \$400.00 fee will be collected on behalf of the HBA Association from HBA students entering the first year of the program. The \$400.00 fee covers both years of the program and will be classified as optional and available for opt-out under the provisions of the Student Choice Initiative.

<d> The MBA Health Plan is administered by the USC and provides coverage to MBA students for a 13 month period. The corresponding rate for the AMBAs is \$162.68.

<e> Eligible for opt-out upon presentation of proof of coverage from another source.

<f> In accordance with the fee classification framework introduced by the Ministry of Training, Colleges and Universities as part of the Student Choice Initiative, students will now have the option to opt-out of these particular fees upon receipt of their fee bill.

<g> Starting in March 2020 the MBAs and AMBAs join the Undergraduate transportation contract with the LTC. The final fee rate has not been determined but it will not exceed \$275.00.

**Table 6**  
Western University  
**Recommended 2019-20 Part-Time Student Organization Fees**

	Part-Time Undergrad - per full course				Undergrad: Summer - per full course				Part-Time Graduate - per term				
	Actual 2018-19	Proposed 2019-20	\$ Change	% Change	Actual 2018-19	Proposed 2019-20	\$ Change	% Change	Actual 2018-19	Proposed 2019-20	\$ Change	% Change	
1	<b>Compulsory Fees</b>												
2	Student Buildings	19.06	24.91	5.85	30.7%	9.53	12.46	2.93	30.7%				
3	Student Recreation Centre Fund	16.13	16.61	0.48	3.0%	8.33	8.60	0.27	3.2%	13.44	13.84	0.40	3.0%
3	Academic Support (Incl. Ombuds Fee)	0.67	2.35	1.68	250.7%	0.34	1.18	0.84	247.1%				
4	Health and Wellness	-	1.08	1.08	-	-	0.54	0.54	-				
5	Safe Transit Program	2.12	3.17	1.05	49.5%	-	-	-	-				
6	<b>Sub-Total Compulsory Fees</b>	<b>37.98</b>	<b>48.12</b>	<b>10.14</b>	<b>26.7%</b>	<b>18.20</b>	<b>22.78</b>	<b>4.58</b>	<b>25.2%</b>	<b>13.44</b>	<b>13.84</b>	<b>0.40</b>	<b>3.0%</b>
7	<b>Optional Fees &lt;a&gt;</b>												
8	Organization Fee	16.96	-	- 16.96	-100.0%	8.46	-	- 8.46	-100.0%	16.07	16.44	0.37	2.3%
9	Grad Club Levy	-	-	-	-	-	-	-	-	0.86	0.88	0.02	2.3%
10	CFS Fee	-	-	-	-	-	-	-	-	2.80	2.86	0.06	2.1%
11	Government Advocacy	0.75	1.75	1.00	133.3%	0.38	0.88	0.50	131.6%				
12	Student Life	-	2.81	2.81	-	-	1.41	1.41	-				
13	Peer Programs	-	0.64	0.64	-	-	0.32	0.32	-				
14	Clubs Administration	-	1.36	1.36	-	-	0.68	0.68	-				
15	Student Initiative Grants	0.81	1.49	0.68	84.0%	0.41	0.75	0.34	82.9%				
16	Gazette	4.01	3.89	- 0.12	-3.0%	2.01	1.95	- 0.06	-3.0%				
17	Radio Western	2.40	2.45	0.05	2.1%	1.20	1.23	0.03	2.5%				
18	Daycare	0.32	0.32	-	0.0%	0.16	0.16	-	0.0%				
19	Marching Band Fee	0.11	0.11	-	0.0%	0.06	0.06	-	0.0%				
20	Community Legal Services	1.12	1.14	0.02	1.8%	0.56	0.57	0.01	1.8%				
21	Faculty and Affiliate Councils	0.83	0.85	0.02	2.4%	0.42	0.43	0.01	2.4%				
22	Student Bursary Fund	10.00	10.00	-	0.0%	5.00	5.00	-	0.0%				
23	<b>Sub-Total Optional Fees</b>	<b>37.31</b>	<b>26.81</b>	<b>- 10.50</b>	<b>-28.1%</b>	<b>18.66</b>	<b>13.44</b>	<b>- 5.22</b>	<b>-28.0%</b>	<b>19.73</b>	<b>20.18</b>	<b>0.45</b>	<b>2.3%</b>
24	<b>Grand Total Part-Time Student Organization Fees</b>	<b>75.29</b>	<b>74.93</b>	<b>- 0.36</b>	<b>-0.5%</b>	<b>36.86</b>	<b>36.22</b>	<b>- 0.64</b>	<b>-1.7%</b>	<b>33.17</b>	<b>34.02</b>	<b>0.85</b>	<b>2.6%</b>

<a> In accordance with the fee classification framework introduced by the Ministry of Training, Colleges and Universities as part of the Student Choice Initiative, students will now have the option to opt-out of these particular fees upon receipt of their fee bill.



## Student Services Committee Report

Item:	Student Services Committee Report for the 2018-2019 Academic Year
Author:	Mitch Pratt - Student Services Committee Chairperson

### Summary

The 2018-2019 Student Services Committee (SSC) has worked on two major projects this year: (1) the Student Thriving Initiative and (2) understanding the impact the Student Choice Initiative (SCI) will have on student fee bills. Both projects are of important significance for students, and the goal when dealing with both has been the same all year: to ensure exceptional service for Undergraduate and Graduate Students at Western University.

Ultimately, the SSC approved the attached fee table as presented at the March 28th, 2019 meeting. This approval comes with the condition that fee descriptions are added to the fee bill students receive for their ancillary services. Furthermore, the committee feels there has not been sufficient details from the fee-funded units regarding the allocation of ancillary fees given the SCI's stipulation of transparency on mandatory and optional fees. It is the belief of the SSC that Western University must clearly outline to all students the areas of fee-funded units that will be supported by mandatory ancillary fee. The SSC also requests clear indication on which areas of the fee-funded units will be supported by optional ancillary fees, or by other sources of revenue (such as Western University's operating budget, grants, etc.).

### Background

Student Thriving was approved at a special meeting of the SSC during first semester. The committee received an extensive presentation on two separate occasions regarding the Thriving model and its potential use at Western. As quoted from the Thriving Website, "The Thriving Quotient™ (TQ) is an instrument that was developed to measure the academic, social, and psychological aspects of a student's college experience that are most predictive of academic success". Given this focus on ensuring all students are supported, the SSC approved the development of a fee increase of \$12 per student to fund Thriving. This was with the condition that as much data as possible from the Thriving benchmarking surveys be shared with the SSC as confirmation of the success of the program.

On January 17th 2019 the Provincial Government released the Student Choice Initiative (SCI), mandating all student fees would be optional, excluding some mandatory services as outlined by the Ministry of Training Colleges and Universities. The true implications of the SCI initiative will not be felt until years have passed, but its impact has been felt at the SSC. The Ancillary

Fee Protocol as signed by both students and Western University Administration outlines the following jurisdiction of the committee:

1. *The SSC shall make submissions to the UWO President, the PFC and the Board of Governors on:*
  - a. *Changes or increases to fees relating to services in section 4.00;*
  - b. *Any user fees collected as a pre-condition for the use of a student service;*
  - c. *Any new fee or service; and,*
  - d. *The direction and scope of student services.*

As outlined above, the SSC protocol states that any changes or increases to fees, or fees collected as a pre-condition for service, be within the jurisdiction of the committee for approval. As such, any response to the SCI in relation to a student service funded by ancillary fees should be discussed by the committee. The Committee approved the fee table as attached but requested that Western University clearly outline to all students the areas of fee-funded units that will be supported by mandatory ancillary fees. This will ensure it is clear to the SSC which areas of fee-funded units will be supported by optional ancillary fees versus other sources of revenue (such as Western University's operating budget, grants, etc.).

Significant portions of various fee-funded units that have been deemed as mandatory ancillary fees should be considered optional under the SCI. However, the Institutional Planning and Budgeting Office, along with Student Experience, have reassured the SSC that mandatory ancillary fees collected for fee-funded units will only go towards areas of these fee-funded units that are providing services considered mandatory through the SCI. The SSC would like to make sure that Western University follows this commitment and also makes transparent the specific areas of fee-funded units that will be funded by mandatory ancillary fees. The Institutional Planning and Budgeting Office, as well as Student Experience, have committed to listing the services that will be funded by mandatory ancillary fees within each fee-funded unit for students at the time of billing. The SSC members would also like to reflect on the shared responsibility in navigating these uncharted waters in post-secondary education. If the Provincial government receives a student complaint from Western, all of our fees fall under the same level of scrutiny, which exposes all fee funded units, both student and administration.

Signed,



Mitch Pratt  
Student Services Committee Chairperson

**Table 1**  
**2019-20 Recommended Full-Time Student Activity Fee Rates**

		Full-Time Undergrad					Full-Time Graduate - Three Terms				
		Approved	Inflation Adjustment	Approved Incremental	Total Change	Proposed 2019-20 Fees	Approved 2018-19	Inflation Adjustment 2% *	Approved Incremental Request	Total Change	Proposed 2019-20 Fees
1	<b>Western Student Fee-Funded Ancillaries</b>	2018-19	2% *	Request	Change	Fees	2018-19	2% *	Request	Change	Fees
2	SRS: Campus Recreation	103.28	1.85	2.15	4.00	107.28	131.49	1.85	2.15	4.00	135.49
3	SRS: Intercollegiate Athletics	91.15	1.82	1.00	2.82	93.97	91.15	1.82	1.00	2.82	93.97
4	SRS: Thompson Recreation & Athletic Centre	19.97	0.40	0.00	0.40	20.37	19.97	0.40	0.00	0.40	20.37
5	Financial Aid Office	39.42	0.79	0.00	0.79	40.21	39.42	0.79	0.00	0.79	40.21
6	International Student Services	14.45	0.29	0.00	0.29	14.74	14.45	0.29	0.00	0.29	14.74
7	Indigenous Services	12.39	0.25	0.47	0.72	13.11	12.39	0.25	0.47	0.72	13.11
8	Services for Students with Disabilities	13.65	0.27	3.08	3.35	17.00	13.65	0.27	3.08	3.35	17.00
9	Student Development Centre	81.54	1.63	1.33	2.96	84.50	81.54	1.63	1.33	2.96	84.50
10	Student Success Centre	49.76	1.00	2.10	3.10	52.86	32.23	0.64	2.10	2.74	34.97
11	Student Health Services	51.79	1.04	1.84	2.88	54.67	51.79	1.04	1.84	2.88	54.67
12	Off-Campus Housing & Housing Mediation Svcs	9.18	0.18	0.00	0.18	9.36	9.18	0.18	0.00	0.18	9.36
13	Western Foot Patrol	4.85	0.10	0.00	0.10	4.95	4.85	0.10	0.00	0.10	4.95
14	<b>Total</b>	<b>491.43</b>	<b>9.62</b>	<b>11.97</b>	<b>21.59</b>	<b>513.02</b>	<b>502.11</b>	<b>9.26</b>	<b>11.97</b>	<b>21.23</b>	<b>523.34</b>
<b>Total Percentage Increase</b>						<b>4.39%</b>					<b>4.23%</b>

\* 1.8% for Campus Recreation

FOR APPROVAL

### **Revisions to MAPP 1.16: Smoking, Vaping & Tobacco Use**

Attached is the proposed new MAPP 1.16: Smoking, Vaping & Tobacco Use.

The Occupational Health, Safety and Wellness team and Joint Occupational Health and Safety Committee (JOHSC) have received increasing complaints regarding second hand smoke at Western. After extensive consultation, an Advisory Committee with the support of JOHSC recommended we move to a smoke-free campus in two stages.

July 1, 2018 – transition to designated smoking areas

July 1, 2019 – remove designated smoking areas to achieve a tobacco and smoke-free campus.

### **Background**

After extensive consultation with students, faculty and staff, we have revised the smoking policy, MAPP1.16, to move to a smoke-free campus effective July 1, 2019. The policy covers the use of smoking, vape and tobacco products. The new policy has received the endorsement of the Joint Occupational Health & Safety Committee, along with Campus Council.

As part of our consultation process we met with many external experts in the field of tobacco control, including the Canadian Cancer Society, Middlesex London Health Unit, and Leave the Pack Behind group. Internally, the Health and Safety team took part in a number of workshops with Dr. Shannon Sibbald and her Masters of Public Health students to gain their perspectives on what revisions we should make to our current policy.

During the past fall and winter we have consulted with all union and association executives, Joint Occupational Health and Safety Committee, University Students Council, and Society of Graduate Students, as well as Indigenous Services (IS) and Indigenous Post-Secondary Education Council (IPEC). More specifically, IS and IPEC drafted a paragraph listing an exemption for religious and spiritual practices (commonly referred to as “smudging”).

### **Timeline of Activity**

In June 2018, we received approval to proceed with Designated Smoking Areas (DSA's).

Since that time, we have made a significant effort to raise awareness and educate our campus community members regarding the change to DSA's, as well as the eventual move to a completely smoke-free campus on July 1, 2019.

Some of the awareness and education that has been provided to the campus community include:

- **STOP Workshops.** In partnership with the Middlesex London Health Unit (MLHU). These smoking cessation workshops have been offered on and off campus on an almost monthly basis since May, 2018.
- **Smoke Free Ambassadors.** In August, 2018, we hired 17 Smoke Free Campus Ambassadors to encourage smokers to use the designated areas. They have provided assistance where appropriate for those that have signaled a desire to quit. During the month of September, 2018, they were



speaking to an average of 60+ smokers per day. That number dropped to an average of 8 smokers per day by the start of December 2018.

- **Vendors of Record.** Sent formal communications regarding our changes in policy.
- **Orientation Week .** Postcards were included in 7,200 packages that were handed out to all new students. The postcards listed each of the six designated smoking areas and pointed to our 'Clear the Air' website for additional information.
- **Awareness Blitz'.** Conducted in problematic areas around campus to communicate message of smoke-free. We've provided the hospital with customized postcards, directing smokers to city owned sidewalks and have communicated our change via their intranet for staff.
- **Health Fairs.** Participated in multiple residence events to target first-year students in October, 2018.
- **Alumni Article.** Included as part of the alumni newsletter sent out in October, 2018.
- **London Transit Commission.** Communicated this change to all of their bus drivers in the fall, 2018.

#### **Supports Available for students, staff and faculty**

- **Leave the Pack Behind.** Targeted at students and young adults (29 and under). Access to free nicotine patches and gum (provincially funded).
- **Extended Health Plan for Staff & Faculty.** Family physician can offer advice. This provides coverage to cover the cost of smoking cessation aids (patch, gum, etc.).
- **Employee Assistance Plan, FSEAP.** Offers a number of supports (e.g. Life Coaching) and referrals for those wishing to quit smoking.
- **Smokers Helpline.** Many resources available, including an online quit program. Text "iquit" to 123456.

**DRAFT POLICY 1.16 – Smoking, Vaping & Tobacco Use**

**Policy Category:** General

**Subject:** Smoking, Vaping & Tobacco Use

**Approving Authority:** Board of Governors

**Responsible Officer:** Vice President, Operations & Finance

**Responsible Office:** Human Resources

**Related Procedures:**

**Related University Policies & Procedures:**

**Effective Date:**

**Supersedes:**

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**A. PURPOSE AND SCOPE**

- 1.00 Western intends to exercise leadership by moving toward a smoke, vape and tobacco free environment. Those who choose to study, work, live or visit Western, will benefit from a healthier, safer and cleaner campus.
- 2.00 The purpose of this Policy on Smoking is to limit smoking, vaping and tobacco use on main campus to [Designated Smoking Areas](#) only.
- 3.00 This policy applies to all members of the University community and visitors. The University community includes employees, students, agents, contractors, volunteers and other individuals who work for or on behalf of the University.

**B. DEFINITIONS**

- 1.00 The following definitions shall apply to this policy:
  - (i) **Campus** means all University owned and operated spaces, including but not limited to offices, student residences, classrooms, labs, study spaces, libraries, recreational facilities dining halls, campus eateries, buildings, building entrances, loading docks, university vehicles and outdoor areas.
  - (ii) **Smoke or Smoking:** The act of inhaling, burning, exhaling or carrying of any lighted or heated plant product intended for inhalation, whether natural or synthetic, including tobacco and cannabis. This includes the use of any electronic smoking device that creates an aerosol or a vapor in any manner or in any form or the use of any oral smoking device.
  - (iii) **Smoke and Tobacco-Free:** The attainment of no smoking, no use of smokeless tobacco products, no use of electronic smoking devices (e.g., electronic cigarettes), no smoking or vaping of cannabis, and no smoking of other plant-based products.

**POLICY # -**

- (iv) **Tobacco:** Any one of the following:
- A product containing, made, or derived from the leaves of cultivated tobacco (*Nicotiana tabacum*) or from synthetic nicotine that is intended for human consumption, whether smoked, chewed, absorbed, dissolved, heated, inhaled, snorted, sniffed, or ingested by any other means, including, but not limited to, cigarettes, cigars, pipes, chewing tobacco, shisha, snuff, and all other forms of smokeless and oral tobacco. Products for treating nicotine or tobacco dependence are permitted by the Policy.
  - An electronic device intended for nicotine or other vaporized liquids that are delivered to the person inhaling from the device, including, but not limited to, cigars, pipes, hookahs, and electronic smoking devices (e.g., electronic cigarettes).
- (v) **Designated Smoking Area:** Any of the six areas on campus dedicated to smoking, up until June.30, 2019. A map with specific locations can be found at [Designated Smoking Areas](#).

**C. POLICY**

- 1.00 Smoking, vaping and tobacco use on main campus is only permitted in [Designated Smoking Areas](#).
- 2.00 Violators of this policy will be informed by the Dean, Budget Head, Manager and/or Supervisor that they are in violation, given a copy of this policy, and informed that such behaviour must cease.
- 3.00 Continued violation of this policy will lead to disciplinary action as outlined in the policies applicable to the various University groups or as provided for within applicable provincial legislation or municipal by-laws.

**D. EXEMPTIONS**

- 1.00 Western University recognizes Indigenous peoples' rights to use traditional medicines such as tobacco, sweet grass, sage and cedar (among others) under the *Smoke-Free Ontario Act*. Therefore, the burning of traditional medicines by Indigenous peoples is exempt from this Policy. This exemption is in alignment with Indigenous peoples' distinct rights to observe their cultural and ceremonial practices on campus and in compliance with applicable legislation. The burning of traditional medicines is automatically permitted at Indigenous Services located at Western Student Services (WSS) building 2100. Exemptions for other Western properties may be granted by contacting the University's Fire Safety Manager.
- 2.00 This Policy does not apply to the use of nicotine replacement therapy products (e.g. patches and gum).
- 3.00 This Policy does not apply to smoking devices or tobacco that have been approved for use in University teaching or research.

**E. SUPPORTS**

Various smoking cessation supports are available for students, staff and faculty. Visit the [Health and Wellness](#) website for the latest offerings.

**F. RELATED DOCUMENTS**

[Designated Smoking Areas](#)

[Ready to Quit](#)

[Questions about a smoke free Western](#)

[Read the Smoking Survey Results](#)

[Smoke Free Ontario](#)

[Canadian Cancer Society](#)

[Leave The Pack Behind](#)

[Middlesex London Health Unit](#)

[Smokers Helpline](#)

[Crush the Crave](#) app

[Extended Health Plan from Manulife](#)

[Employee Assistance Plan - FSEAP](#)

[Living Well @ Western](#)

FOR APPROVAL

**New MAPP Policy XX: The Use of Cannabis, Alcohol, and other Substances**

The policy and procedures are attached.

**Background:**

Western adopts the perspective that all persons on its campus behave in a manner that is conducive to fostering a safe and respectful environment. Recreational use of cannabis became legal in Canada on October 17, 2018 and Western is following the federal and provincial laws (see Appendix A). It is the expectation that employees of Western will be fit to work and perform their responsibilities in compliance with all existing employment standards and requirements. Recognizing Western conducts important and sensitive research on its campus, maintains extensive facilities and infrastructure, and is home to over 30,000 students, all behaviour and actions should be viewed through the lens of providing a safe environment. Consultations with employee groups and within various forums are summarized in Appendix B.

The following summarizes key messages, information, and environmental context related to Western's proposed implementation of this policy:

- Recreational use of cannabis is prohibited from Ontario workplaces.
- Western's Joint Occupational Health and Safety Committee has decided that there is no smoking cannabis on campus as we move to smoke-free campus.
- Employees must notify their Supervisor immediately regarding any issue specific to their own fitness for work, or if they have reason to suspect a co-worker is not fit for work.
- Recognizing our workplace also represents living space for students in residence, it is important to note that individuals must be 19 years of age to consume cannabis in any form, the amount of cannabis an individual can possess must not be greater than what is allowed by law (30 grams) and must be kept in a sealed, airtight container while on campus (in accordance with our Scent Awareness Guideline). Currently no federal or provincial laws governing the amount or strength of cannabis in food ("edibles") or drink exists, so cannot be made in campus residences.
- Personal delivery of cannabis on campus to faculty or staff is not permitted, and only students who are 19 years of age or older may receive deliveries from the Ontario Cannabis Store.
- Medical use of cannabis has been legal in Canada since 2001 and continues to be permitted with the proper medical documents.

For the past five years, Housing has facilitated educational seminars for students who came to its attention for cannabis use in residence. Housing will continue to run cannabis seminars to educate students about drug and substance abuse in coordination with campus partners such as Student Health Services and Western's Wellness Education Centre, and will continue to look to public health agencies, including the Centre for Addition and Mental Health (CAMH) and the Middlesex London Health Unit, to model the way in determining the most appropriate approach to health education in regards to Cannabis (i.e. harm reduction vs. abstinence). And in line with the University's commitment to student safety, we connect students with dependencies on drugs to resources including but not limited to Residence Counselling, Addictions Services of Thames Valley, Western Psychological Services, and Western Student Health Services.

**Appendix A – Legislative Environment**

The Cannabis Act establishes a number of roles and responsibilities for federal, provincial and municipal governments. The chart below provides a high-level overview of these responsibilities:

Federal	Provincial	Municipal
<ul style="list-style-type: none"> <li>• Cannabis production</li> <li>• Cannabis possession limits</li> <li>• Trafficking</li> <li>• Advertising</li> <li>• Minimum age limits (18)</li> <li>• Oversight of medical cannabis regime, including personal cultivation registration</li> </ul>	<ul style="list-style-type: none"> <li>• Wholesale and retail distribution of cannabis</li> <li>• Selection of retail distribution model</li> <li>• Workplace safety</li> <li>• Discretion to set more restrictive limits for the minimum age of consumption (19) and possession amounts</li> <li>• Smoking restrictions</li> </ul>	<ul style="list-style-type: none"> <li>• Zoning</li> <li>• Retail locations</li> <li>• Home cultivation</li> <li>• Business Licensing</li> <li>• Building Codes</li> <li>• Nuisance</li> <li>• Smoking restrictions</li> <li>• Municipal workplace safety</li> <li>• Enforcement regulations around public consumption</li> <li>• Personal possession</li> <li>• Municipal cost considerations related to local policing</li> </ul>

**Appendix B – Consultation Activity**

- Joint Occupational Health and Safety Committee (Oct. 17/18, Nov. 15/18, Dec. 5/18, Feb. 27/19)
- Campus Council (Sept. 21/18, Nov. 20/18)
- Employee group representatives on Nov. 20/18 and drop-in meeting dates provided Nov. 22/18 and Nov. 26/18
- Equity and Human Rights (Nov. 9/18)
- Operations and Finance leadership meeting (Oct. 25/18)
- Society of Graduate Students (Nov. 6/18) and University Student’s Council (Nov. 23/18)

#### QUESTION AND ANSWERS:

##### **What forms of cannabis and paraphernalia are included in Western's guidelines?**

Western's guidelines include, and are not limited to, dried cannabis, cannabis oil, edibles, and cannabis plants. Cannabis paraphernalia (hookahs, bong, vaporizers, and grinders) are also not permitted to be activated in Western housing.

##### **Will Western enforce cannabis restrictions through testing for employees?**

Employees are responsible to be "fit for work" and to abide by Western's policies.

##### **If you allow possession, are you hypocritical not to allow use?**

The federal government defines the quantity permitted for possession. Usage is prohibited consistent with Western's non-smoking policies, and as a workplace.

##### **Can cannabis be used at Western's Spoke, Wave, and the Grad Lounge?**

Cannabis use is not permitted. Our restaurants and lounges abide by the province's and Western's current tobacco restrictions. The entirety of Western's properties is deemed to be a workplace.

##### **Does "Workplace" include all properties such as Research Parks, etc.**

Yes, any Western owned and operated property is deemed a workplace.

##### **Can an employee order and ship cannabis to campus?**

No, an employee cannot ship cannabis to campus as it is a workplace.

##### **Can cannabis be used in smoking designated areas on campus?**

Cannabis smoking is not permitted in smoking designated areas in the workplace.

##### **What does "fit to work" mean?**

I need to be able to fulfil my work in a safe manner that is consistent with the policies, processes and practices associated with the hazards of my role.

##### **Will students be allowed to grow marijuana plants on their window sills? Will cannabis or cannabis-related products be sold on campus?**

Cannabis will be prohibited from being grown in residence because Western's buildings are a workplace. Here is the link to the proposed CCBO locations, provided by the Ontario Government:

<https://ocscannabisupdates.com/>

##### **How will cannabis offences be handled for students?**

Students are required to follow the laws governing cannabis and Western's policies.

##### **What support is there for students and employees to become smoke-free?**

Western has offerings in place to support both employees and students to become smoke-free.



## Manual of Administrative Policies & Procedures

### DRAFT POLICY NEW – The Use of Cannabis, Alcohol, and other Substances

<b>Policy Category:</b>	Personnel
<b>Subject:</b>	Cannabis, Alcohol, and Substance Use
<b>Approving Authority:</b>	Board of Governors
<b>Responsible Officer:</b>	Vice President, Operations and Finance
<b>Responsible Office:</b>	Human Resources
<b>Related Procedures:</b>	[The Use of Cannabis, Alcohol, and other Substances – Procedures web link]
<b>Related University Policies &amp; Procedures:</b>	MAPP 1.16 Policy on Smoking MAPP 1.33 Campus Alcohol Policy
<b>Effective Date:</b>	October 17, 2018

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#### I. PURPOSE

The University is committed to fostering a safe and respectful work environment for employees and others who may be affected by the conduct of its employees. The University has a duty to provide a safe work environment, and this duty includes taking all reasonable precautions to protect the health and safety of employees and others in the workplace. The University recognizes that the effects of the consumption of cannabis, alcohol, or other substances can impair an individual's judgement, and cognitive and physical abilities, which can diminish job performance and potentially jeopardize the safety of the work environment, employees, and/or the general public. Because of these concerns regarding cannabis, alcohol, or other substances in the workplace and their potential impact with respect to the safe and efficient operation of Western facilities, the University has adopted the following Policy with respect to the use of cannabis, alcohol, and other substances.

The University will adhere to federal, provincial, and municipal legislation related to employment and the use of cannabis, alcohol, and other substances, and will respect confidentiality and accommodation rights.

This Policy applies to all University employees and employee groups, but is not intended to supersede or circumvent the provisions of any collective agreement. In the event of a conflict between this Policy and a collective agreement, the latter shall apply. This policy does not apply to University students.

#### II. DEFINITIONS

Alcohol – Any beverage containing any percentage of alcohol.

Work – All times that an employee is engaged in activities required by the University, which includes, but is not limited to, reporting for and performing work including scheduled work, unscheduled call-in work, work performed on campus, and work performed for the University away from campus.

Cannabis – includes, but not limited to, dried cannabis, cannabis oil, edibles, and cannabis plants.



Cannabis paraphernalia – includes, but not limited to, hookahs, bongos, pipes, vaporizers, and grinders.

Fit for work - a state of physical and mental wellbeing that allows an individual to perform his or her job duties safely and effectively, in a manner which does not threaten the health and safety of oneself, co-worker, property or the public at large, without impairment due to the use of or after-effects of cannabis, alcohol, or other substances.

Substance use – use of cannabis, alcohol, illegal drugs, recreational drugs (stimulants, opioids, hallucinogens), prescription medications and other substances that impair a person's judgment, clarity, and functioning and render the individual not fit to work.

Substance use disorder – A primary, progressive, and chronic disease characterized by the regular, repetitive, habitual, compulsive, obsessive use of a substance or a combination of substances. Moderate to severe substance use disorder is characterized by clinically and functionally significant impairment such as health problems, disability, and failure to meet main responsibilities at work.

Workplace – as defined by the Occupational Health and Safety Act, R.S.O. 1990 c.O.1, workplace means any land, premises, location, or thing, at, upon, in or near which a worker works. All of the University's campus space is the workplace.

### **III POLICY**

#### **1. Fitness to Work**

Employees are required to be fit for work and remain fit for work throughout their work day, including while on standby or during on-call situations. The University also prohibits the use, distribution, manufacturing, offering or selling of cannabis, cannabis paraphernalia, or other related substances while an employee is at work or representing the University.

Employees are required to perform their work responsibilities safely and in compliance with all existing employment policies and Occupational Health and Safety Act requirements. The University conducts important and sensitive research on its campus, maintains extensive facilities and infrastructure, and is home to over 35,000 students. All behaviour and actions should be viewed through the lens of providing a safe environment.

#### **2. Safe Workplace**

Cannabis use is not permitted in current designated smoking areas located in the workplace.

On occasion, management may approve the consumption of alcoholic beverages at designated events. In that case, limited consumption of alcohol is permitted. At these designated events, an employee must not drink excessively and act inappropriately or in an unsafe manner. The consumption of cannabis at any workplace event is prohibited.

Being impaired while driving after any work-related function is expressly prohibited and will be grounds for discipline up to and including termination of employment.

#### **3. Duty to Accommodate**

The University will provide appropriate accommodation through the Rehabilitation and Accommodation Program to employees who:

- A. have a substance use disorder; and/or
- B. may require accommodation with respect to the use of prescription drugs to manage or treat a disability.

**4. Enforcement**

Any violation of this Policy may result in discipline up to and including termination of employment.



## **Manual of Administrative Policies & Procedures**

### **DRAFT POLICY NEW – The Use of Cannabis, Alcohol, and other Substances - Procedures**

#### **1. Fitness for Work**

Employees must notify their supervisor immediately with respect to an issue with their own fitness for work. This same obligation extends if the employee believes that a co-worker is behaving erratically or if they have reason to suspect that a co-worker is not fit to work.

Leaders and Supervisors are to identify and address all situations promptly where there are concerns about an employee's fitness for work or their ability to perform their job safely.

If an employee is found to not be fit for work, the University will arrange transportation to ensure that the employee identified as unfit to work is not operating a motor vehicle if sent home from the workplace.

#### **2. Medication and Accommodation**

The proper use of prescription, over-the-counter medications, or medically authorized substances is permitted so long as the employee can safely perform the essential functions of his/her job and will be fit for work.

If an employee requires the use of prescription, over-the-counter medications, or medically authorized substances, that employee must consult their doctor or pharmacist in order to ascertain whether any medication they are required to take, including cannabis, can cause them to be impaired, under the influence, or unfit for work. If so, employees are then expected to confidentially inform Rehabilitation Services to ensure their ongoing safety and the safety of others at work.

#### **3. Support for Employees with Substance Abuse Disorders**

Employees who have or suspect that they have a substance use disorder are encouraged to seek advice and treatment before a violation of this Policy or other University policies occur. The University has programs in place to support employees with substance use disorders, including Rehabilitation Services, Workplace Health, and the Employee Assistance Program.

Failure to report a suspected substance use disorder prior to a breach of this Policy may result in discipline up to and including termination of employment. Similarly, failure to cooperate with the accommodation process and to provide accommodation related medical documentation as requested may also result in discipline up to and including termination of employment. Any attempt by an employee to voluntarily seek rehabilitation assistance will be treated on a confidential basis to the extent practicable. Employees who voluntarily seek assistance before violating this or any other University policy will not be penalized for requesting help.

FOR INFORMATION

**New Scholarships and Awards**

**Sylvia Osborn Northern Ontario Scholarship in Computer Science (Computer Science)**

Awarded annually to a full-time undergraduate student in Year 3 or 4 in Computer Science, based on academic achievement in the previous year (minimum 80% average) who is from Northern Ontario. Candidates must complete a one-page statement outlining the details regarding their Northern Ontario residency by September 30th to the Computer Science Department Office. The scholarship committee in Computer Science will select the recipient. This scholarship was established by a generous donation from Professor Sylvia L. Osborn.

Value: 1 at \$1,000

Effective Date: 2018 – 2019

*Dr. Sylvia Osborn was born and raised in Timmins, Ontario, and after receiving her Bachelor's, Masters and PhD degrees, all in Computer Science, from the University of Waterloo, she began teaching in Computer Science at Western. She taught for 40 years, until her retirement. She served on the University Senate, was Acting Chair of Computer Science and taught at every level from introductory courses to advanced research topics. Dr. Osborn established this scholarship to encourage students from Northern Ontario to study Computer Science. Dr. Osborn died in 2018.*

**Jeffrey and Kathryn Quick Family Men's Volleyball Award (Any Undergraduate or Graduate Program)**

Awarded annually to a full-time undergraduate or graduate student in any year of any degree program at Western, including the Affiliated University Colleges, who is making a significant contribution as a member of the Men's Volleyball Team. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80% and a non-entering student must have an in-course average of 70%. Candidates must be in compliance with current OUA and CIS regulations. The Western Athletic Financial Awards Committee will select the recipient based on its evaluation of academic performance/potential (20%) and the written recommendations from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This award was established by Jeffrey (BA '83) and Kathryn Quick and their family.

Value: 1 at \$2,500

Effective Date: 2018-2019 to 2022-2023 academic years inclusive

**Robert W. Hodder International Geoscience Field Experience Award (Earth Sciences)**

Awarded to undergraduate and graduate students who are participating in the International Geoscience Field Experience course in the Department of Earth Sciences. An internal committee in the Department of Earth Sciences will allocate the available funds to students, in consultation with the Chair of Earth Sciences. At least one member of the committee must hold membership in the School of Graduate and Postdoctoral Studies. Candidates will be selected on the basis of academic achievement, a vision statement submitted as part of the application process, and demonstrated interest in field studies. Application details are available from the Department of Earth Sciences.

Value: Number and value of awards will vary, approximately \$10,000 is available annually

Effective Date: 2018-2019 to 2022-2023 academic years inclusive

**J. Peter Guthrie Conference Travel Award (Chemistry)**

Awarded annually to full-time graduate students in the MSc or PhD program in Chemistry based on academic achievement (minimum 78% average). This award will enable recipients to present their work at a recognized scientific conference. The applicant's research presentation and the proposed conference must broadly be recognized as being in the field of Organic Chemistry. Preference will be given to oral abstracts and students who are advanced in their program. Applications must include a copy of the applicant's C.V., conference prospectus, draft budget of expenses, a copy of their abstract, and a letter of support from their supervisor. Applications should be submitted to the Graduate Coordinator and are due on March 31. Recipients will be selected by the Graduate Education Committee in consultation with faculty members in the Organic Division. Members of the selection committee must hold membership in

the School of Graduate and Postdoctoral Studies. A student is only eligible to hold one of these awards per each graduate degree enrolment.

Value: Number and value of awards will vary, approximately \$10,000 is available annually  
Effective Date: May 2018 to April 2023 academic years inclusive

*This award was established with a generous donation from the family of Professor Peter Guthrie. Professor Guthrie was a graduate of Western and served at Western as a Professor for over 40 years. Peter won many awards including E.W. Stacie Memorial Fellowship, Distinguished Research Professor UWO and FRSC. He was an avid, enthusiastic and consistent contributor at scientific conferences throughout his career and recognized the value in presenting and defending your work in front of a national or international audience of experts.*

Provost's Global Opportunity Award (Any Undergraduate or Graduate Program)

Awarded annually to undergraduate or graduate students in any program who are participating in a university sanctioned international exchange or study abroad program. This includes academic exchange programs; approved study abroad programs; curriculum based international field courses, international study, or international community service; and other University led international credit or non-credit learning experiences. Students participating in this program who are registered at the constituent University may be considered (with the exception of Ivey students). Students may apply for this award in advance of being accepted into an international learning experience with receipt of the award contingent upon acceptance into the program. Students may only receive a Global Opportunities award once during their academic career at Western, and the international experience must be a minimum of one week in duration. Online applications are available on the Global Opportunities website, Western International. Transcripts are required for undergraduate students who studied elsewhere in their previous academic year. Applications are due on November 15th (for decisions in early January) and February 15th (for decisions in late March). Students will be selected based on a combination of academic achievement, as well as a statement outlining how this experience will contribute to their development as a global citizen, what they expect to learn through their international experience and how they will be an effective Ambassador for Western. This award was established by a generous gift from Dr. Andrew Hrymak, Provost and Vice-President (Academic) and his wife Katherine Hrymak.

Value: 2 at \$2,000\*

*\*2,000 from the Donor will be matched 1:1 through the University's Global Opportunities Award Matching Program for five years.*

Effective Date: 2018-2019 to 2022-2023 academic years inclusive

Meds Class of 2003 Dr. Jason Ashley Memorial Award (Schulich School of Medicine & Dentistry)

Awarded annually to a fourth year student graduating from the Doctor of Medicine (MD) program at the Schulich School of Medicine & Dentistry, based on academic achievement and involvement in medical school extracurricular activities throughout their time at Schulich. Online Nomination Forms can be submitted by students and faculty to the Undergraduate Medical Education Office by March 31. The Progression & Awards Committee will select the recipient each year from the list of nominations. This award was established by a generous gift from the Meds Class of 2003, in memory of their classmate, Dr. Jason Ashley (MD '03).

*Jason worked as a Diagnostic Radiologist at Laurentian Hospital in Sudbury, Ontario. He was described as a "shining star" and had a wonderful gift of spontaneous humour and joie de vivre that made everyone smile and relax. His brilliance, humour and his larger than life presence will never be forgotten. He could light up the room with his loud laughter and jokes - a born actor and comedian. Teaching sessions and presentations were lively and one never quite knew what to expect. He was unfailingly kind and went out of his way to help others. He was a devoted father and husband. Tragically, Jason died in 2017 at the age of 43. The hope is that this award will honour medical students who embody the same spirit as Jason did.*

Value: 1 at \$1,000

Effective Date: 2018-2019 academic year

Ronald R. Mayo Award in Engineering (Engineering)

Awarded annually to a full-time undergraduate student in second year or higher in the Faculty of Engineering, based on academic achievement (minimum 70% average) and demonstrated financial need. Online financial assistance applications are available through Student Center and must be submitted by September 30. A separate award application must also be completed online through the Engineering Undergraduate Services Website (<http://www.eng.uwo.ca/undergraduate>) and submitted by September 30. The recipient will be selected by the Scholarship and Awards Committee in the Faculty of Engineering after the Registrar's Office has assessed financial need. This award was established by a generous gift from Dr. W.E. Barry Mayo (MD '58) in honour of his brother Ronald R. Mayo.

Value: 1 at \$1,000  
Effective Date: 2019-2020 academic year

*Ronald Mayo was born in Ottawa in 1932. He graduated from the University of Alberta with a degree in Mechanical Engineering. He answered the call to be a missionary for the Church of Jesus Christ of Latter-Day Saints and served in Europe for two years. After suffering from a tragic car accident, Ronald became a social activist promoting highway safety and emergency medical services. He also founded an engineering consulting firm in Lethbridge, Alberta.*

Dr. Peter Paul Van Der Borch Graduate Bursary in Physical Therapy (Physical Therapy)

Awarded annually to a graduate student entering the Master of Physical Therapy program, based on demonstrated financial need. Candidates must submit a one-page statement outlining their financial need to the School of Physical Therapy Office by October 31st. The recipient will be selected by the Student Affairs Committee in the School of Physical Therapy, of which at least one representative is a current member of the School of Graduate and Postdoctoral Studies. This bursary was established by a generous gift from the Estate of Dr. Peter Paul Van Der Borch (BSc Physical Therapy '75, BA Phys Education '84).

Value: 2 at \$1,000  
Effective Date: May 2019

*Dr. Van Der Borch was born in Odenkirk, Netherlands in 1946. An academic achiever, Peter obtained 5 degrees, and wore a number of professional hats including science teacher, physiotherapist, and veterinarian. His lifelong passions included keeping fit, working on lexicons, a love for the feline species, and discussions about anatomy, physiology, chemistry, art, social injustice, travel and playing competitive bridge. A quiet, unassuming and gentle man, Peter's spirit will be firmly entrenched in the hearts of many. Dr. Van Der Borch died in 2014, at the age of 68.*

MBA '93 Legacy Award (Ivey Business School)

Awarded annually to a full-time student entering the MBA Program at the Ivey Business School based on academic achievement and demonstrated community leadership. Final selection of the recipient will be made by the MBA Scholarship Review Committee with at least one member of the selection committee holding membership in the School of Graduate and Postdoctoral Studies. The recipient will be notified at the time of acceptance into the program. This award was established with a generous gift from the members of the MBA Class of 1993 on the occasion of their 25th reunion.

Value: 1 at \$5,000  
Effective Date: May 2019

Morley Thomas 1939 Football Award (Any Undergraduate or Graduate Program)

Awarded annually to a full-time undergraduate or graduate student in any year of any degree program at Western, including the Affiliated University Colleges, who is making a significant contribution as a

member of the Men's Football Team. Preference will be given to a student who is a lineman. As per OUA and CIS regulations, an entering student athlete must have a minimum admission average of 80% and a non-entering student must have an in-course average of 70%. Candidates must be in compliance with current OUA and CIS regulations. The Western Athletic Financial Awards Committee will select the recipients. This committee will base its decision on its evaluation of academic performance/potential (20%) and the written recommendations from the Head Coach assessing athletic performance/potential and team/campus leadership (weighted as 60% and 20% respectively). This award was established by Mr. Steve Thomas (MA '69, York University; BA '68 Western University) in honour of his father, Mr. Morley Thomas (BA '41).

Value: 1 at \$1,400  
Effective Date: 2019-2020 academic year

*Morley Thomas played Mustang Football as a lineman from 1938 to 1940. He was a starter on the 1939 undefeated Yates Cup team. Morley graduated from Western in 1941 with an Honors BA in Mathematics and Physics. During World War II he was a meteorologist with the Royal Canadian Armed Forces. After the war, Morley remained in meteorology for his entire career, retiring in 1983 as Director General of the Canadian Climate Centre in Environment Canada's Atmospheric Environment Service. Morley was a lifetime supporter of Canadian University football. He held season's tickets at the University of Toronto for over fifty years where he always cheered for the visiting team, especially his beloved Mustangs! Morley lived to see, and relish, Western's Vanier Cup victory in November 2017.*

G. Michael and Joan Bancroft Graduate Research Award (Chemistry)

Awarded annually to a full-time Doctoral student in Chemistry, based on research excellence demonstrated by the quality and quantity of peer-reviewed publications since registration as a graduate student at Western University. The student will have been registered as a graduate student for up to seven terms, as of September 30th. The Graduate Scholarship and Awards Committee of the Department of Chemistry will select the recipient. At least two members of the committee must hold membership in the School of Graduate and Postdoctoral Studies. This award was established with a generous gift from Dr. G. Michael Bancroft (PhD '67, University of Cambridge, DSc '06, Western University) and his wife Joan Bancroft (BA '67, University of Manitoba).

Value: 1 at \$1,200  
Effective Date: May 2019

*Dr. G. Michael Bancroft joined the Department of Chemistry at Western in 1970 as an Assistant Professor. He was Director of the Centre for Chemical Physics from 1977-1981, Chair of Chemistry from 1986-1995, and Director of the Canadian Light Source in Saskatoon from 1999-2001. He has been committed both to his own spectroscopic research and to the establishment of large, collaborative research facilities such as Surface Science Western, the Canadian Synchrotron Radiation Facility in Madison, and the Canadian Light Source. He received the Shell Graduate Scholarship to the University of Cambridge in 1964, the Fellow of the Royal Society of Canada in 1978, and Officer of the Order of Canada in 2006. Both Michael and Joan are very aware of how critical awards are to a successful career and are passionate about supporting young Western chemistry graduate students.*

Ronald J. Wonnacott Economics Prize (Economics)

Awarded annually for the best paper by an undergraduate student completing fourth year in an Honors Program in Economics. The Chair of the Department of Economics (or designate) will select the recipient. This award was established with a generous gift from the Estate of Dr. Ronald J. Wonnacott (BA '55) and members of his family.

Value: 1 at \$1,000  
Effective Date: 2019-2020 academic year

*Dr. Ronald Wonnacott received an AM in 1957 and a PhD in Economics in 1959 from Harvard University. He taught at Western University from 1958 until his retirement in 1996. He received the Order of Canada,*

*was a Fellow of the Royal Society of Canada, a former president of the Canadian Economics Association and the William G. Davis Professor of International Trade at Western University. He received an Honorary LLD from Western in 2001. He enjoyed skiing, Mozart and golf. Dr. Wonnacott died in 2018 at the age of 87.*

Dancap Private Equity Student Award in Enterprise Risk Management (DAN Department of Management & Organizational Studies)

Awarded annually to a full-time student graduating from Year 4 of a Specialization or Honors Specialization in Management and Legal Studies in the DAN Department of Management & Organizational Studies based on academic achievement in the Enterprise Risk Management course (MOS 4468a/b) and demonstrated professionalism, leadership and initiative in extracurricular activities. Online applications can be accessed through the DAN Department of Management & Organizational Studies' website. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Departmental Office by April 1. The recipient will be selected by the DAN Management Student Awards Committee. This award was established by a generous donation from Mr. Aubrey Dan (BA '85).

Value: 1 at \$2,000

Effective Date: 2019-2020 academic year

Dancap Private Equity Student Award in Management and Legal Studies (Specialization) (DAN Department of Management & Organizational Studies)

Awarded annually to a full-time student graduating from Year 4 in the Specialization in Management and Legal Studies module in the DAN Department of Management & Organizational Studies, based on academic achievement and demonstrated professionalism, leadership and initiative in extracurricular activities. Online applications can be accessed through the DAN Department of Management & Organizational Studies' website. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Departmental Office by April 1. The recipient will be selected by the DAN Management Student Awards Committee. This award was established by a generous donation from Mr. Aubrey Dan (BA '85).

Value: 1 at \$2,000

Effective Date: 2019-2020 academic year

Dancap Private Equity Student Award in Management and Legal Studies (Honors Specialization) (DAN Department of Management & Organizational Studies)

Awarded annually to a full-time student graduating from Year 4 in the Honors Specialization in Management and Legal Studies module in the DAN Department of Management & Organizational Studies based on academic achievement and demonstrated professionalism, leadership and initiative in extracurricular activities. Online applications can be accessed through the DAN Department of Management & Organizational Studies' website. Applications, including a résumé describing the applicant's qualifications, must be submitted to the Departmental Office by April 1. The recipient will be selected by the DAN Management Student Awards Committee. This award was established by a generous donation from Mr. Aubrey Dan (BA '85).

Value: 1 at \$2,000

Effective Date: 2019-2020 academic year



Bill and Barbara Etherington Undergraduate Research Fellowship (Engineering)

Awarded to a full-time undergraduate student in any year of a program in the Department of Electrical and Computer Engineering based on a minimum 80% average and a desire to complete a 16-week summer research experience under the supervision of a faculty member in the Department of Electrical and Computer Engineering. An NSERC USRA application, along with a statement illustrating why they would like to pursue a summer research position, must be submitted to the Department of Electrical and Computer Engineering by January 31. Recipients of the Etherington Fellowship will be selected by a faculty committee appointed by the Chair of Electrical and Computer Engineering. Selection will take place annually at the end of February. The Department of Electrical and Computer Engineering will ask fellowship recipients to submit a report, at the end of the summer, describing the research conducted and how the experience advanced their personal growth and career aspirations. This fellowship was established by a generous gift from Bill Etherington (BESc '63, LLD '98) and Barbara Etherington.

Value: 3 at \$10,000

Effective Date: 2019-2020 to 2020-2021 academic years (with the number of awards increasing to 4 in the 2021-2022 academic year)

FOR INFORMATION

**Peter C. Maurice Research Fellowship in Biomedical Engineering**

**Donor and Funding:**

Mr. Peter C. Maurice made a generous endowed gift of securities in the amount of \$346,590 to support the creation of the Fellowship.

**Purpose:**

Funds available will be used to support the research program of the holder of the Fellowship. The holder of the Fellowship will be an Assistant or Associate Professor with a full-time tenure-track regular or joint appointment in Engineering, and membership in the Biomedical Engineering graduate program.

**Criteria:**

Appointments to the Fellowship have been, and will be, conducted in accordance with the relevant policies and procedures of the University and will normally be for a two-year term with a possibility of renewal for one additional year. Ideal candidates will be pursuing research that shows promise of developing a new technology to support healthcare needs. The selection committee is to be made up of the Deans of Engineering, Schulich School of Medicine & Dentistry and Faculty of Health Sciences (or their delegates who may be Vice/Associate Deans within the Faculty).

**Reporting:**

The University, through the Faculty of Engineering will report to Donors regarding the activities of the Fellow.

**Background:**

An audit of named faculty positions conducted by Alumni Relations and Development uncovered that the Peter C. Maurice Research Fellowship in Biomedical Engineering was never submitted for official approval through the governing bodies. The original gift was received in 2014, therefore the effective date of this Fellowship is retroactive to January 1, 2014. The Fellowship has been operating successfully since its establishment and the endowment continues to support the research program of the holder of the Fellowship.

Mr. Peter C. Maurice made a generous endowed gift of securities in 2013 in the amount of \$346,590 to support the creation of the Fellowship. Since his retirement from the position of President and CEO of Canada Trust, Peter C. Maurice (BESc'60, Mechanical) has served on the board of directors of Dofasco and former Vice Chair of the London Economic Development Corporation. A 1960 graduate of Western, with a Bachelor of Engineering Science degree, Peter received the Dr. Ivan Smith Award in recognition of his significant contribution to the Western Alumni Association and to Western in 2003.

He has funded the Peter C. Maurice Research Fellowship in Biomedical Engineering and the Peter C. Maurice Engineering Studio at Western. Peter served on the Board of Foundation Western for more than 24 years. From 1989 to 1994 he was active in Western's Renaissance Campaign, which raised \$126 million, and as Chair of the Engineering Advisory Council from 1991-1993, he played an important role in shaping the future of Western's Faculty of Engineering. Maurice is a past Chairman of the Lawson Research Institute and was a director of St. Joseph's Health Centre.

**Reputational Risk:**

None.

FOR INFORMATION

**Daryl T. Bean Professorship in Law and Women's Studies - Revisions to Terms of Reference**

REVISED TERMS OF REFERENCE

**Donors and Funding:**

Funding for the Daryl T. Bean Professorship in Law and Women's Studies was provided through a generous donation of \$250,000 from the Public Service Alliance of Canada (P.S.A.C.) to Western University, as well as contributions from the Faculty of Arts and Humanities and the Faculty of Law.

The administration of the spending of resources will be the joint responsibility of the Dean of the Faculty of Law and the Dean of the Faculty of Arts and Humanities.

**Effective Date:**

May 1, 2019

**Purpose:**

The Professorship will be held jointly in the Department of Women's Studies and Feminist Research (Faculties of Arts and Humanities and Social Science) and the Faculty of Law. The Professor will make a significant contribution to the teaching and research functions of both academic units. It is proposed that the Professor have a law degree and a doctorate in a relevant discipline with a field of expertise lying in the intersection between labour and employment law and feminist theory.

**Criteria:**

In appointment to the Professorship will be conducted in accordance with the relevant policies of the University. The appointment will be for a period of **five years with the possibility of a five-year renewal four years**. The position will be affiliated with the Faculty of Law and the Department of Women's Studies and Feminist Research in the Faculties of Arts and Humanities and Social Science.

**Reporting:**

The University, through the Faculty of Law and the Faculty of Arts and Humanities, will report annually to P.S.A.C. concerning the activities of the Professorship.

**Background:**

The Daryl T. Bean Professorship in Law and Women's Studies was first established as an expendable Professorship. Those terms indicated that the Professor would be appointed for five years, with the possibility of a five-year renewal. Two people have held the Professorship since its inception and both have left prior to their term ending. As a result, there is funding available for a four-year term so a change to the criteria is necessary.

FOR INFORMATION

**Report of the Investment Committee**

This report outlines the performance of the Operating and Endowment portfolio and the recent activities of the Investment Committee.

**Performance**

The performance of the portfolio for the past four years was as follows:

<b><u>Asset Class</u></b>	Annual December 2018	Annual December 2017	Annual December 2016	Annual December 2015	Annualized for Four years Ending December 2018
<b>Equities:</b>					
Canadian	-7.71%	10.70%	18.10%	-2.37%	4.18%
US	1.72	10.71	10.61	10.46	8.31
Non North American	-4.85	18.92	2.97	11.04	6.65
Private	24.62	10.96	7.81	35.64	19.25
<b>Fixed Income</b>	2.64	3.02	2.11	3.81	2.89
<b>Real Estate</b>	9.29	8.28	7.01	7.67	8.06
<b>Absolute Return Strategies</b>	9.62	8.20	9.07	9.08	8.99
<b>Infrastructure</b>	4.37	10.50	14.49	15.18	11.05
<b><i>Total Fund Return</i></b>	<u>-1.33%</u>	<u>11.80%</u>	<u>8.47%</u>	<u>7.36%</u>	<u>6.46%</u>
<b><i>Policy Return</i></b>	<u>-1.60%</u>	<u>10.86%</u>	<u>8.01%</u>	<u>4.61%</u>	<u>5.37%</u>

**Returns in relation to the real rate of return objective**

One of the Investment Committee's objectives is to earn a 4% real rate of return over the long term (i.e., to earn 4% over the rate of inflation, as measured by the Consumer Price Index). Inflation has averaged 1.7% per year for the four year period and 1.6% per year for the ten year period.

For the four years ending December 31, 2018, the annualized real rate of return was 4.7%. For ten years ending December 31, 2018, the annualized real rate of return for the portfolio was 7.7%.

**Value added by Active Management**

Another of the Investment Committee's objectives is to earn the return produced by the asset mix policy based on the returns of the market indices plus a premium to reflect the additional fees related to active management.

Over the four years ending December 31, 2018, the actual annualized return for the portfolio was 6.5% and the return generated by the market indices for the portfolio was 5.4% (the policy return). Over the ten years ending December 31, 2018, the actual annualized return for the portfolio was 9.3% and the return generated by the market indices for the portfolio was 8.4%. The objective was met for both of these periods.

Please refer to the attached pages for additional information related to total fund value added and the real returns for periods ending December 31, 2018.

The table below provides the classifications and market value of the assets held at December 31, 2018:

	Market Value	Target Asset Mix			Actual Asset Mix
		Minimum	Target	Maximum	
<b>Equities</b>					
<i>Canadian</i>	226,805,569	15.0%	20.0%	25.0%	17.7%
<i>US</i>	192,063,823	15.0%	20.0%	25.0%	14.8%
<i>EAFE</i>	269,372,503	15.0%	20.0%	25.0%	21.0%
<i>Private</i>	93,548,258	0.0%	5.0%	10.0%	7.3%
<b>Total Equities</b>	<b>781,790,153</b>	<b>60.0%</b>	<b>65.0%</b>	<b>70.0%</b>	<b>60.8%</b>
<b>Fixed Income</b>					
<i>Core Fixed Income</i>	126,490,530	5.0%	10.0%	30.0%	9.8%
<i>Commercial Mortgages</i>	71,166,723	0.0%	5.0%	10.0%	5.6%
<b>Total Fixed Income</b>	<b>197,657,253</b>	<b>10.0%</b>	<b>15.0%</b>	<b>35.0%</b>	<b>15.4</b>
<b>Real Assets</b>					
<i>Real Estate</i>	68,840,643	0.0%	5.0%	10.0%	5.3%
<i>Infrastructure</i>	140,809,752	5.0%	10.0%	15.0%	11.0%
<b>Total Real Assets</b>	<b>209,650,395</b>	<b>5%</b>	<b>15%</b>	<b>20%</b>	<b>16.3%</b>
<b>Diversifiers</b>					
<i>Cash</i>	17,212,749	0.0%	0.0%	10.0%	1.3%
<i>Short Term Bond Fund</i>	47,540,020	0.0%	0.0%	0.0%	3.7%
<i>Absolute Return Strategies, Market Neutral Strategies</i>	42,691,620	0.0%	5.0%	10.0%	3.4%
<b>Total Diversifiers</b>	<b>107,444,389</b>	<b>0%</b>	<b>5%</b>	<b>15%</b>	<b>8.4%</b>
<b>SSgA Dynamic Strategic Hedging</b>	<b>-11,685,057</b>				<b>-0.9%</b>
<b>Grand Total</b>	<b>1,284,857,133</b>				<b>100.0%</b>

The following chart summarizes the total investments held at December 31, 2018:

Investment Portfolio	Value Invested
<b>Short term</b>	<b>539.0</b>
Operating:	
Obligations	286.9
Surplus	271.4
Total Operating	558.3
Endowed	726.6
<b>Total Operating &amp; Endowed Portfolio</b>	<b>1,284.9</b>
<b>Segregated Investment</b>	<b>2.0</b>
<b>Total Investments</b>	<b>1,825.9</b>

**Update on Investment Committee Activities**

- The Investment Committee approved a revision to the Statement of Investment Objectives, Policies and Governance (SIOP&G) section on Responsible Investing.
- The Investment Committee discussed a proposed asset mix related to a new Mid-Term Investment Strategy. A Mid-Term Portfolio is being developed so that the University can redeploy some assets currently being invested in short-term securities, in order to enhance returns. Further modelling will be done and a final asset mix is expected to be approved in March.
- An updated SIOP&G combining revisions for Responsible Investing and incorporation of the Mid-Term Asset Portfolio is expected to come to the Property and Finance Committee for approval in April 2019.

# UWO Operating - Combined

## Total Fund Value Added – December 31, 2018

Good value added from active management in all annualized time periods beyond the last year!

### UWO Operating & Endowment - Combined Total Fund Value Added - December 31, 2018

	1 Year Annualized	2 Years Annualized	3 Years Annualized	4 Years Annualized	5 Years Annualized	6 Years Annualized	7 Years Annualized	8 Years Annualized	9 Years Annualized	10 Years Annualized
UWO Annualized Return (1)	-1.3	5.0	6.2	6.5	7.5	9.5	9.7	8.3	8.5	9.3
Policy Return (2)	-1.6	4.4	5.6	5.4	6.1	7.9	8.2	7.1	7.5	8.4
Value Added	0.3	0.6	0.6	1.1	1.3	1.6	1.5	1.3	1.1	0.9

	Yr Ending 12/31/2018	Yr Ending 12/31/2017	Yr Ending 12/30/2016	Yr Ending 12/31/2015	Yr Ending 12/31/2014	Yr Ending 12/31/2013	Yr Ending 12/30/2012	Yr Ending 12/31/2011	Yr Ending 12/31/2010	Yr Ending 12/31/2009
UWO Annual Return (1)	-1.3	11.8	8.5	7.4	11.6	19.8	11.4	-1.0	10.2	16.7
Policy Return (2)	-1.6	10.9	8.0	4.6	9.3	17.0	10.4	-0.8	10.8	17.3
Value Added	0.3	0.9	0.5	2.8	2.3	2.9	0.9	-0.2	-0.6	-0.6

Policy Mix as of December 31										
S&P/TSX Composite	20%	20%	20%	20%	20%	20%	20%	20%	20%	20%
S&P 500	5%	5%	5%	5%	5%	5%	5%	5%	5%	5%
S&P 500 Hedged Currency	6%	6%	11%	11%	11%	11%	11%	11%	11%	10%
Russell 3000	6%	6%	0%	0%	0%	0%	0%	0%	0%	0%
S&P 400 Hedged Currency	4%	4%	4%	4%	4%	4%	4%	4%	4%	5%
MSCI EAFE	20%	10%	10%	10%	10%	10%	10%	10%	10%	10%
MSCI EAFE Hedged Currency	0%	10%	10%	10%	10%	10%	10%	10%	10%	10%
Total Equity	60%	60%	60%	60%	60%	60%	60%	60%	60%	60%
FTSE TMX Bond Universe (3)	18%	18%	18%	18%	25%	25%	25%	40%	40%	40%
MSCI All Country World (ACWI)	5%	5%	5%	5%	5%	5%	5%	0%	0%	0%
90-Day LIBOR	3%	3%	3%	3%	5%	5%	5%	0%	0%	0%
Infrastructure (CPI + 5)	10%	10%	10%	10%	0%	0%	0%	0%	0%	0%
Real Estate (4)	5%	5%	5%	5%	5%	5%	5%	0%	0%	0%

## UWO Operating - Combined Total Fund Real Returns – December 31, 2018

Except for the last 2 years, a real return of above the 4% Real Objective in all annualized periods.

	1 Year	2 Years	3 Years	4 Years	5 Years	6 Years	7 Years	8 Years	9 Years	10 Years	20 Years
<b>UWO Annualized Returns</b>	-1.3	5.0	6.2	6.5	7.5	9.5	9.7	8.3	8.5	9.3	6.4
<b>CPI (Inflation)</b>	2.0	1.9	1.8	1.7	1.7	1.6	1.5	1.6	1.7	1.6	1.9
<b>Real Return</b>	-3.3	3.1	4.4	4.7	5.8	7.8	8.2	6.7	6.8	7.7	4.5
	Yr Ending 12/31/2018	Yr Ending 12/31/2017	Yr Ending 12/30/2016	Yr Ending 12/31/2015	Yr Ending 12/31/2014	Yr Ending 12/31/2013	Yr Ending 12/30/2012	Yr Ending 12/31/2011	Yr Ending 12/31/2010	Yr Ending 12/31/2009	
<b>UWO Annual Returns</b>	-1.3	11.8	8.5	7.4	11.6	19.8	11.4	-1.0	10.2	15.7	
<b>CPI (Inflation)</b>	2.0	1.9	1.5	1.6	1.5	1.2	0.8	2.3	2.4	1.3	
<b>Real Return</b>	-3.3	9.9	7.0	5.8	10.2	18.6	10.5	-3.3	7.9	15.3	



FOR INFORMATION

**Quarterly Ratio Report on Non-Endowed Funds**

The attached report shows the non-endowed fund quarterly activity from 1999/2000 to the end of the third quarter of 2018/19 (January 31). The balance in the Undistributed Investment Returns Account at January 31, 2019 was \$294.0 million. At January 31, 2019 the 12-quarter moving average of non-endowed investments to the obligations of the portfolio was 1.97 (column 10), well above the target ratio of 1.08.

- ❑ Net returns (column 1) amounted to \$10.7 million for the quarter.
- ❑ Allocations to accounts (column 2), represents income distributed to the non-endowed funds. The non-endowed rate is based on the 30-day Treasury bill rate less administrative and management fees of 0.42%. The rate is adjusted quarterly and is applicable to non-endowed fund balances with the exception of Robarts. The non-endowed rate for the period January 1 to March 31 is 1.22 %. In the case of Robarts, in accordance with the operating agreement, the long term portion of their fund balance will earn the rate of return generated by the Operating & Endowment portfolio.
- ❑ The allocation to the operating budget (column 3) for fiscal 2019 is zero as approved by the Board.
- ❑ The non-endowed investments decreased \$5.1 million (column 6) during the quarter.
- ❑ The total market value of the non-endowed fund's portion of the externally managed portfolio at January 31, 2019 amounted to \$576.8 million (column 7).
- ❑ The obligations of the portfolio amounted to \$282.8 million at January 31, 2019 (column 8).
- ❑ The ratio of investments to obligations stood at 2.04 (column 9) at January 31, 2019.

Western University Non-Endowed Funds: Quarterly Values										
Quarter	Net Returns (1)	To Accounts (2)	Allocations To Operating Budget (3)	Other (4)	Change in amount Owed (5)	Net Change (6)	Total Investments (7)	Total Obligations (8)	Ratio of Investments to obligations (9)	Ratio of Investments to obligations Average for 12 Quarters (10)
1999/2000	2.4	(0.6)	(1.5)	(0.3)	4.0	4.0	88.0	74.3	1.18	1.23
2000/2001	0.9	(0.8)	(2.1)	(0.2)	5.9	3.7	113.7	101.0	1.13	1.16
2001/2002	0.8	(0.7)	(1.5)	0.0	5.9	4.6	127.0	125.5	1.01	1.10
2002/2003	(2.6)	(0.3)	(0.3)	0.0	0.4	(2.7)	120.6	132.3	0.91	1.02
2003/2004	6.3	(0.5)	0.0	0.0	1.3	7.1	140.7	135.5	1.04	0.99
2004/2005	2.9	(0.4)	0.0	0.0	3.6	6.2	157.7	141.1	1.12	1.03
2005/2006	7.0	(0.7)	0.0	0.0	2.9	9.3	199.4	160.4	1.24	1.13
2006/2007	7.6	(1.2)	(1.3)	0.0	6.2	11.4	236.9	179.1	1.32	1.22
2007/2008	0.4	(1.0)	(1.6)	(0.3)	2.7	0.2	258.7	197.7	1.31	1.29
2008/2009	(12.2)	0.3	0.0	(0.2)	(2.5)	(14.6)	213.3	197.7	1.08	1.24
2009/2010	10.5	(0.7)	0.0	(0.6)	(3.6)	5.7	219.1	181.2	1.21	1.20
2010/2011	6.9	(0.5)	0.0	(0.4)	(1.0)	5.1	234.5	174.5	1.34	1.22
2011/2012	1.2	(0.2)	0.0	(0.4)	9.9	10.6	260.9	194.4	1.34	1.30
2012/2013	10.7	(0.8)	0.0	(0.9)	3.7	12.7	315.1	223.7	1.41	1.37
2013/2014										
Q1	10.6	(0.2)	0.0	0.0	7.9	18.3	353.8	233.9	1.51	1.39
Q2	19.2	(0.2)	0.0	0.0	1.6	20.6	374.4	235.5	1.59	1.40
Q3	17.7	(0.3)	0.0	0.0	(4.3)	13.1	387.5	231.2	1.68	1.42
Q4	14.1	(3.4)	0.0	(6.4)	29.9	34.2	421.7	261.1	1.62	1.45
2014/2015										
Q1	13.2	(0.2)	0.0	0.0	1.9	14.9	436.6	263.0	1.66	1.47
Q2	6.8	(0.2)	0.0	0.0	(3.7)	2.9	439.5	259.3	1.69	1.50
Q3	22.8	(0.3)	0.0	0.0	(14.5)	8.0	447.5	244.8	1.83	1.54
Q4	14.0	(2.8)	0.0	(3.5)	5.2	12.9	460.4	250.0	1.84	1.59
2015/2016										
Q1	12.7	(0.1)	0.0	0.0	14.6	27.2	487.6	264.6	1.84	1.63
Q2	(9.6)	(0.1)	0.0	0.0	2.2	(7.5)	480.1	266.8	1.80	1.67
Q3	(6.8)	0.0	0.0	0.0	(1.9)	(8.7)	471.4	264.9	1.78	1.69
Q4	6.6	0.0	0.0	(1.9)	40.8	45.5	516.9	305.7	1.69	1.71
2016/2017										
Q1	25.9	0.0	0.0	0.0	14.9	40.8	557.7	320.6	1.74	1.73
Q2	9.9	0.0	0.0	0.0	(1.8)	8.1	565.8	318.8	1.77	1.75
Q3	22.3	0.0	0.0	0.0	(15.1)	7.2	573.0	303.7	1.89	1.76
Q4	27.5	(3.3)	0.0	(0.2)	(17.3)	6.7	579.7	286.4	2.02	1.80
2017/2018										
Q1	0.7	0.0	0.0	0.0	(5.5)	(4.8)	574.9	280.9	2.05	1.83
Q2	23.6	(0.1)	0.0	0.0	(11.5)	12.0	586.9	269.4	2.18	1.87
Q3	16.4	(0.1)	0.0	0.0	(16.6)	(0.3)	586.6	252.8	2.32	1.91
Q4	0.8	(2.4)	0.0	(49.9)	47.2	(4.3)	582.3	300.0	1.94	1.92
2018/2019										
July 2018 Q1	21.8	(0.5)	0.0	0.0	5.5	26.8	609.1	305.5	1.99	1.93
October 2018 Q2	(19.2)	(0.5)	0.0	0.0	(7.5)	(27.2)	581.9	298.0	1.95	1.94
January 2019 Q3	10.7	(0.6)	0.0	0.0	(15.2)	(5.1)	576.8	282.8	2.04	1.97

[A] General notes:  
For 1995/96 through to 2008/09 the figures are the average for the four quarters.

Columns (1) to (6) refer to changes during the quarter, column (7) to (10) refer to the end of each quarter.  
All figures are millions of dollars, except columns (9) and (10). Column (9) is the ratio of column (7) to column (8).  
Column (10) is the average of the ratios in column (9) over 12 quarters (i.e. over 3 year period).

**Notes:**

- (1) Net investment returns, including changes in unrealized capital gains, net of consulting and investment management fees. This total includes returns on internally managed funds and other sundry investment earnings less overdraft interest and interest paid to employee benefit accounts.
- (2) Allocations from the fund to all accounts and associated companies, except the Operating budget and Other allocations (column 4)
- (3) Allocations to the Operating budget.
- (4) In April 2014 a special allocation of \$10.0 was made to capital. The amount was netted by a cost recovery of 3.6 million associated with year end adjustments related to the debenture and the new residence financing.
- (4) The April 2015 amount is related to a year end mark-to-market value adjusted on the RBC SWAP interest agreement
- (4) The April 2016 amount is related to a year end mark-to-market value adjusted on the RBC SWAP interest agreement as well as the cost to carry related to unused debenture proceeds.
- (4) The April 2017 amount is the net of the year end mark-to-market value adjusted on the RBC SWAP interest agreement and the cost to carry related to unused debenture proceeds.
- (4) April 2018 special allocations: \$30.0 to set up sinking funds related to the debenture issues; \$20.0 to capital  
The above amount is netted by the year end mark-to-market adjustment on the RBC SWAP interest agreement and the cost to carry related to unused debenture proceeds (0.1).
- (5) The change in the amount owed to other funds for the quarter is the net cash flows into the accounts less any transfers to Western's bank account.
- (6) Quarterly net change, is the sum of columns (1) to (5) and is the change in the value of the fund in column (7), which is the change in the market value of investments during the quarter.
- (7) Total non-endowed externally managed funds. Endowed funds are not included.
- (8) Total of the non-endowed externally managed funds that are owed to University accounts and other creditors.
- (9) The ratio of column (7) to column (8).
- (10) The average of this ratio over the previous 12 quarters. The Board target for this ratio is an average of 1.08.

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## Research Update:

# University of Western Ontario Ratings Affirmed At 'AA'; Outlook Is Stable

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## Research Update:

# University of Western Ontario Ratings Affirmed At 'AA'; Outlook Is Stable

## Overview

- The University of Western Ontario has achieved strong operating margins again, which supports the university's financial resources and our assessment of its financial profile.
- At this time, we do not anticipate the recently announced cuts to tuition fees will affect our assessment of Western's financial profile.
- We are affirming our 'AA' long-term issuer credit and senior unsecured debt ratings on the university.
- The ratings reflect, in part, Western's stand-alone credit profile, which reflects our combined assessment of the university's very strong enterprise and financial profiles.
- The stable outlook reflects our expectation that Western will maintain a healthy market position and demand profile, and available resources will remain superior despite upcoming cuts to tuition fees.

## Rating Action

On Feb. 13, 2019, S&P Global Ratings affirmed its 'AA' long-term issuer credit and senior unsecured debt ratings on the University of Western Ontario, in London, Ont. The outlook is stable.

## Outlook

The stable outlook reflects our expectations that, within our two-year outlook horizon, Western will maintain excellent net operating margins, high levels of unrestricted financial resources and a healthy enrollment and demand profile over the next two years. The outlook also reflects our expectation that the university's relationship with the province will be stable.

## Downside scenario

We could take a negative rating action if, in the next two years, net operating margins unexpectedly declined to balance or slight deficits on average and eroded unrestricted financial assets. Lower financial assets would likely cause debt service and debt coverage ratios to decline. This combination of events would strain Western's financial profile and could lead to a negative rating action. Furthermore, evidence of negative government intervention, a strengthening of our assessment of the link between the

university and province, or a significant reduction in our assessment of Western's resilience to an Ontario default scenario could also cause us to lower the ratings on the university, potentially to on par with or below those on the province, depending on the severity.

### **Upside scenario**

An improvement in Western's already healthy enterprise profile could lead to a positive rating action. Specifically, a selectivity ratio below 50% and increased geographic diversification of the student body, with out-of-province students accounting for more than 30% of total full-time equivalents (FTEs), could lead to a positive rating action in the next two years.

### **Rationale**

The ratings on Western reflect the university's stand-alone credit profile, which S&P Global Ratings assesses at 'aa' based on very strong enterprise and financial profiles. Over the past 20 years, Western has remained committed to improving student quality metrics, which have translated into superior average entering grades and remarkable graduation and retention rates. Our assessment of the university's enterprise profile reflects a healthy enrollment and demand profile, strong management and governance practices, low industry risk, and Ontario's extremely strong economic fundamentals. We base our assessment of the financial profile on Western's robust financial management policies, history of strong financial performance, and high levels of cash and available financial resources. In our opinion, the university's challenging operating environment with newly mandated tuition cuts, relatively limited geographic student draw, and its moderate debt burden offset these strengths somewhat.

Founded in 1878, Western is a research-intensive, doctoral university. It is in the southwestern Ontario city of London, which has a population of about 384,000. Western has about 33,350 FTE students on its main campus. The university has 12 faculties and schools, including a school of medicine and dentistry, law, engineering, and business. It also has affiliations with three research institutes, three university colleges, and two teaching hospitals.

Western's very strong enterprise profile supports the credit profile assessment. Supporting this is our view of the higher education sector's low industry risk, with counter-cyclicality and low competitive risk and growth. In addition, the university benefits from excellent economic fundamentals, measured by the province's GDP per capita, which we estimate to be about US\$50,000 in 2018; good income indicators; and moderate employment and population growth projections. However, because a high proportion of students (77%) are from Ontario, Western's student draw has, we believe, limited geographic diversity relative to that of some peers. The university says it would like to increase its international student body, which could support revenue diversity.

We believe the credit profile benefits from a healthy and fairly stable demand

and enrollment profile. Western benefits from solid student quality metrics; a robust selectivity rate below 60%; excellent first-year retention rates of over 90% in recent years; and exceptional faculty quality, with substantially all faculty members having PhDs or equivalent degrees. Headcount has increased modestly in the past several years. The university will focus its enrollment growth efforts on attracting more international and graduate students, although competition for these students is high. The university is a member of the U15, a group of leading research-intensive universities in Canada. In fiscal 2018, it received C\$257 million in research-related grants and contracts, which have been slowly increasing in the past several years. Western has exceeded its C\$750 million fundraising goal under its Be Exceptional campaign, launched in 2007, demonstrating effective fundraising capacity.

The university's senior administration has been largely stable. In our view, it has sufficient depth and expertise, and has demonstrated consistent operational effectiveness, which we believe lends stability to the credit profile. Overall, we view Western's transparency and disclosure to be good, with policies and procedures that adequately mitigate risks. The university conducts its activities according to a four-year operating and capital plan that contains what we view as appropriate assumptions. It prepares externally audited financial statements, which have been unqualified; and has formal policies for endowments, investments, debt, and reserves.

In our opinion, Western has a very strong financial profile, largely in line with the medians for 'AA' rated public colleges and universities. Its adjusted weighted-average operating margin was 11.5% in the past three fiscal years because enrollment growth has been stronger than the university had forecast, resulting in higher tuition revenue. Western, along with other Ontario universities, will face increased budget pressure from the government's requirement to cut domestic tuition fees by 10% in fiscal 2020 and freeze it the following year. The university's budget for fiscal 2020 is not yet available, but given Western's strong balances and the possibility of expenditure control and enrollment growth, we believe the university will be able to accommodate these cuts without falling into a deficit position.

In our view, the university has a relatively moderate debt burden. At fiscal year-end 2018, its total gross debt outstanding stood at C\$376.6 million. The debt consists of C\$2.2 million in bank debt; a fixed-rate, C\$189.2 million, 40-year bullet debenture due 2047; a fixed rate, C\$99.4 million, 40-year bullet debenture due 2057; C\$6.7 million in mortgages; C\$10.8 million related to Western's research park; and C\$68.3 million in amortizing banker's acceptances (from a C\$100 million nonrevolving facility maturing in fiscal 2027). We view Western's debt structure as aggressive because more than 50% of its total debt is non-amortizing; however, the bullet maturities are years away and the university's strong liquidity partially mitigates the associated risks. To help repay its bullet debentures, Western has set aside C\$30.5 million in an internal sinking fund. Our estimate of maximum annual debt service (which includes an estimated principal component for non-amortizing debt) equals 3.9% of adjusted fiscal 2018 expenses. In our view, the

university's debt burden is manageable, given Western's good operating performance and the healthy level of available resources equal to 2.2x debt based on a three-year weighted average. The university does not expect additional external debt in the next several years and we believe that debt service coverage will remain more than adequate throughout our outlook horizon.

Among rated Canadian universities, Western is the only one to sponsor a pure defined contribution pension plan for current employees that shifts the plan's investment risks away from itself. This contrasts with some institutions that face the potential requirement to make special solvency payments on their pension plan deficits. The university has a legacy defined benefit plan that was in a very modest surplus position of C\$1.02 million at year-end 2017, and we do not consider the liabilities associated with this plan significant. The majority of Western's postemployment liabilities relate to unfunded nonpension benefits, such as medical and dental. At fiscal year-end 2018, these liabilities amounted to C\$495.3 million, up 4.6% from the previous year.

Based on public disclosures, we do not believe that Western has any additional contingent liabilities that could materially affect our view of its credit profile.

### **Liquidity**

At fiscal year-end 2018, total cash and investments increased to C\$1.9 billion from C\$1.7 billion in 2017, equal to over 5x our debt estimate and more than 2x our measure of available resources, and indicating very robust liquidity. Western's available resources (internally restricted net assets plus internally restricted endowments) had increased to C\$782 million from C\$697 million the year before. We believe that available resources will remain more than sufficient to finance all debt service requirements and sufficiently buffer any likely medium-term stress scenario.

### **Moderately high likelihood of extraordinary provincial government support**

In accordance with our criteria for government-related entities, our view of Western's moderately high likelihood of extraordinary government support reflects our assessment of the university's important role in the province, given that postsecondary education is one of Ontario's priorities in both expenditure and mandate (after health care and school boards), despite recent changes to university funding. Moreover, our assessment of Western's important role recognizes the absence of viable private alternatives. It also reflects our view of the university's solid reputation. The province's oversight, program approval rights, and tuition regulation over Western suggest a strong link to the government. Also supporting this view is that the province provides substantial operating grants, which account for about a quarter of the university's total revenue, and it appoints four of 26 board members.

We rate Western two notches above Ontario. The differential reflects our view that there is a measureable likelihood that the university's substantial

financial resources would meet ongoing operational and debt service requirements should the government default and temporarily suspend payments to Western. In addition, the differential reflects our belief that the university operates independently of the Ontario government as an autonomous legal entity with ownership of its assets. The board is responsible for the management, administration, and control of Western's property and other assets and all business affairs. We consider the risk of extraordinary negative government intervention low, given the university's operational independence, important public policy role, and the government's hands-off approach to the sector.

Ontario elected a new provincial government in June 2018. In January 2019, the government announced changes to university funding, which included a plan to cut domestic tuition fees by 10% for the 2019-2020 fiscal year, a freeze in tuition rates for the following fiscal year, and changes to its student assistance program and some student fees. Furthermore, the province has stated that there will be no additional grant revenue to offset the tuition cut. Of the changes, we expect cuts to tuition will have the largest impact on Western's finances. The university must comply or risk losing some of its core operating grants from the province. We expect Western will mitigate the loss in tuition revenue through increases in international enrollment and expense control and ultimately maintain a positive net operating margin. While the requirement to cut tuition fees was unexpected, it does not change our assessment of the government's likelihood of support based on Western's strong link and important role with the province.

### University of Western Ontario -- Enterprise And Financial Statistics

	--Fiscal year ended April 30--					--Medians for 'AA' rated public colleges and universities--
	2019	2018	2017	2016	2015	2017
<b>Enrollment and demand</b>						
Headcount	32,739	32,519	31,922	31,062	31,305	MNR
Full-time equivalent	33,353	33,059	32,528	31,772	31,765	34,653
Freshman acceptance rate (%)	54.9	51.5	58.1	56.1	53.6	66.0
Freshman matriculation rate (%)	18.6	20.2	20.0	20.8	21.8	MNR
Undergraduates as a % of total enrollment (%)	80.5	80.5	81.5	81.6	82.0	79.2
Freshman retention (%)	N.A.	93.4	93.1	93.2	93.3	86.0
Graduation rates (six years) (%)	83.4	83.2	83.4	83.6	82.3	MNR
<b>Income statement</b>						
Adjusted operating revenue (\$000s)	N.A.	1,259,352	1,169,093	1,170,496	1,085,810	MNR
Adjusted operating expense (\$000s)	N.A.	1,100,306	1,084,310	1,053,850	1,038,440	MNR
Net adjusted operating income (\$000s)	N.A.	159,046	84,783	116,646	47,370	MNR
Net adjusted operating margin (%)	N.A.	14.5	7.8	11.1	4.6	1.22
Provincial grants to revenue (%)	N.A.	22.3	23.7	23.2	25	19.5



**University of Western Ontario -- Enterprise And Financial Statistics (cont.)**

	--Fiscal year ended April 30--					--Medians for 'AA' rated public colleges and universities--
	2019	2018	2017	2016	2015	2017
Student dependence (%)	N.A.	34.6	34.9	32.5	32.8	42.4
Investment income dependence (%)	N.A.	6.3	10.5	2.4	7.4	1.4
<b>Debt</b>						
Outstanding debt (\$000s)	N.A.	376,576	288,042	294,057	303,576	798,089
Current debt service burden (%)	N.A.	2.05	1.89	2.04	2.37	MNR
Current MADS burden (%)	N.A.	3.88	3.19	3.69	3.49	3.51
<b>Financial resource ratios</b>						
Endowment market value (\$000s)	N.A.	746,466	678,960	580,615	585,779	858,805
Cash and investments (\$000s)	N.A.	1,933,753	1,661,799	1,416,635	1,372,329	MNR
Adjusted UNA (\$000s)	N.A.	782,486	697,138	603,537	560,906	MNR
Cash and investments to operations (%)	N.A.	175.7	153.3	134.5	132.2	58.2
Cash and investments to debt (%)	N.A.	513.5	576.9	481.8	452.1	167.8
Adjusted UNA to operations (%)	N.A.	71.1	64.3	57.3	54.0	34.3
Adjusted UNA plus debt service reserve to debt (%)	N.A.	207.8	242.0	205.2	184.8	100.5
Average age of plant (years)	N.A.	16.0	15.1	14.5	13.6	13.1
OPEB liability to total liabilities (%)	N.A.	28.6	29.4	32.3	30.3	MNR

N.A.--Not available. MNR--Median not reported. MADS--Maximum annual debt service. Total adjusted operating revenue = unrestricted revenue less realized and unrealized gains/losses and financial aid. Total adjusted operating expense = unrestricted expenses.

**Related Criteria**

- General Criteria: Methodology: Not-For-Profit Public And Private Colleges And Universities, Jan. 6, 2016
- General Criteria: Rating Government-Related Entities: Methodology And Assumptions, March 25, 2015
- General Criteria: Use Of CreditWatch And Outlooks, Sept. 14, 2009

**Ratings List**

Ratings Affirmed

University of Western Ontario

Issuer credit rating AA/Stable/--  
Senior unsecured AA

Certain terms used in this report, particularly certain adjectives used to

express our view on rating relevant factors, have specific meanings ascribed to them in our criteria, and should therefore be read in conjunction with such criteria. Please see Ratings Criteria at [www.standardandpoors.com](http://www.standardandpoors.com) for further information. Complete ratings information is available to subscribers of RatingsDirect at [www.capitaliq.com](http://www.capitaliq.com). All ratings affected by this rating action can be found on S&P Global Ratings' public website at [www.standardandpoors.com](http://www.standardandpoors.com). Use the Ratings search box located in the left column.

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FOR INFORMATION

**2018-19 Operating Budget Update as of January 31, 2019**

The attached table provides an update on the University's 2018-19 Operating Budget based on the best available information as of January 31, 2019 and compares the updated figures to the budget as approved by the Board of Governors in April 2018. Major changes are as follows:

**Revenues** (lines 7 to 11)

- a. Undergraduate tuition revenue (line 7) is projected to be higher by \$7.9 million primarily due to about 200 more international students, which is the result of higher-than-expected acceptance rates at the first-year level.
- b. Tuition revenue in Ivey Programs (line 8) are expected to be lower by \$2.3 million and is the result of lower-than-planned enrolments in the HBA and MBA programs.
- c. Tuition revenue deriving from International Medical & Dental programs (line 9) is projected to be lower by \$2.6 million – due to a decline in the number of medical residents from Saudi Arabia, relative to initial plan.
- d. The net result is that total operating revenue is projected to be \$3.9 million higher than the original budget (line 11).

**Expenditures** (lines 12 to 16)

- e. Starting in 2018-19, the tuition fee rate for international Ph.D. students is set at the same level as domestic students. As a result of this change (to the lower tuition rate), faculties have to provide lower amounts of funding support to international Ph.D. students. The result is that \$3.3 million is being withdrawn from Faculty budgets (line 12).
- f. Under the Ivey School funding model, tuition from Ivey programs flows to the Ivey budget. The reduction in tuition revenue noted above results in a \$2.3 million reduction to the Ivey budget (line 13).
- g. Tuition deriving from self-funded international medical & dental programs flows to the Schulich School's budget. Therefore the reduction in tuition revenue noted above results in a \$2.6 million reduction to the Schulich budget (line 14).
- h. The net result is that total operating expenditures are projected to be \$7.3 million lower than the original budget.

**Operating Reserve** (line 6)

- i. As a result of the above changes in revenue and expenditures, the Operating Reserve is projected to be at \$71.8 million – which is \$12.4 million higher than the initial budget.

*Western University*  
**2018-19 Operating Budget Update**  
as at January 31, 2019  
(\$000)

**<a> Summary**

		As Approved by Board	Revised Forecast	Increase/Decrease	
				\$	%
1	Revenues <b>	787,345	791,227	3,882	0.49%
2	Expenditures <c>	808,173	800,922	(7,251)	-0.90%
3	Surplus / (Deficit)	(20,828)	(9,695)	11,133	
4	Operating Reserve -- Beginning of Year	80,253	81,477	1,224	
5	Surplus / (Deficit)	(20,828)	(9,695)	11,133	
6	Operating Reserve -- End of Year	59,425	71,782	12,357	

**<b> Revenue Changes**

7	Tuition Revenue: Undergraduate			7,900	
8	Tuition Revenue: Ivey Programs			(2,305)	
9	Tuition Revenue: International Medical & Dental Students			(2,593)	
10	All Other			880	
11	Total Revenue Changes			3,882	

**<c> Expense Changes**

12	Faculties: International PhD Tuition Adjustment			(3,332)	
13	Ivey School: Flowthrough of Tuition Revenue			(2,305)	
14	Medicine & Dentistry: International Student Tuition			(2,593)	
15	All Other			979	
16	Total Expenditure Changes			(7,251)	

**REPORT OF THE SENIOR POLICY & OPERATIONS COMMITTEE**

<b>Contents</b>	<b>Consent Agenda</b>
<b>Code of Student Conduct Revisions</b>	No
<b>Committee Appointment</b>	Yes

FOR APPROVAL

1. **Code of Student Conduct Revisions**

**Recommended:** That the Board of Governors approve the revisions to the Code of Student Conduct, as provided in **Annex 2**.

**Background:**

The Code of Student Conduct is a Board policy that deals with non-academic offences committed by students. In November, 2018, University Legal Counsel was asked to determine if the Code could be extended to address certain off-campus conduct and in March, 2019, the Associate Vice-President (Student Experience) established a review committee to determine whether an amendment to the Code was appropriate to address student conduct issues arising from the illegal street party on Broughdale Avenue and, if so, the recommended wording of an amendment. The Committee determined that an amendment was appropriate, and the Committee's recommended revision is ready to be submitted to the Board for approval. **Annex 1** contains the cover note for the report, with **Annex 2** containing the proposed amendment (bold and highlighted) to the Code of Conduct.

FOR INFORMATION

2. **Committee Appointment**

On behalf of the Board of Governors, the following committee appointment was approved by the Senior Policy & Operations Committee, with term as indicated:

- Cecil Rorabeck to the Fund Raising and Donor Relations Committee for the term 15 March 2019 to 31 January 2020.

## REPORT OF THE CODE OF THE COMMITTEE

### Introduction

Western's Code of Student Conduct ("the Code") was approved by the Board of Governors on May 3, 2001. Section XI of the Code stipulates that the Board of Governors must review the Code every five years. The last review was completed in April 2018. Due to ongoing behaviour at an illegal street party on Broughdale Avenue, University Legal Counsel was tasked with reviewing whether the Code could address certain off-campus student conduct in an effort to address student conduct at this event and events similar to this event. In March, 2019, the Associate Vice-President (Student Experience) struck an ad hoc committee ("the Committee") to determine whether an amendment to the Code would be appropriate, and, if so, to recommend the amendment.

The composition of the Committee is set out in [Annex 1](#). Three student representatives participated on the Committee. The Committee concluded its deliberations in March, 2019 and finalized its recommended amendment to the Code in April, 2019.

### Recommended Revision

The Committee recommends a small revision to the jurisdiction article of the Code, to extend the Code to off-campus conduct at unsanctioned events that due to the nature of the event and/or the number of students involved, the event becomes associated with the University. The Committee also recommends giving discretion to Associate Vice-President (Student Experience) when determining whether to exercise jurisdiction over off-campus conduct. In this regard, the Committee proposes an amendment that allows the AVP to take into account four factors in determining whether to exercise jurisdiction. These four factors are listed in section C.1.(c) of the proposed revisions to the Code.

[Annex 2](#) contains the Code with the recommended changes.

**Report of the Committee Annex 1**

**MEMBERSHIP**

Associate Vice-President Student Experience Jennifer Massey (Chair)  
Chair, University Discipline Appeal Committee - Mark Workentin  
Associate University Secretary - Information Management and Appeals - Tara Oudekerk  
Judicial Affairs Coordinator (Student Conduct) - Lisa Highgate  
President of USC - Mitchell Pratt  
Designate for Vice President USC – Jacob Ferguson  
Vice President of SOGS - Wesam AbdElhamid Mohamed  
University Legal Counsel - Paul Eluchok





## WESTERN UNIVERSITY CODE OF STUDENT CONDUCT

**Effective Date:** ~~April 26, 2018~~ **April 26, 2019**

**Revised:** May 3, 2001; May 6, 2004; Nov. 26, 2009; Nov. 25, 2010; June 25, 2015

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### **A. Introduction and Purpose**

1. The purpose of the Code of Student Conduct is to define the general standard of conduct expected of students registered at Western University, provide examples of behaviour that constitutes a breach of this standard of conduct, provide examples of sanctions that may be imposed, and set out the complaint procedures that the University will follow.
2. Western University is a community of students, faculty and staff involved in learning, teaching, research, and other activities. The University seeks to provide an environment of free and creative inquiry within which critical thinking, humane values, and practical skills are cultivated and sustained. It is committed to a mission and to principles that will foster excellence and create an environment where its students, faculty, and staff can grow and flourish.
3. Upon registration, students accept the rights and responsibilities associated with membership in the University's academic and social community. Students are responsible for observing a standard of conduct that will contribute to the University's mission and that will support an environment conducive to the intellectual and personal growth of all who study, work and live here. This responsibility includes respecting the rights, property, and well-being of other members of the University community and visitors to the campus and not engaging in conduct that could reasonably be seen to endanger or adversely affect the health, safety, rights, security or property of the University, its members and visitors. The academic and social privileges granted to each student are conditional upon the fulfillment of this responsibility and students must familiarize themselves with the University regulations and the conduct expected of them while registered at the University.
4. The University expects students to set for themselves the highest standards of behaviour off-campus, including behaviour conducive to the peaceful and safe enjoyment of housing by both students and neighbours. The University does not condone behaviour that infringes upon the rights of the University's neighbours or that brings the University's good name into disrepute.
5. Students are reminded that they are equally responsible for observing the standard of conduct set out in this Code when using any means of electronic or digital communication to send, share, or post messages, images, or other material, including the use of social media sites.

## CODE OF STUDENT CONDUCT

### B. Definitions

In this Code:

1. “Dean” is the Dean of the student’s Faculty of Registration or designate, or in the case of a graduate student, the Vice-Provost (Graduate and Postdoctoral Studies) or designate.
2. “Faculties” includes the School of Graduate and Postdoctoral Studies.
3. “Student” is an individual registered at the constituent University in a course or program of studies.
4. “Premises of the University or its Affiliated University Colleges” includes lands, buildings and grounds of the University and its Affiliated University Colleges and other places or facilities used for the provision of the University’s courses, programs or services.
5. “University Program, Event or Activity” is a program, event or activity, whether on or off-campus, that is hosted, sanctioned, sponsored, or organized by the University and includes, but is not limited to, coursework, research, and other academic activities, placements, student exchange programs, study abroad, internships, co-ops, field trips, social events, and volunteer and community service activities.

### C. Jurisdiction

1. This Code applies to:
  - (a) conduct that occurs on the Premises of the University or its Affiliated University Colleges
  - (b) conduct that occurs during or in connection with a University Program, Event or Activity, including an on-line component of a University Program, Event or Activity.
  - (c) off-campus conduct,
    - (i) when the individual is acting as a designated representative of the University or a student organization or when the individual holds out that he or she is a representative of the University or a representative or member of a student group, team, or organization at the University; ~~or~~
    - (ii) that has, or might reasonably be seen to have an adverse effect on, interfere with, or threaten the proper functioning of the University, its mission, the rights of a fellow student or other member of the University community to use and enjoy the University’s learning and working environments, or that raises concerns for the health, safety or security of any individual who is on campus or participating in a University Program, Event or Activity; **or**
    - (iii) **where the conduct occurs at a program, event or activity not hosted, sanctioned, sponsored, or organized by the University that because of the nature of the program, event or activity and/or the number of students involved, might reasonably be seen to have a direct or indirect association with the University.**

**In determining whether or not to exercise jurisdiction over off-campus conduct, the Associate Vice-President (Student Experience) or designate will**

## CODE OF STUDENT CONDUCT

**consider the seriousness of the alleged conduct, the risk of harm involved, whether the victim(s) are members of the campus community and/or whether the off-campus conduct is part of a series of actions that occurred both on and off the Premises.**

- (d) any other on-line conduct where such conduct has, or might reasonably be seen to have an adverse effect on, interfere with, or threaten the proper functioning of the University, its mission, the rights of a fellow student or other member of the University community to use and enjoy the University's learning and working environments, or that raises concerns for the health, safety or security of any individual who is on campus or participating in a University Program, Event or Activity.
2. Graduate students are subject to the provisions of this Code except when acting in their capacity as Graduate Teaching Assistants.
3. Subject to the provisions of the Affiliation Agreement between the University and its Affiliated University Colleges, students registered at the Affiliated University Colleges are expected to conform to the standards of this Code while on University property or while participating in a University Program, Event or Activity. Only the Affiliated University Colleges may discipline their students for conduct that would constitute a violation of this Code; however, where circumstances warrant, the University may also take measures to restrict such a student's entry onto University property, use of University facilities, or participation in a University Program, Event or Activity.
4. Any student found responsible for misconduct is subject to the disciplinary sanctions of this Code, regardless of the action or inaction of civil authorities. Nothing in this Code precludes the University from referring an individual matter to the appropriate law enforcement agency either before, during, or after disciplinary action is taken by the University under this Code. A student may be subject to criminal prosecution and/or civil proceedings notwithstanding, and in addition to, disciplinary action taken by the University against the student under this Code.

### **D. Relationship to Other University Policies and Codes**

1. If a student's conduct could be considered a breach of this Code and also a breach of the Policy on Scholastic Discipline, the University, in its discretion, may proceed under the Code or under the aforesaid Policy. A student may not be penalized under both the Code and the Policy on Scholastic Discipline for the same conduct.
2. Other than as set out in subsection D.1, no penalties or restrictions imposed under other University policies, rules, codes, or regulations (e.g., regulations relating to use of computing resources, regulations established by various units of the University including Intercollegiate Athletics, Western Libraries, the Division of Housing and Ancillary Services [Residence Contract], and Western Campus Recreation) shall bar or prevent the University from also instituting disciplinary proceedings and imposing sanctions under this Code. In such event, the Associate Vice-President (Student Experience) or designate shall consult the relevant unit head before taking additional disciplinary action.

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### E. Examples of Prohibited Student Conduct

The following list sets out examples of prohibited conduct. It is not intended to be exhaustive or exclusive. Any conduct that breaches the standard of conduct set out in subsection A.3 may be subject to discipline under this Code.

#### 1. Disruption or Interference

- (a) Disrupting or obstructing by action, threat or otherwise, any University or Affiliated University College activity, including a University Program, Event or Activity, or other authorized activities on Premises of the University or its Affiliated University Colleges, or the right of another person to carry on his/her legitimate activities, or to speak or to associate with others. University or Affiliated University College activities include, but are not limited to, teaching, research, studying, sports and recreation, administration and meetings.
- (b) Interfering with, obstructing, disrupting, misleading, or failing to comply with the directions of, any University official or emergency personnel (e.g. Campus Community Police Service, fire, ambulance response) acting in the performance of their duties.

Note: Nothing in this Code should be interpreted as prohibiting peaceful assemblies, demonstrations, lawful picketing, or inhibiting lawful freedom of expression.

#### 2. Misconduct Against Persons and Dangerous Activity

- (a) Any assault, harassment, intimidation, threats or coercion.
- (b) Conduct that threatens or endangers the health or safety of any person.
- (c) Contravention of the University's *Non-Discrimination/Harassment Policy*.
- (d) Contravention of the University's *Policy on Sexual Violence*.
- (e) Knowingly (which includes when one should reasonably have known) creating a condition that endangers the health, safety, or well-being of any person regardless of consent.
- (f) Engaging in conduct that is, or is reasonably seen to be, humiliating or demeaning to another person, or coercing, enticing or inciting a person to commit an act that is, or is reasonably seen to be, humiliating or demeaning to that person or to others (e.g. hazing) regardless of consent.

#### 3. Misconduct Involving Property

- (a) Unauthorized entry and/or presence on any Premises of the University or its Affiliated University Colleges, or any premises used for University Programs, Events or Activities.
- (b) Misappropriation, damage, unauthorized possession, defacement, vandalism, and/or destruction of premises or property of the University or its Affiliated University Colleges, or the property of others.
- (c) Use of University or Affiliated University College facilities, equipment or services contrary to express instruction or without proper authority or permission, as required.
- (d) Misuse of University or Affiliated University College supplies and documents, including equipment, library and computer resources, keys, records, transcripts and permits.
- (e) Interfering with, obstructing, or tampering with emergency telephones, fire protection equipment or emergency equipment or facilities (e.g., fire doors, fire bells, fire extinguishers, fire hoses); disconnecting or blocking fire alarms; setting unauthorized fires; raising a false fire alarm; blocking or wedging open fire and smoke doors on corridors or stairways.

#### 4. Misrepresentation or False Information

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- (a) Furnishing false information.
  - (b) Forging, altering or misusing any document, record, card or instrument of identification. This applies to any identification or information, including Western ONECard, access cards, key cards, transcripts.
5. **Alcohol and Drug Use**
- (a) Illegal use, possession or distribution of a controlled or restricted substance.
  - (b) Contravention of provincial liquor laws or the policies of the University or Affiliated University Colleges governing the possession, distribution and/or consumption of alcoholic beverages on the premises of the University or its Affiliated University Colleges.
6. **Improper Use of Dangerous Objects and Substances**  
Storage, possession or use of firearms, explosives, or other weapons (including any item that can reasonably be perceived to be a weapon by others, e.g. replica guns, airsoft guns), flammable solvents, biohazardous, volatile or poisonous materials, except in areas formally designated for that purpose by authorized University personnel.
7. **Contravention of University Regulations**  
Violation of any published or posted University policy, rule or regulation.
8. **Contravention of Other Laws**  
Contravention of any provision of the Criminal Code or any other federal or provincial statute or municipal by-law.
9. **Aiding in the Commission of a Prohibited Act or Attempting to Commit a Prohibited Act**  
Aiding or encouraging others in the commission of an act prohibited under this Code or attempting to commit an act prohibited under this Code.
10. **Failure to Comply**  
Failure to comply with any sanction imposed by the University under this Code.

## F. Complaint Procedures

### Overview:

Allegations that a student's conduct may be a violation of this Code should be submitted to the Associate Vice-President (Student Experience) ("AVP-SE") as soon as possible following the discovery of the alleged violation. The AVP-SE, or an individual designated by the AVP-SE, is authorized to respond to complaints/reports of possible misconduct, make final determinations as to whether there have been breaches of the Code, and impose sanctions. Students may appeal those decisions and sanctions in accordance with the appeal process set out in this Code.

Allegations of misconduct shall be reviewed, investigated, and decided in a timely manner. Where appropriate, informal measures shall be used to resolve minor incidents of misconduct. As part of the review process, the AVP-SE or designate will meet with, seek assistance from, and/or consult with any member of the University community or individuals outside the University community with particular knowledge of the alleged incident or those involved, and may consult or seek assistance from appropriate University officials in resolving a complaint.

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Unless the Dean has been designated by the AVP-SE to deal with the complaint, the Dean shall be notified of formal Code proceedings and kept informed throughout the process. If the AVP-SE or designate concludes that there has been a breach of the Code, he or she shall consult with the Dean on possible sanctions.

### **Procedures:**

1. Upon receipt of a complaint/report the AVP-SE or designate will review the allegations and, if they fall within the jurisdiction of the Code, may attempt to resolve the matter informally or may proceed to initiate formal Code proceedings.
2. The AVP-SE or designate may consult with the Dean in determining whether the matter should be dealt with informally, and may refer the matter to the Dean for informal resolution. In the event the matter cannot be resolved informally, the AVP-SE or designate may initiate formal Code proceedings.
3. If the AVP-SE or designate initiates formal Code proceedings, the student against whom a complaint/report has been filed shall be informed in writing of the nature of the allegations and the facts alleged against him/her. The Dean shall be informed of the decision to initiate formal Code proceedings.
4. The student shall be given a reasonable opportunity to respond to the allegations and to submit relevant information. The student shall also be given a reasonable opportunity to meet personally with the AVP-SE or designate to discuss the matter. It is the responsibility of the student to provide all materials and information that will support his/her position.
5. If the AVP-SE or designate concludes that there has been a breach of this Code, he/she may, after consultation with the Dean, impose an appropriate sanction or sanctions.
6. If the student does not respond to the allegation or does not meet with the AVP-SE or designate after having been given a reasonable opportunity to do so, the AVP-SE or designate may proceed to make a final decision and impose a sanction without such a response or meeting.
7. At all meetings with the AVP-SE or designate the student may be accompanied by support person of his or her choosing.
8. The AVP-SE or designate may request another staff member to be present at the meeting. In such cases, the student will be notified in advance of the meeting of who will be in attendance and the reason for their attendance.
9. The decision of the AVP-SE or designate, with reasons, shall be communicated in writing to the student. A copy of the decision will be kept in the Office of the AVP-SE and will be shared with other units/Faculties on a need-to-know basis (e.g. Office of the Registrar, Campus Community Police Service, Dean). In some circumstances the complainant or other person affected by the student's conduct may be advised of the outcome.
10. The AVP-SE is responsible for the implementation of any decision made under the Code.
11. The AVP-SE or designate may continue Code proceedings notwithstanding that a student withdraws from the University prior to a final decision. Where a student's conduct comes to light after a student has left the University, the AVP-SE may decide to proceed with the review and disposition of a complaint, if the seriousness of the allegation warrants such action.

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### G. Sanctions

The University may impose one or more sanctions for a breach of this Code, of which those listed below are examples. Sanctions imposed shall be proportional to the type of misconduct. The most serious types of misconduct will merit the most serious sanctions: suspension and expulsion. Prior breaches of the Code may be taken into account in determining an appropriate sanction.

In considering an appropriate sanction, the University's primary focus must be to ensure the safety and security of the University, its members, and visitors. However, where possible and appropriate, the University will consider educational sanctions in addition to or instead of other sanctions. Such sanctions are designed to help a student understand why his or her behaviour was inappropriate and to appreciate the impact of that behaviour on others.

Sanctions include:

1. **Written Warning or Reprimand.**
2. **Educational Sanctions.** Such sanctions include apologies, educational programs, activities and assignments, University or community service, restorative justice, individual assessment and counselling.
3. **Behavioural Contract.** A set of behavioural expectations, terms, and conditions that is developed with and signed by the student. With their signature, the student agrees to the expectations, terms, and conditions and acknowledges that any breach of the contract may result in more serious sanctions.
4. **Exclusion from a class, examination room, or other area.** (Note: In this context, "class" refers to a period of instruction such as a lecture, seminar, tutorial, laboratory session, recital, concert or exhibition of visual arts or sports event.)
5. **Removal,** either temporarily or permanently, from a course in which the student is registered.
6. **Restriction or Denial of University Services or Privileges.** The lost privileges may include, but are not limited to, parking privileges, unrestricted access to the library, access to athletic facilities, and access to and participation in extra-curricular activities.
7. **No Contact Order.** Requirement that a Student have no direct or indirect contact with a specific individual or group.
8. **Prohibition** or limitation of employment at the University.
9. **Prohibition** or limitation on entering University premises or specific parts thereof including academic/research facilities and laboratories.
10. **Restitution.** Requirement that restitution be made to another individual or the University for any loss or damage to personal or University property.
11. **Forfeiture** of University awards or financial assistance.
12. **Disciplinary Probation.** A designated period of time during which the student is required to adhere to the terms of the probation which may include restrictions of student privileges and/or set behavioural expectations, violation of which will result in the imposition of further

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sanctions.

13. **Suspension** from the University for a specified time period. Conditions for readmission may be specified.
14. **Expulsion** from the University.

### H. **Disruption of Instructional or Administrative Activities: Short Term Removals**

1. Disruption of instructional activities, including examinations, initially may be dealt with by the appropriate instructor or proctor as a matter of classroom discipline. The instructor or proctor may require the student to leave the area for the remainder of the particular class or examination and shall report the incident immediately to the relevant Chair/Dean.
2. Academic or administrative unit heads (or designates) may require a student to leave an office or other facility if the unit head (or designate) believes on reasonable grounds that the student's continued presence in that area will be detrimental to good order or will constitute a threat to the safety of others. The incident shall be reported immediately to the AVP-SE or to Campus Community Police Service.

### I. **Interim Measures**

#### 1. **Notice of Trespass**

Campus Community Police Service, in circumstances in which they reasonably believe that there are grounds to make an interim prohibition order as set out in subsection 2 below, may temporarily exclude a student from campus for up to 10 days by delivering a Notice of Trespass under the *Trespass to Property Act*.

#### 2. **Interim Prohibition**

The AVP-SE or designate may impose an interim prohibition pending an investigation and disposition of a complaint/report of misconduct. Interim prohibition may be imposed only: (a) if needed to ensure the safety and well-being of members of the University community or preservation of University property; (b) if needed to ensure the student's own physical or emotional safety and well-being; or (c) if there is a reasonable apprehension that the student poses a threat of disruption or of interference with the normal operations of the University.

During a period of interim prohibition, a student may be denied access to the campus or to specified campus facilities (including classes) and/or any other University activities or privileges for which the student might otherwise be eligible, as the AVP-SE or designate may determine to be appropriate. Within two working days following the imposition of interim prohibition, the student shall be informed in writing of the reasons for the prohibition. The student shall be afforded the opportunity to respond to the allegations being made against him or her. If the student responds, the AVP-SE or designate will reassess the prohibition and either revoke or continue it pending formal disposition of the matter.

### J. **Notices**



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Notices and other written communications under this Code may be delivered in-person, by email or by mail (campus, regular priority, courier, or registered). Mail service will be deemed effective on the fifth day after mailing for regular mail, and on the fourth day for campus mail. Emails shall be sent to the student's Western email account. Documents sent or delivered off-campus will be sent to the student's home address recorded in the student's electronic record at the University unless the student expressly requests that the documents be sent to another address.

### **K. Transcripts and Registration**

1. Suspension and expulsion are recorded on the official transcript. The suspension notation will be removed when the student graduates or five years after the last registration. The expulsion notation is permanent unless the President grants a petition for its removal. Any such petition may be made no sooner than five years after the offence. Removal of the expulsion notation from the transcript does not overturn the expulsion decision; the expulsion remains in effect.
2. While under investigation for serious misconduct that may result in suspension or expulsion, the student will not be issued transcripts directly, but, at the student's request, transcripts will be sent to institutions or potential employers. If the student is subsequently suspended or expelled, the recipients of the transcript will be issued a revised transcript. This restriction also applies in situations where the student is no longer enrolled at the University during the investigation.

### **L. Appeals**

1. A student may appeal a finding of misconduct to the University Discipline Appeal Committee (UDAC) on one or more of the following grounds:
  - (a) that there was a serious procedural error in the hearing of the complaint which was prejudicial to the appellant;
  - (b) that new evidence, not available at the time of the earlier decision, has been discovered, which casts doubt on the correctness of the decision;
  - (c) that the AVP-SE or designate did not have the authority under this Code to reach the decision or impose the sanctions he/she did.
2. In addition to the grounds set out in subsection L.1, if the sanction is forfeiture of University awards or financial assistance, suspension, or expulsion, a student may appeal the finding of misconduct and/or the sanction(s) to UDAC on the ground that the decision and/or sanction was unreasonable or unsupportable on the evidence before the AVP-SE or designate.
3. Filing an Appeal Application will not stay the implementation of any sanctions imposed except where the Chair of UDAC otherwise orders upon application of the appellant.
4. UDAC may:
  - (a) Deny the appeal.
  - (b) In the case of an appeal under paragraph L.1(a) or (b), grant the appeal and direct the previous decision maker to re-hear the matter or reconsider some pertinent aspect of its decision, and may include recommendations relating to the conduct of

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- any re-hearing.
- (c) In the case of an appeal under paragraph L.1(c), grant the appeal and quash the original decision.
  - (d) In the case of an appeal under subsection L.2, grant the appeal and quash or vary the original decision or grant the appeal and vary the sanction.
5. The right to be represented by counsel will be accorded to the principal parties to the appeal at this level. UDAC also reserves the right to retain and be represented by counsel.
6. The parties must bear all their own legal expenses, if any. UDAC will not order the University to pay all or part of the appellant's costs nor will it order the appellant to pay all or part of the University's costs.

### **Composition of UDAC**

7. The Board of Governors shall appoint a Chair and 15 members of UDAC: 7 members of faculty and 8 students (5 undergraduates and 3 graduate students).
- (a) No more than two of the faculty members shall be from the same Faculty. Undergraduate students shall be appointed from a broad range of Faculties and no more than two of the graduate students shall be from the same Faculty.
  - (b) The Chair shall be appointed annually by the Board of Governors.
  - (c) The terms of appointment shall be: faculty, three years; students, one year. Members are eligible for reappointment to a maximum of six continuous years (excluding therefrom a partial term served under (d) below), but shall be eligible for reappointment after a lapse of two years.
  - (d) Members may be appointed for shorter terms in order to fill vacated positions.
  - (e) An appeal will be heard by a panel of UDAC. The size and composition of the panel shall be determined by the Chair provided that a panel always includes at least one student, at least one faculty member, and the Chair.
  - (f) In instances where the Chair is unable to act, he or she may designate a member to act as Chair. In the event that the Chair is unable to appoint a designate, the Senior Policy and Operations Committee of the Board of Governors shall appoint a Chair *pro tem*.
  - (g) When a duly constituted panel of UDAC commences to hear a matter, the membership terms of those members present at the commencement of the hearing are automatically extended until UDAC renders its final decision in the case in question.

### **Procedures**

8. An Appeal Application must be filed with the Secretary of the Board of Governors within two weeks after a decision has been issued by the AVP-SE or designate. The Application must contain a copy of the decision, the grounds for the appeal, the outcome sought, a full statement supporting the grounds for the appeal, the name of legal counsel or agent, if any, and any relevant documentation in support of the appeal. Where the basis of the appeal is

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new evidence, such new evidence shall be described clearly and the names of any witnesses shall be provided.

9. An Appeal Application will not be accepted by the Secretary of the Board of Governors if incomplete or not filed within the time period specified in section 8 above. Exceptions to the time limit for filing an appeal are at the discretion of the Chair of UDAC upon written application of the student. Appeal Application forms and further details on hearing procedures may be obtained from the University Secretariat.
10. Parties to an appeal are the student against whom the decision has been made (Appellant) and the AVP-SE or designate (Respondent).
11. The Secretary of the Board of Governors shall provide the Respondent with a copy of the Appeal Application and attachments. The Respondent shall file a concise written response to the Appeal Application with the University Secretariat within ten days of receiving the documents. A copy of the response shall be provided to the Appellant and the Appellant shall be given an opportunity to file a reply within ten days of receipt of the Respondent's response.
12. Upon receipt of an Appeal Application, the Secretary of the Board of Governors shall:
  - (a) notify the Chair of UDAC;
  - (b) constitute a Panel of at least 2 members and the Chair; and
  - (b) schedule the initial meeting of the Panel.
13. Subject to the requirements set out herein, the Panel shall determine its own procedures and practices in any appeal and the Chair may make such rules and orders as he or she deems necessary and proper to ensure a fair and expeditious proceeding. The Panel is bound by neither strict legal procedures nor strict rules of evidence. It shall proceed fairly in its disposition  
  
of the appeal, ensuring that both parties are aware of the evidence to be considered, are given copies of all documents considered by the Panel, and are given an opportunity to be heard during the process.
14. The Panel may summarily dismiss an appeal if the Appeal Application does not, in the judgment of the Panel, raise a valid ground of appeal or does not assert evidence capable of supporting a valid ground.
15. The Panel may, in its discretion, hold an oral hearing or make its decision solely on the basis of written material filed by the parties, provided that it shall hold an oral hearing if a party satisfies it that there is good reason for doing so.
16. The Panel shall determine whether an oral hearing shall be open to the public or held *in camera*. At the discretion of the Chair, other members of UDAC may attend the *in camera* meetings as observers.
17. While an attempt shall be made to schedule an oral hearing at a time convenient to the Panel and the parties, a request by a party for a lengthy delay in the scheduling of the hearing, or a postponement of a scheduled hearing, will be granted by the Chair only in exceptional circumstances. Oral hearings will ordinarily be held within six weeks of filing of the Appeal Application.

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In the case of an oral hearing, if the Secretary of the Board of Governors is unable to contact the Appellant within a reasonable time to schedule a hearing, the Appellant will be notified at the address on the Appeal Application of the deadline by which he/she must contact the Secretary of the Board of Governors to arrange a hearing. If the Appellant has not contacted the Secretary of the Board of Governors by the specified deadline, the appeal will be deemed to be abandoned and may not be resubmitted.

18. Each party to an oral hearing shall be sent a Notice of Hearing setting out the time, place and purpose of the hearing. If a party does not attend, the Panel may proceed in the party's absence.
19. Each member of a Panel, including the Chair, shall vote. There shall be no abstentions. A majority of positive votes is required to grant an appeal.
20. The Chair of a Panel may waive any time limits specified herein or in any procedures adopted by a Panel in a given proceeding.
21. The Secretary of the Board of Governors, or the Secretary's designate, shall provide administrative support and procedural advice to Panels.
22. The decision, with reasons, shall be filed with the Secretary of the Board of Governors and copies shall be sent to the parties. The AVP-SE will notify relevant units/Faculties of the decision on a need-to-know basis.

### **Further Appeal**

23. Within two weeks of receipt of the reasons for decision, either party may appeal to the President on the grounds that there was a serious procedural error by UDAC that was prejudicial to the party. The President may designate another individual to hear the appeal.
24. The appeal must state the full grounds upon which the party relies and all arguments in support thereof. The President or designate may invite written submissions from the other party and/or the Chair of UDAC before making his or her decision. He or she may dismiss the appeal, grant the appeal and order that the matter be re-heard, or make such other disposition of the matter as he/she deems appropriate, and will provide reasons for his or her decision. The decision of the President or designate is final.

### **M. Annual Report**

The AVP-SE shall report annually to the Board of Governors summarizing the categories of misconduct, the range of sanctions, the number of appeals to the University Discipline Appeal Committee, and the outcome of such appeals. The report will be posted publicly by the University Secretariat.

### **N. Review of Code**

The Board of Governors shall review the Code every five years.

Enacted by the Board of Governors May 3, 2001  
Amended May 6, 2004  
Amended November 26, 2009  
Amended November 25, 2010

**CODE OF STUDENT CONDUCT**

Amended June 25, 2015  
Amended April 26, 2018

**REPORT OF THE FUND RAISING AND DONOR RELATIONS COMMITTEE**

<b>Contents</b>	<b>Consent Agenda</b>
<a href="#">Western Athletics Coaching Matching Fund</a> <a href="#">Fund Raising Activity Quarterly Report to January 31, 2019</a>	No Yes

FOR APPROVAL

1. **Western Athletics Coaching Matching Fund**

**Recommended:** That the Board of Governors approve the allocation of \$5,000,000 for endowment in support of the Western Athletics Coaching Matching Fund.

The background for the Western Athletics Coaching Matching Fund is provided in [Annex 1](#).

FOR INFORMATION

2. **Fund Raising Activity Quarterly Report to January 31, 2019**

The Fund Raising Initiatives Quarterly Report to January 31, 2019 is provided in [Annex 2](#).

FOR APPROVAL

**Western Athletics Coaching Matching Fund**

**Background:**

The Provost & Vice-President (Academic) proposes the allocation of \$5,000,000 for endowment as a matching fund program designed to provide stable funding for coaching positions for Western's nationally competitive sports teams.

Through an investment of University funding and donor giving, the goal of the program is to create a total of \$10 million in endowed funds to support an area of critical need for student athletes, generate an additional \$400,000 in sustainable funding for coaching and assist Western in growing its major gift donor base for Western Athletics.

Currently, Western's Sport and Recreation Services invests approximately \$1.1 million annually to support Western Mustang coaches. This level of funding does not adequately support the current needs of student athletes and leaves many of our teams with insufficient coaching staff, part time or volunteer coaches. In comparison, peer institutions are investing in the range of \$3-4 million in their coaching staff annually to ensure full-time and specialty coaches for their teams.

On average, new full-time and part-time coaching positions range in cost from \$8,000 - \$80,000, which will require endowments of \$200,000 to \$2 million. To remain competitive and to meet the needs of our student athletes, the Western Athletics Coaching Matching fund will be used to attract donors of \$100,000 to \$1 million+ to support endowed coaching positions for nationally competitive teams. Fundraising efforts will strive for gender balance in the allocation of funds.

An investment in sports leadership is critical to the continued success of Western Athletics and the ability to attract, retain and meet the needs of top student athletes. In addition, providing secure funding for coaches will expand and maximize operating funds, positioning Western to better support other critical areas of need in our athletics program.

FOR INFORMATION



Fund Raising Initiatives Quarterly Report  
as at January 31, 2019<sup>4</sup>  
(with comparative figures from the fiscal year 2016/17 to 2017/18)

**PLEDGE DATA**

	May 1, 2018 to January 31, 2019 (000's)			Fiscal Year 2018 (000's)		Fiscal Year 2017 (000's)	
	Target	Actual	Actual as a % of Target	Year to Date May to Jan	Year End May to April	Year to Date May to Jan	Year End May to April
Pledges outstanding May 1,	<b>91,903</b>	91,903	N/A	87,537	87,537	105,717	105,717
New Gifts & Pledges (Gross)	65,000	55,181	84.89%	53,171	70,130	20,334	57,089
Pledges cancelled/amended on new/prior pledges	-2,011	-1,385	68.85%	-838	-1,835	-1,134	-3,224
<b>Net New Pledges/Gifts</b>	<b>62,989</b>	<b>53,797</b>	<b>85.41%</b>	<b>52,332</b>	<b>68,295</b>	<b>19,200</b>	<b>53,865</b>
Contributions received in payment of pledges/gifts: <sup>2</sup>							
Western University	50,924	41,169	80.84%	46,280	63,184	33,696	71,509
Richard Ivey School of Business (Asia) Limited	200	0	0.00%	100	745	0	536
Total contributions received	<b>51,124</b>	<b>41,169</b>	<b>80.53%</b>	<b>46,380</b>	<b>63,929</b>	<b>33,696</b>	<b>72,045</b>
<b>Net Pledges Outstanding</b>	<b>103,768</b>	<b>104,531</b>	<b>100.74%</b>	<b>93,489</b>	<b>91,903</b>	<b>91,221</b>	<b>87,537</b>

**COST PER DOLLAR RAISED**

	May 1, 2018 to January 31, 2019 (000's)			May 1, 2017 to April 30, 2018 (000's)			May 1, 2016 to April 30, 2017 (000's)		
	Net Pledges/Gifts	Expenses	Cost per Dollar Raised	Net Pledges/Gifts	Expenses	Cost per Dollar Raised	Net Pledges/Gifts	Expenses	Cost per Dollar Raised
Advancement Fund Raising Units									
Alumni Relations & Development	37,827	4,021	NA	55,719	8,626	\$0.15	45,740	8,095	\$0.18
Richard Ivey School of Business	17,039	533	NA	14,302	1,087	\$0.08	11,272	1,292	\$0.11
<b>Total Expenses/Cost Per Dollar Raised</b>	<b>54,866</b>	<b>4,553</b>	<b>NA</b>	<b>70,020</b>	<b>9,713</b>	<b>\$0.14</b>	<b>57,012</b>	<b>9,387</b>	<b>\$0.16</b>
<b>3-Year Average Cost Per Dollar Raised</b> <sup>3</sup>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>58,351</b>	<b>9,630</b>	<b>\$0.17</b>	<b>71,971</b>	<b>8,633</b>	<b>\$0.12</b>
<b><u>Return on Investment</u></b>									
Fundraising/Development/Advancement	<u>Cash Received</u>	<u>Expenses</u>	<u>Return on Investment</u>	<u>Cash Received</u>	<u>Expenses</u>	<u>Return on Investment</u>	<u>Cash Received</u>	<u>Expenses</u>	<u>Return on Investment</u>
	35,907	4,553	NA	52,909	9,713	\$5.45	52,573	9,387	\$5.60
<b>Total Return on Investment</b>	<b>35,907</b>	<b>4,553</b>	<b>NA</b>	<b>52,909</b>	<b>9,713</b>	<b>\$5.45</b>	<b>52,573</b>	<b>9,387</b>	<b>\$5.60</b>

<sup>1</sup> Includes total activity of:

- Western University
- The University of Western Ontario Inc.
- The University of Western Ontario (UK) Foundation
- The University of Western Ontario (HK) Foundation
- Richard Ivey School of Business (Asia) Limited

<sup>2</sup> Represents all contributions including cash, gift in kind and gift in purchase discounts entered in the Contributor Relations System within reporting period and may differ from the general ledger reporting period.

<sup>3</sup> 3 Year Rolling Average - reflects the major gift factor and the post campaign period. Return on Investment and Cost per Dollar raised are included on April 30th Quarter reports.



**ITEMS REFERRED BY SENATE**

Contents	Consent Agenda
<p><b>Renewal of the Articulation Agreement between Western University, King’s University College, Huron University College and Fanshawe College Regarding the Transfer of Credit for Students in the Business-Accounting Diploma Program</b></p>	<p>Yes</p>
<p><b>2019-20 University Operating and Capital Budgets</b></p>	<p>Yes</p>
<p><b>2019 Entrance Standards for Undergraduate First-Year Admissions</b></p>	<p>Yes</p>
<p><b>Five-Year Enrolment Projections</b></p>	<p>Yes</p>
<p><b>Report on Year One Class and Entering Averages</b></p>	<p>Yes</p>
<p><b>Report of the Academic Colleague</b></p>	<p>Yes</p>
<p><b>Academic Administrative Appointments</b></p>	<p>Yes</p>
<p><b>Teaching Award Recipients 2018-19</b></p>	<p>Yes</p>
<p><b>Spring Convocations 2019 – Honorary Degree Recipients</b></p>	<p>Yes</p>

FOR APPROVAL

1. **Renewal of the Articulation Agreement between Western University, King’s University College, Huron University College and Fanshawe College Regarding the Transfer of Credit for Students in the Business-Accounting Diploma Program**

**Recommended:** That the Board of Governors approve the Articulation Agreement between Western University, King’s University College, Huron University College and Fanshawe College regarding the transfer of credit for students in the Business-Accounting Diploma Program effective January 1, 2019, as shown in **Annex 1**.

**Background:**

For the past several years, Western and Fanshawe have awarded transfer credit to graduates from the Business-Accounting program at Fanshawe College. This agreement has expired and is due to be renewed. Updates have been made to the curriculum, course titles and numbers. The renewal of this agreement supports the initiatives of the province to develop formal articulation agreements recognized by the Ontario Council for Articulations and Transfer (ONCAT). In addition, this renewal provides the opportunity for Western to attract top students as well as meeting the needs of aspiring Accountants, now enrolled at Fanshawe, to complete their accounting credits and obtain a Western degree. Western and its affiliates, Huron University College and King’s University College, will now share a joint articulation agreement with Fanshawe instead of the previous three separate agreements.

FOR INFORMATION

2. **2019-20 University Operating and Capital Budgets**

Senate, at its meeting on April 15, 2019, reviewed the 2019-2020 Operating and Capital Budgets and offered its advice to the Board by recommending approval of those budgets (see the Report of the Property & Finance Committee and the budget documents issued).

3. **2019 Entrance Standards for Undergraduate First-Year Admissions**

Senate, at its meeting on February 15, 2019, approved the targets and processes for first-year, first-entry undergraduate enrolment for the Constituent University and Affiliated University Colleges as outlined in [Annex 2](#).

4. **Five-Year Enrolment Projections**

Senate, at its meeting on February 15, 2019 approved that the five-year enrolment projections/plans presented in [Annex 3](#), be used for University budget planning purposes.

5. **Report on Year One Class and Entering Averages**

See [Annex 4](#).

6. **Report of the Academic Colleague**

The Academic College report to Senate with respect to the COU Academic Colleagues meeting on February 13, 2019 is outlined in [Annex 5](#).

7. **Academic Administrative Appointments**

Faculty Relations advised of the following academic administrative post(s) approved on behalf of the Board of Governors during the month of December 2018:

Name	Department/School	Faculty	Admin Post	Effective Date	End Date
Karen Danylchuk	Health Sciences	Health Sciences	Associate Dean (Undergraduate)	July 1-2019	June 30-2021
Sheri-Lynn Kane	Schulich	Schulich	Acting Associate Dean (Grad, Post doc)	November 03-2018	December 04-2018
John Yoo	Paediatrics	Schulich	Department Chair	October 10-2018	December 31-2018
James Calvin	Medicine	Schulich	Department Chair	November 1-2018	October 31-2023

Faculty Relations advised of the following academic administrative post(s) approved on behalf of the Board of Governors during the month of March 2019:

Name	Department/School	Faculty	Admin Post	Effective Date	End Date
Robert Teasell	Physical Medicine and Rehab	Schulich	Acting Chair	2019-02-01	2019-06-30
Keith Sequeira	Physical Medicine and Rehab	Schulich	Acting Chair	2019-02-01	2019-06-30
Daniel Shrubsole		Social Science	Associate Dean (Undergraduate Studies)	2020-01-01	2023-06-30
Laura Misener	Kinesiology	Health Sciences	School Director	2019-07-01	2022-06-30
Jan Plug		Arts & Humanities	Associate Dean (Academic Affairs)	2019-07-01	2020-08-31

8. **Teaching Award Recipients 2018-19**

The Report of the Subcommittee on Teaching Awards (SUTA) – See [Annex 6](#).

9. **Spring Convocations 2019 – Honorary Degree Recipients**

The Honorary Degree recipients who will be honored at the June 2019 Convocation ceremonies are listed in [Annex 7](#).

**ARTICULATION AGREEMENT**

**THIS AGREEMENT made BETWEEN:**

**THE UNIVERSITY OF WESTERN ONTARIO**  
(hereinafter called "Western")

**and**

**HURON UNIVERSITY COLLEGE**  
(hereinafter called "Huron")

**and**

**KING'S UNIVERSITY COLLEGE**  
(hereinafter called "King's")

**and**

**FANSHAWE COLLEGE**  
(hereinafter called "Fanshawe")

WHEREAS Western, Huron, King's and Fanshawe wish to increase student mobility between their institutions and recognize that credit transfer is a key means to encourage such mobility;

AND WHEREAS Fanshawe offers a two year Business-Accounting Diploma program;

AND WHEREAS Western and Huron each offers a 4-year Bachelor of Management and Organizational Studies ("BMOS") Degree program with a specialization in accounting;

AND WHEREAS King's offers a 4-year BMOS Degree program with a specialization in either accounting or in finance and administration;

AND WHEREAS the parties wish to facilitate the admission of qualified graduates of the Business-Accounting Diploma program at Fanshawe to Year 3 of the 4-year BMOS program in the Faculties of Social Science at each of Western, Huron and King's, by entering into an articulation agreement recognized by the Ontario Council for Articulation and Transfer (ONCAT), and wish to set out clearly defined processes for the movement of the graduates between Fanshawe and Western, Fanshawe and Huron or Fanshawe and King's;

NOW THEREFORE in consideration of the mutual covenants herein, and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the parties agree as follow:

**ADMISSION**

1. Each of Western, Huron, and King's (individually and hereinafter the "receiving institution") agree to consider for admission to full-time study in Year 3 of the BMOS degree program, Specialization in Accounting or the BMOS degree program, Specialization in Finance and Administration (King's only), and grant block transfer credit to graduates of the Business-Accounting Diploma program at Fanshawe who meet the following requirements:

- a) completion of the two-year Business-Accounting Diploma program with a competitive overall admission average as determined by the receiving institution for the year in which they apply, and with no grade less than “C” or 2.0 GPA;
  - b) completion of the prescribed set of courses within the Business-Accounting Diploma program (see list in section 5 below) within the two years immediately prior to applying to the receiving institution;
  - c) completion of Fanshawe Mathematics 3079 (Calculus & Vectors); MCV4U (Calculus & Vectors) or an equivalent as approved by the receiving institution; and
  - d) the written endorsement of the Chair of the Lawrence Kinlin School of Business at Fanshawe.
2. In order to be considered for admission to a receiving institution under this agreement, Fanshawe students must notify the Undergraduate Admissions Office at the applicable receiving institution by March 1<sup>st</sup> of the year in which they are seeking admission of their intention to apply, and provide the applicable Admissions Office with their academic transcripts by June 1st of that year.
  3. The receiving institution may each accept up to 15 Fanshawe graduates annually under this Agreement. The decision as to the number of students who will be accepted in any academic year may vary from year to year and it may differ between the receiving institutions.

Admissions decisions are within the sole discretion of the receiving institution and cannot be appealed. Applicants who meet the requirements set out in paragraphs 1 and 2 above are not guaranteed admission under this Agreement. Final determination of the validity of all admissions rests with the Registrar at Western in accordance with the provisions of the affiliation agreement between Western, Huron and King’s.

**BLOCK TRANSFER CREDIT**

4. Successful applicants shall receive block transfer credit at the receiving institution for the following courses equivalent to the first two years of full-time study (10.0 credits) in the Specialization in Accounting at Western, Huron, and King’s or Specialization in Finance and Administration at King’s modules of the Bachelor of Management and Organizational Studies. This credit is not transferrable to other Faculties or Programs.

<b>Fanshawe Course Number</b>	<b>Fanshawe Course Name</b>	<b>Fanshawe Credit Weight</b>
ACCT 1100	Principles of Accounting 1	3.0
MKTG 1012	Principles of Marketing 1	3.0
MATH 1052	Business Math	3.0
BUSI 1005	Introduction to Business Processes	3.0
BUSI 1060	Strategies for Success	1.0
WRIT 1032 or WRIT 1034	Reason & Writing-Business 1 Reason and Writing – EAP	3.0 3.0
ACCT 1011	Principles of Accounting 2	5.0
ACCT 1097	Applied Computer Applications for Accounting 1	3.0
ACCT 1098	Applied Computer Applications for Accounting 2	3.0
COMM 3020	Professional Communication	3.0
MATH 1175	Financial Math	3.0
ECON 1002	Economics 1	3.0
ECON 1005	Economics 2	3.0
MGMT 3041	Organizational Behaviour	3.0
MATH 1045	Statistics	3.0

FINA 3043	Taxation 1 – Personal Tax	5.0
ACCT 3036	Accounting 1 – Intermediate	5.0
ACCT 3022	Cost Accounting 1	3.0
ACCT 3050	Accounting 2 – Intermediate	5.0
FINA 3042	Corporate Finance 1	3.0
FINA 3044	Taxation 2 – Personal Tax	3.0
LAWS 3041	Business Law	3.0
SYST 3002	Business Information Systems	3.0
	General Education Elective	3.0

5. The course names and numbers set out in section 5 may be revised from time to time and Fanshawe will notify each of Western, Huron and King's of any revisions in a timely manner. Failure of Fanshawe to provide timely notification to Western, Huron and King's of changes to the Fanshawe course names or numbers may result in denial of admission and transfer credit to qualified applicants.
6. The parties acknowledge that the granting of block transfer credit is based on an assessment of the Business-Accounting Diploma program and courses at Fanshawe as of the date of this Agreement. It is the responsibility of Fanshawe to notify each of Western, Huron and King's of any subsequent changes or anticipated changes to the curriculum or content of the courses and provide sufficient information to enable the receiving institutions to decide whether block transfer credit will continue to be granted for these courses.

#### GENERAL

7. Students accepted to a receiving institution under this Agreement must complete the courses set out in the Appendices and maintain a cumulative and graduating average of at least 65% to graduate from the Bachelor of Management and Organizational Studies (Specialization in Accounting or Specialization in Finance and Administration) program. These progression and degree requirements are subject to change during the term of this Agreement and the receiving institutions will give Fanshawe written notice of any changes.
8. Students who subsequently fail to meet progression or degree requirements for the Bachelor of Management and Organizational Studies (Specialization in Accounting or Specialization in Finance and Administration) program but who do meet requirements for another program at the receiving institution may be permitted to transfer to another program at the discretion of the Dean of the relevant Faculty. Students who transfer to another program will have the block transfer credit removed from their academic record. Credit for Fanshawe courses will be assessed on a course-by-course basis.

The parties agree to provide Fanshawe students with information about the block transfer credit and encourage qualified students to apply.

9. Each party to this Agreement shall designate a Program Representative to assist with the implementation and operation of this Agreement. All Program Representatives and other relevant staff from each institution shall meet at least once every two calendar years to review their processes and determine if changes are needed to meet the objectives of the parties.
10. The parties' rights under this Agreement are several and not joint or joint and several.

**TERM**

11. This Agreement is effective as of *January 1, 2019* and shall continue in force unless terminated as set out below.
  - a. Any party may terminate this Agreement upon ninety days' written notice of termination to the other parties. Unless the party terminating the Agreement is Fanshawe, then the Agreement will remain in force as between Fanshawe and the receiving institutions who did not terminate the agreement. No applicants will be considered for admission by that receiving institution giving notice of termination after the date of such notice.
  - b. Notwithstanding paragraph 11(a), if a receiving institution decides to terminate this Agreement due to changes to the Fanshawe Program's curriculum or course content, this Agreement shall terminate as between Fanshawe and the receiving institution seeking to terminate on a date that is the earlier of ninety days after written notice of termination is given to Fanshawe, or the date that the changes were made by Fanshawe.
  - c. Regardless of any notice of termination, students accepted into the Bachelor of Management and Organizational Studies (Specialization in Accounting or Specialization in Finance and Administration) program under this Agreement prior to issuance of a notice of termination shall be permitted to complete their studies under the terms of this Agreement.

**APPENDIX 1**

Articulation Agreement between  
The University of Western Ontario,  
Huron University College,  
King's University College and  
Fanshawe College, *October 1 2018*

<b>Course Requirements for Degree Completion Western University, Bachelor of Management and Organizational Studies Specialization in Accounting</b>		
<p>To graduate with the Bachelor of Management and Organizational Studies (Specialization in Accounting) at Western University, students must successfully complete the 10.0 courses listed below. Western will provide Fanshawe with written notice of any changes to these course requirements.</p>		
<b>Western Credit Weight</b>	<b>Western Course Number</b>	<b>Western Course Name</b>
0.5	MOS 2242A/B*	Statistics
0.5	MOS 2310A/B	Finance
0.5	MOS 2277A/B or MOS 3367A/B	Personal Financial Planning Introduction to Fraud Examination
0.5	MOS 3360A/B	Intermediate Accounting I
0.5	MOS 3361A/B	Intermediate Accounting II
0.5	MOS 3370A/B	Management Accounting
0.5	MOS 4471A/B	Management Control Systems
0.5	MOS 3362A/B	Introduction to Taxation in Canada
0.5	MOS 3363A/B	Introduction to Auditing
0.5	MOS 4410A/B	Strategic Management
0.5	MOS 4465A/B	Advanced Accounting
0.5	MOS 3311A/B	Advanced Finance
0.5	MOS 4462A/B	Advanced Issues in Canadian Taxation
0.5	MOS 4467A/B	Professionalism and Ethics
1.0 full-course or equivalent from:	ANTHRO 2262F/G, ANTHRO 2272F/G, ANTHRO 2280F/G, ECON 2124A/B, ECON 2128A/B, ECON 2129A/B, ECON 2150A/B, ECON 2152A/B, ECON 2154A/B, ECON 2155A/B, ECON 2156A/B, ECON 2159A/B, ECON 2160A/B, ECON 2162A/B, ECON 2167A/B, ECON 2181A/B, ECON 2191A/B, ENGL 2018A/B, ENGL 2019A/B, GEOGRAPH 2143A/B, GEOGRAPH 2144F/G, GEOGRAPH 3422A/B, HISTORY 2120A/B, HISTORY 2171A/B, HISTORY 2183A/B, HISTORY 2807F/G, PHILOS 2074F/G, PHILOS 2730F/G, POLISCI 2102A/B, POLISCI 2140A/B, POLISCI 2211E, POLISCI 2246E, PSYCH 2030A/B, PSYCH 2035A/B, PSYCH 2070A/B, SOCIOL 2166A/B, SOCIOL 2172A/B, SOCIOL 3308F/G	
1.0 full-course or equivalent designated Essay course(s) numbered 2000 or above (which must be designated Essay course(s) unless taken above)		
1.0 full-course or equivalent from Category B (Arts & Humanities unless taken above)		

\*Students may choose to take STATS 2035 in lieu of MOS 2242A/B but must ensure they meet the Category B and designated Essay requirements.



**APPENDIX 2**

Articulation Agreement between  
The University of Western Ontario,  
Huron University College,  
King's University College and  
Fanshawe College, *October 1 2018*

<b>Course Requirements for Degree Completion Huron University College, Bachelor of Management and Organizational Studies Specialization in Accounting</b>		
<p>To graduate with the Bachelor of Management and Organizational Studies (Specialization in Accounting) at Huron University College, students must successfully complete the 10.0 courses listed below. Huron will provide Fanshawe with written notice of any changes to these course requirements.</p>		
<b>Western Credit Weight</b>	<b>Western Course Number</b>	<b>Western Course Name</b>
<b>Year 3 Requirements</b>		
0.5	MOS 2275A/B	Business Law I
0.5	MOS 3310A/B	Finance for Management and Organizational Studies
0.5	MOS 3360A/B	Intermediate Accounting I
0.5	MOS 3361A/B	Intermediate Accounting II
0.5	MOS 3362A/B	Introduction to Taxation in Canada
0.5	MOS 3363A/B	Introduction to Auditing
1.0 from:	MOS 2181A/B MOS 3320A/B MOS 3330A/B	Organizational Behavior Marketing for Management and Organizational Studies Operations Management
0.5	PHILOS 2074F/G	Business Ethics
0.5	Any PHILOS, ECON, POLISCI, GLE at the 2000 level and above	
<b>Year 4 Requirements</b>		
0.5	MOS 3370A/B	Management Accounting
0.5	MOS 4471A/B	Management Control Systems
0.5	MOS 4410A/B	Strategic Management
0.5	MOS 4462A/B	Advanced Issues in Canadian Taxation
0.5	MOS 4465A/B	Advanced Accounting
0.5	MOS 3388A/B	Organizational Governance
1.0 full-course or equivalent MOS course numbered 3000 or above		
1.0 full-course or equivalent designated essay course numbered 2000 or above from Category B		

**APPENDIX 3**

Articulation Agreement between  
The University of Western Ontario,  
Huron University College,  
King's University College and  
Fanshawe College, *October 1 2018*

<b>Course Requirements for Degree Completion King's University College, Bachelor of Management and Organizational Studies Specialization in Accounting</b>		
<p>To graduate with the Bachelor of Management and Organizational Studies (Specialization in Accounting) at King's University College, students must successfully complete the 10.0 courses listed below. King's will provide Fanshawe with written notice of any changes to these course requirements.</p>		
<b>Western Credit Weight</b>	<b>Western Course Number</b>	<b>Western Course Name</b>
<b>Year 3 Requirements</b>		
0.5	MOS 3310A/B	Finance for Management and Organizational Studies
0.5	MOS 3320A/B	Marketing for Management and Organizational Studies
0.5	MOS 3360A/B	Intermediate Accounting I
0.5	MOS 3361A/B	Intermediate Accounting II
0.5	MOS 3370A/B	Management Accounting
0.5	MOS 3330A/B	Operations Management
0.5	MOS 4467A/B	Professionalism and Ethics
0.5	MOS 2285A/B	Global Business Environment
1.0 from:	STATS 2035 or ECON 2122A/B + ECON 2123A/B	Statistics for Business and Social Sciences Econometrics I Econometrics II
<b>Year 4 Requirements</b>		
0.5	MOS 4410A/B	Strategic Management
0.5	MOS 4465A/B	Advanced Accounting
0.5	MOS 4471A/B	Management Control Systems
0.5	MOS 3362A/B	Introduction to Taxation in Canada
0.5	MOS 3363A/B	Introduction to Auditing
0.5	MOS 3367A/B	Introduction to Fraud Examination
0.5	MOS 4422F/G	Corporate Governance
0.5	MOS 4462A/B	Advanced Issues in Canadian Taxation
1.0 designated Essay course numbered 2000 or higher; must be Category B		

**APPENDIX 4**

Articulation Agreement between  
The University of Western Ontario,  
Huron University College,  
King's University College and  
Fanshawe College, *October 1 2018*

<b>Course Requirements for Degree Completion King's University College, Bachelor of Management and Organizational Studies Specialization Finance and Administration</b>		
<p>To graduate with the Bachelor of Management and Organizational Studies (Specialization in Finance and Administration) at King's University College, students must successfully complete the 10.0 courses listed below. King's will provide Fanshawe with written notice of any changes to these course requirements.</p>		
Western Credit Weight	Western Course Number	Western Course Name
<b>Year 3 Requirements</b>		
0.5	MOS 3310A/B	Finance for Management and Organizational Studies
0.5	MOS 3320A/B	Marketing for Management and Organizational Studies
0.5	MOS 3360A/B	Intermediate Accounting I
0.5	MOS 3361A/B	Intermediate Accounting II
0.5	MOS 3370A/B	Management Accounting
0.5	ECON 2150A/B	Intermediate Microeconomic Theory I
0.5	ECON 2152A/B	Intermediate Macroeconomic Theory and Policy I
0.5	MOS 2285A/B	Global Business Environment
1.0 from:	STATS 2035 or ECON 2122A/B + ECON 2123A/B	Statistics for Business and Social Sciences Econometrics I  Econometrics II
<b>Year 4 Requirements</b>		
0.5	MOS 3330A/B	Operations Management
0.5	MOS 4410A/B	Strategic Management
0.5	MOS 4465A/B	Advanced Accounting
0.5	MOS 4471A/B	Management Control Systems
1.0 or equivalent from:	MOS 3410F/G, PHIL 2700F/G, PHIL 2074F/G, PHIL 2075F/G	
1.0 or equivalent from:	AS 2053, ECON 2154, ECON 2156, ECON 2159, ECON 2160, ECON 2184	
0.5	ECON 2162, ECON 2163, ECON 2164, MOS 3322	
0.5	Elective	Must be Category B course at the 2000 level or above if only 0.5 Philosophy course taken above.

*Western University*

SCUP's Subcommittee on Enrolment Planning and Policy (SUEPP)

**Fall 2019 Entrance Standards for First-Year Undergraduate Admissions**

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**A. Background/Context**

**History**

Over the past twenty years, Western's enrolment planning has placed the highest priority in increasing the quality of our incoming first-year class – which has moved the overall average grade of our first-year class from a position of “below the Ontario average” in 1993 to the top spot in Ontario in 2014. Our approach to first-year admissions – approved by Senate in November 2010 – included the following high-level priorities:

1. Our objective should be to continue to increase the quality of our incoming class – and we should continue to maintain and increase entrance standards.
2. The approach of using the common minimum entrance requirement for the large direct-entry programs should be continued. For limited-enrolment programs – based on annual reviews by the Provost and the Deans – the entrance requirements could be higher. The result of this approach is that student demand/choice drives program-specific enrolments.
3. We should work to increasing our first-year international enrolments.
4. We should continue to monitor the gap in entrance requirements between Western and the Ontario average – with the objective of maintaining/increasing the gap.
5. We should continue to monitor the size of our overall first-year class – in order to ensure that the undergraduate population does not reach a level that cannot be accommodated within our current physical infrastructure.

In 2010, in order to be aligned with the Constituent University's strategy on enrolment planning, the Affiliated University Colleges committed to narrowing the gap in entrance requirements between the Colleges and the Constituent University – by 2014-15.

**Current Strategic Plan Priorities**

Our current Strategic Plan – *Achieving Excellence on the World Stage* – includes the following enrolment-planning related objectives:

- a. Attract the brightest students as demonstrated through the highest entering grade average.
- b. Achieve the highest student retention and graduation rates among Canada's leading research-intensive universities.
- c. Increase international undergraduate enrolment to at least 15% and domestic out-of-province student enrolment to at least 10% of the undergraduate student body.
- d. Increase graduate student enrolment to at least 20% of the total student body.

**B. Update on the Fall 2018 Entering Class and Entrance Standards**

**Constituent University**

1. The Constituent University's full-time first-year enrolment was 5,378. Of this, 855 (or 15.9%) were international students.
2. The common minimum entrance requirement was a mid-year offer grade of 83.5% (for Arts & Humanities and FIMS). For all other programs the mid-year offer grades were higher – ranging from 84.0% to 92.0%. At offer time, the condition for the final grade was as follows -- minimum 83.5% for Arts & Humanities and FIMS; 84.0% for Engineering, BHSc program, Kinesiology, Science, Social Science and MOS; and 85.0% for Nursing.
3. For information, full-time graduate enrolment was 5,911, which equates to just over 19% of total full-time enrolment.

**Affiliated University Colleges**

4. Full-time first-year enrolment at the Colleges were as follows:
  - Brescia 320
  - Huron 415
  - King's 834
5. The final grade requirement at each of the Colleges was 78% (i.e. compared to the 83.5% at the Constituent University) – and, at this level, the Colleges met the commitment made back in 2010. Western's Provost and the Principals of the Affiliated University Colleges continue to review the gap in entrance standards – in the context of student performance and outcomes measures.

**C. Fall 2019 Admissions Plans**

**Constituent University**

1. The admissions strategy of the recent years will continue for the fall 2019 admissions cycle – and it is expected that our mid-year offer grade (for all programs) will be no less than 83.5%, with a final grade requirement of at least 83.5%.
2. Based on the current applications data, we are estimating a first-year class in the range of 5,250 – which includes 680 international students.

**Affiliated University Colleges**

3. The final grade requirement at each of the Colleges will be no less than 78.5%.
4. As is the case at present, in situations where additional assessment is required (for students with exceptional/unusual circumstances), the Colleges may admit students with grades below the minimum final grade requirement. The proportion of students with final grades below the minimum requirement (i.e. 78.5%) shall not exceed 5% of the entering class.
5. Where applicable, the Colleges will be bound to the minimum entrance standards established by the Constituent University for limited-enrolment programs – including B.H.Sc. and Kinesiology.
6. The planned first-year class sizes are as follows:
  - Brescia 337
  - Huron 450
  - King's 845

**Five-Year Enrolment Projections**

**SUMMARY OF ENROLMENT FORECAST**

	Actual					Forecast					
	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	
1	<b>Constituent University</b>										
2	<b>Full-Time Undergraduates</b>										
3	Arts & Humanities	1,121	1,027	979	985	938	905	935	959	966	969
4	Business (HBA)	1,100	1,093	1,120	1,109	1,085	1,130	1,130	1,130	1,130	1,130
5	Dentistry	262	265	265	263	262	264	264	264	264	264
6	Education	657	286	653	747	716	668	668	668	668	668
7	Engineering	1,546	1,761	1,951	1,981	2,032	2,060	2,117	2,243	2,261	2,262
8	Health Sciences										
9	BHSc Program	1,163	1,179	1,199	1,189	1,227	1,233	1,281	1,278	1,277	1,294
10	Kinesiology	1,240	1,204	1,247	1,231	1,215	1,212	1,183	1,152	1,153	1,155
11	Nursing	835	868	903	960	969	969	966	957	957	957
13	Sub-Total	3,238	3,251	3,349	3,380	3,411	3,414	3,430	3,388	3,387	3,406
14	Law	486	474	482	478	480	468	468	468	468	468
15	Media, Information, & Tech	924	983	966	898	916	862	865	848	848	854
16	Medicine										
17	MD Program	683	684	682	699	685	684	684	684	684	684
18	BMedSci Program	892	881	928	1,021	1,000	1,000	970	970	970	970
19	Music	457	432	417	412	414	437	457	466	469	469
20	Science	4,606	4,679	4,826	4,948	5,143	5,190	5,256	5,282	5,281	5,296
21	Social Science	6,601	6,482	6,520	6,501	6,497	6,424	6,457	6,532	6,601	6,672
22	<b>Total Full-Time Undergraduates</b>	<b>22,573</b>	<b>22,298</b>	<b>23,138</b>	<b>23,422</b>	<b>23,579</b>	<b>23,505</b>	<b>23,700</b>	<b>23,902</b>	<b>23,997</b>	<b>24,112</b>
23	Concurrent Programs	200	255	233	231	247	250	250	250	250	250
24	Medical Residents	913	923	942	934	956	955	955	955	955	955
25	<b>Full-Time Graduates</b>										
26	Masters	3,146	3,276	3,431	3,750	3,734	3,869	3,971	4,009	4,044	4,084
27	Ph.D.	2,075	2,088	2,083	2,185	2,177	2,197	2,232	2,265	2,300	2,325
28	<b>Total Full-Time Graduates</b>	<b>5,221</b>	<b>5,364</b>	<b>5,514</b>	<b>5,935</b>	<b>5,911</b>	<b>6,066</b>	<b>6,203</b>	<b>6,274</b>	<b>6,344</b>	<b>6,409</b>
29	<b>Total Full-Time Enrolment</b>	<b>28,907</b>	<b>28,840</b>	<b>29,827</b>	<b>30,522</b>	<b>30,693</b>	<b>30,776</b>	<b>31,108</b>	<b>31,381</b>	<b>31,546</b>	<b>31,726</b>
30	<b>Part-Time FTEs</b>										
31	Undergraduate <1>	2,123	2,226	2,084	2,012	2,074	2,100	2,100	2,100	2,100	2,100
32	Education (AQs) <1>	607	595	499	401	440	440	440	440	440	440
33	Masters	99	79	89	95	114	120	120	120	120	120
34	Ph.D.	29	32	29	29	32	32	32	32	32	32
35	<b>Total Part-Time FTEs</b>	<b>2,858</b>	<b>2,932</b>	<b>2,701</b>	<b>2,537</b>	<b>2,660</b>	<b>2,692</b>	<b>2,692</b>	<b>2,692</b>	<b>2,692</b>	<b>2,692</b>
36	<b>Total Constituent FTEs</b>	<b>31,765</b>	<b>31,772</b>	<b>32,528</b>	<b>33,059</b>	<b>33,353</b>	<b>33,468</b>	<b>33,800</b>	<b>34,073</b>	<b>34,238</b>	<b>34,418</b>
37	<b>Affiliated University Colleges &lt;2&gt;</b>										
38	<b>Full-Time Undergraduates</b>										
39	Brescia	1,269	1,327	1,329	1,306	1,392	1,405	1,440	1,485	1,532	1,535
40	Huron	1,144	1,062	979	882	1,038	1,075	1,136	1,184	1,203	1,211
41	King's	3,063	3,004	3,070	3,034	3,162	3,196	3,229	3,262	3,295	3,327
42	<b>Total Full-Time Undergraduates</b>	<b>5,476</b>	<b>5,393</b>	<b>5,378</b>	<b>5,222</b>	<b>5,592</b>	<b>5,676</b>	<b>5,805</b>	<b>5,931</b>	<b>6,030</b>	<b>6,073</b>
43	<b>Part-Time Undergraduate FTEs &lt;1&gt;</b>										
44	Brescia	86	80	69	84	79	80	80	80	80	80
45	Huron	65	58	42	65	62	58	58	58	58	58
46	King's	277	260	232	234	229	231	231	231	231	231
47	<b>Total Part-Time FTEs</b>	<b>428</b>	<b>398</b>	<b>343</b>	<b>383</b>	<b>370</b>	<b>369</b>	<b>369</b>	<b>369</b>	<b>369</b>	<b>369</b>
48	<b>Graduate FTEs</b>										
49	Brescia	28	33	35	35	38	44	47	48	46	46
50	Huron	11	9	9	7	5	6	7	9	10	10
51	King's	33	29	41	48	50	60	60	60	60	60
52	<b>Total Graduate FTEs</b>	<b>72</b>	<b>71</b>	<b>85</b>	<b>90</b>	<b>93</b>	<b>110</b>	<b>114</b>	<b>117</b>	<b>116</b>	<b>116</b>
53	<b>Total Affiliate FTEs</b>	<b>5,976</b>	<b>5,862</b>	<b>5,806</b>	<b>5,695</b>	<b>6,055</b>	<b>6,155</b>	<b>6,288</b>	<b>6,417</b>	<b>6,515</b>	<b>6,558</b>
54	<b>Total UWO FTEs</b>	<b>37,741</b>	<b>37,634</b>	<b>38,334</b>	<b>38,754</b>	<b>39,408</b>	<b>39,623</b>	<b>40,088</b>	<b>40,490</b>	<b>40,753</b>	<b>40,976</b>

**SUMMARY OF ENROLMENT FORECAST**

		Actual					Forecast				
		2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24
	<b>Rows 55 to 86 Included above</b>										
55	<b>International Students</b>										
56	Constituent Full-Time										
57	Undergraduates	1,895	1,990	2,217	2,342	2,692	2,835	2,932	3,091	3,102	
58	Medical Residents	134	136	134	123	142	140	140	140	140	
59	Masters (excluding Ivey)	495	576	596	715	717	735	765	775	800	
60	MBA (Regular), Ivey MSc	39	56	68	75	63	94	112	112	112	
61	Executive MBA	35	24	2	1	4	0	0	0	0	
62	Ph.D.	547	562	562	581	607	618	625	630	635	
63	Affiliates										
64	Undergraduates	577	661	718	732	991	1,094	1,184	1,257	1,307	
65	Masters	0	0	2	0	0	1	2	2	2	
66	<b>Year 1 Only</b>										
67	<b>Constituent</b>										
68	Arts & Humanities	267	217	240	229	233	240	240	240	240	
69	Engineering	511	637	598	571	588	610	610	610	610	
70	Health Sciences										
71	BHSc Program	347	336	320	335	393	340	350	360	375	
72	Kinesiology	386	335	350	318	304	320	320	320	320	
73	Nursing	131	143	162	144	142	145	145	145	145	
74	Media, Information, & Tech	336	350	304	245	263	250	250	260	260	
75	MOS Program	857	794	861	769	819	800	820	835	850	
76	Music	99	100	102	112	121	120	120	120	120	
77	Science	1,474	1,445	1,599	1,551	1,680	1,625	1,635	1,650	1,650	
78	Social Science	803	796	817	815	835	800	810	820	850	
79	<b>Total Year 1 - Constituent</b>	<b>5,211</b>	<b>5,153</b>	<b>5,353</b>	<b>5,089</b>	<b>5,378</b>	<b>5,250</b>	<b>5,300</b>	<b>5,360</b>	<b>5,420</b>	
80	<b>Affiliated University Colleges</b>										
81	Brescia	315	350	321	313	320	337	365	382	394	
82	Huron	274	290	253	250	415	450	463	463	463	
83	King's	740	774	777	788	834	845	853	861	869	
84	<b>Total Year 1 - Affiliates</b>	<b>1,329</b>	<b>1,414</b>	<b>1,351</b>	<b>1,351</b>	<b>1,569</b>	<b>1,632</b>	<b>1,681</b>	<b>1,706</b>	<b>1,726</b>	
85	<b>Total UWO Year 1</b>	<b>6,540</b>	<b>6,567</b>	<b>6,704</b>	<b>6,440</b>	<b>6,947</b>	<b>6,882</b>	<b>6,981</b>	<b>7,066</b>	<b>7,146</b>	
86	<b>Masters</b>										
87	All Programs (excluding MBAs)	2,781	2,877	3,004	3,280	3,319	3,419	3,487	3,525	3,560	
88	Ivey (excl EMBA)	161	208	255	265	241	275	310	310	310	
89	Executive MBA	204	191	172	205	174	175	174	174	174	

For Information

90	Year 1 Constituent International Students	527	508	618	638	855	680	730	790	850	850
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<1> 2018-19 part-time undergraduate FTEs are estimated.

# Year 1 Class and Entering Averages

SCUP

March 4, 2019

## Context

- Western continues Approach using “Standard Minimum Entrance Requirement”
  - **Fall 2018 83.5% (final = 83.5%)**
  - Fall 2017 83.5% (final = 83.5%)
  - Fall 2016 83.5% (final = 83.5%)
  - Fall 2015 83.5% (final = 83.5%)
  - Fall 2014 84.0% (final = 83.0%)
  - Fall 2013 84.0% (final = 83.0%)
  - Fall 2012 83.0% (final = 82.5%)
  - Fall 2011 83.0% (final = 82.0%)
  - Fall 2010 83.0% (final = 81.0%)
  - Fall 2009 83.0% (final = 80.0%)
  - Fall 2008 82.5% (final = 79.0%)
  - Fall 2007 82.0% (final = 78.0%)
  - Fall 2006 81.0% (final = 78.0%)
  - Fall 2005 80.5% (final = 77.0%)
  - Fall 2004 80.5% (final = 78.0%)
  - Fall 2003 83.0% (final = 78.0%)
  - Fall 2002 79.5% (final = 74.0%)
  - Fall 2001 77.0% (final = 73.0%)

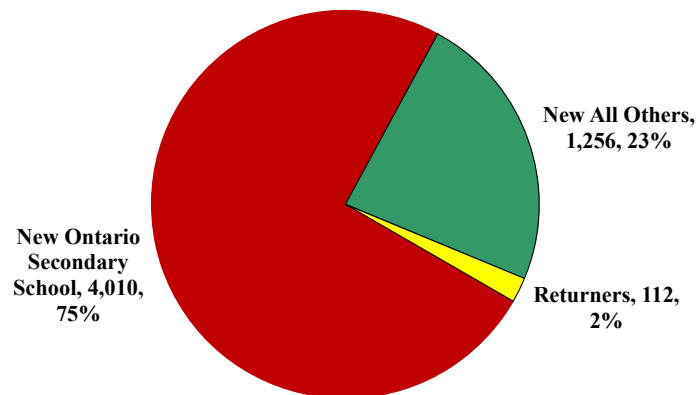


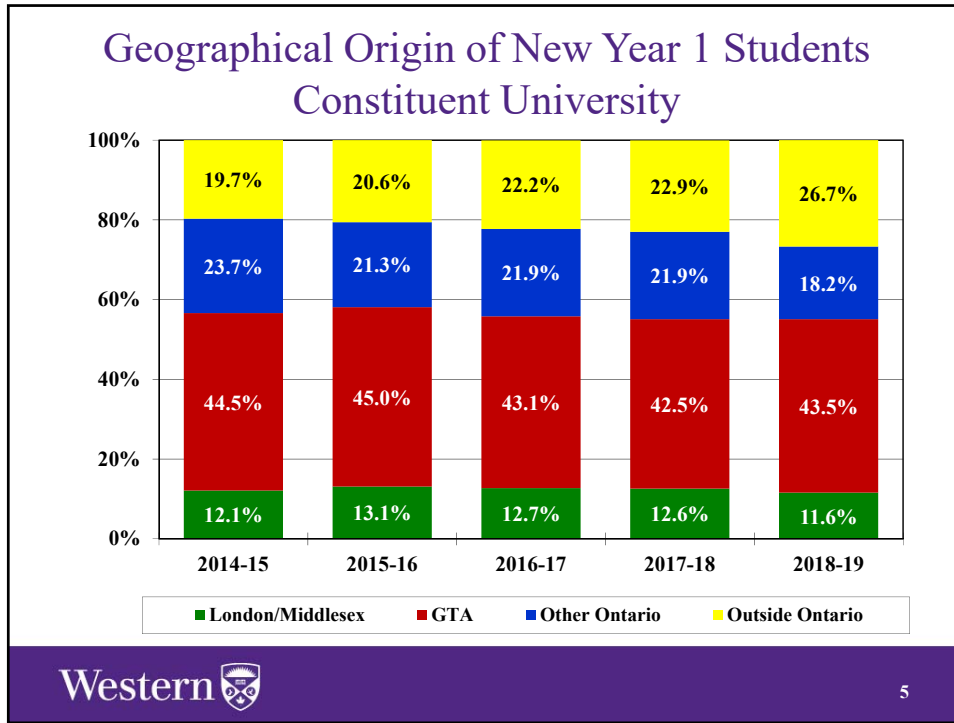
## Student Profile: Applicant Type & Geographical Origin

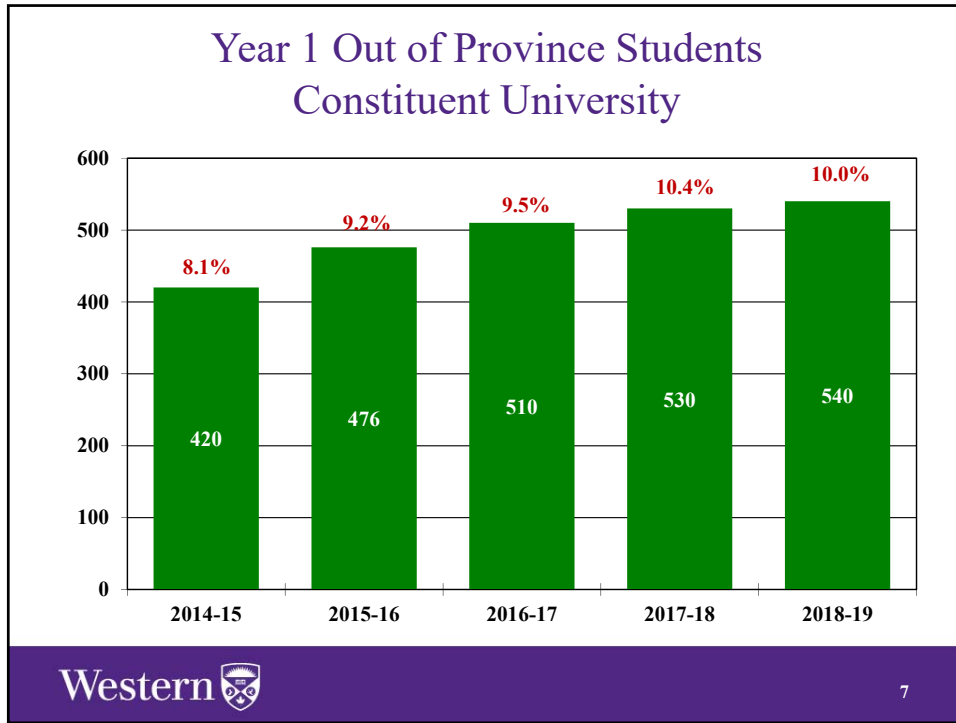
### Constituent University

## 2018-19 Year 1 Students by Applicant Type Constituent University


**Total Year 1 = 5,378**

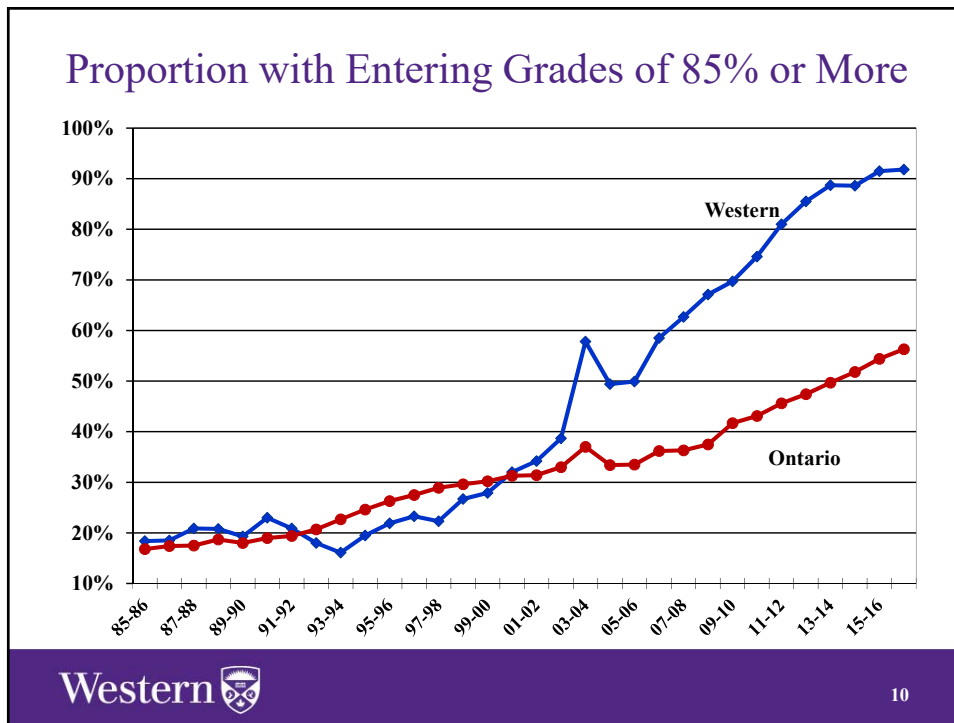
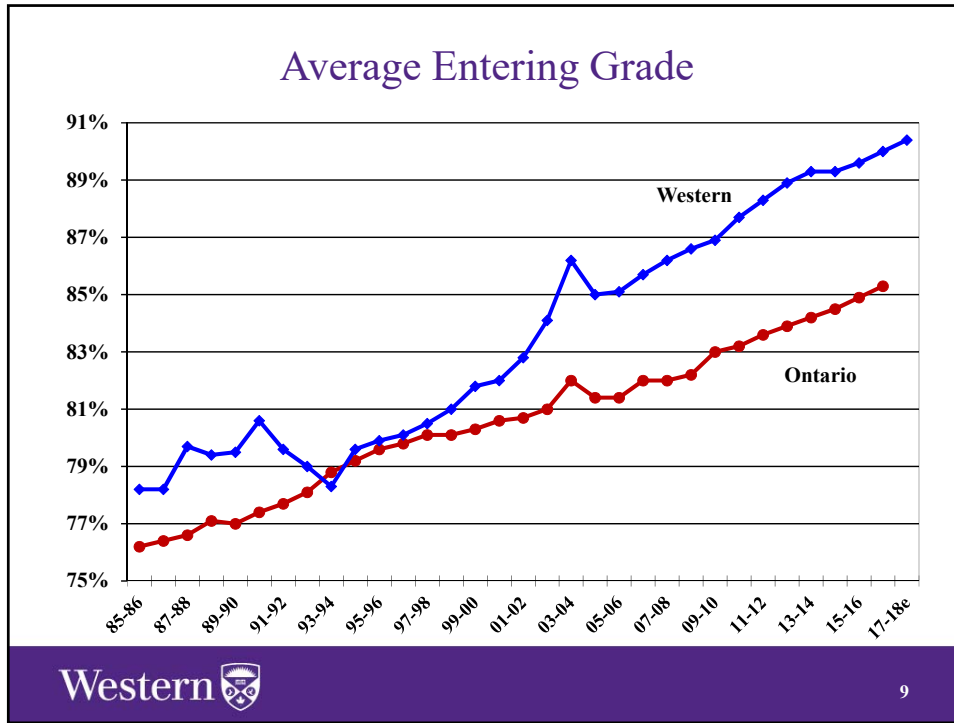


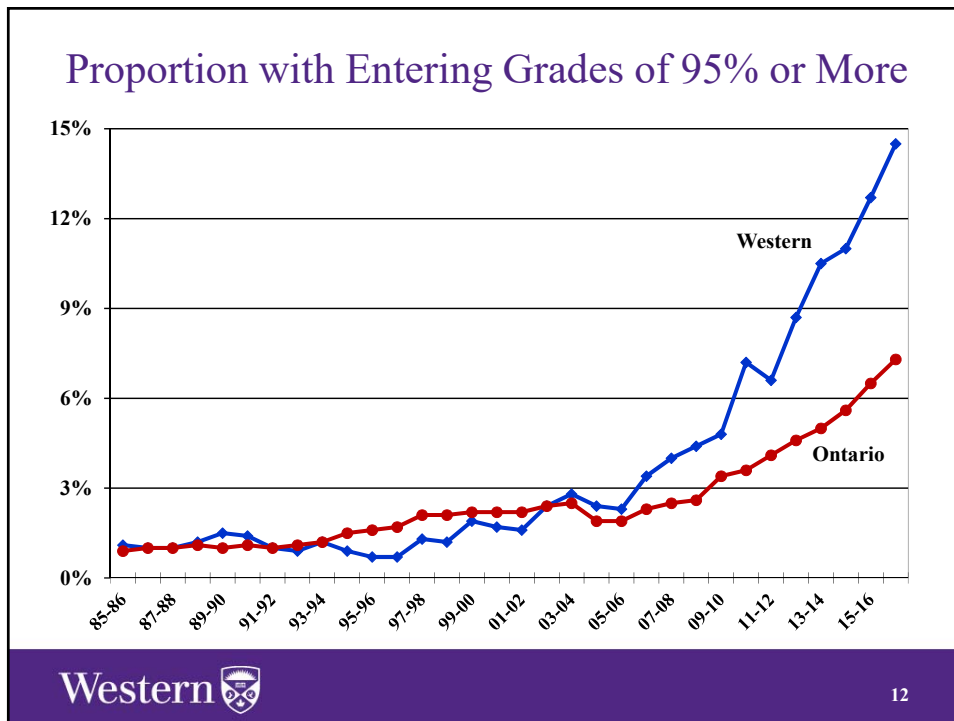
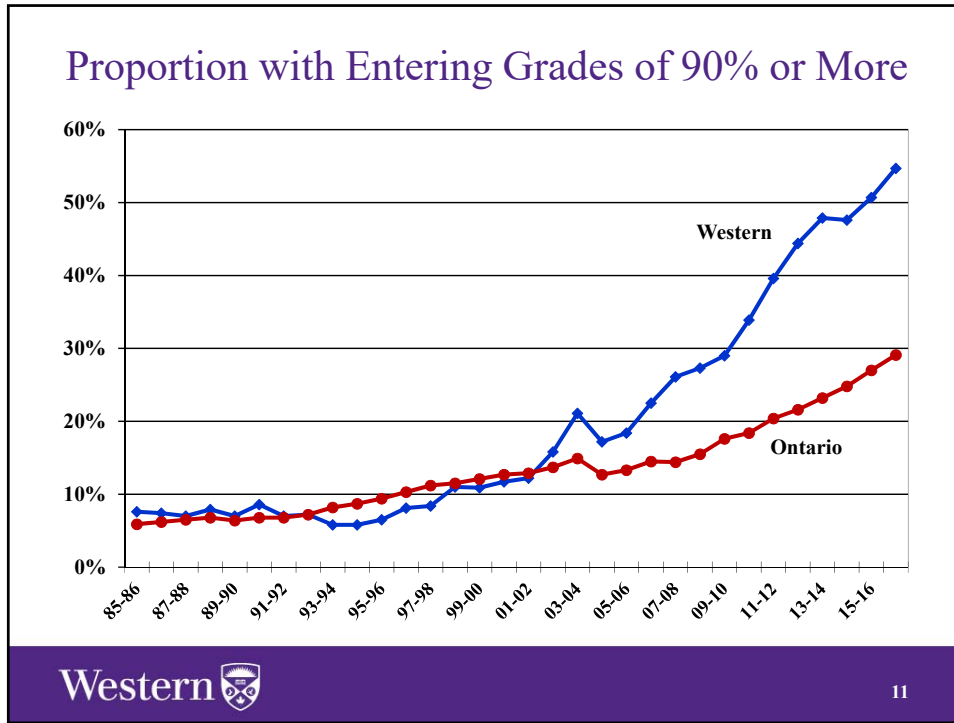


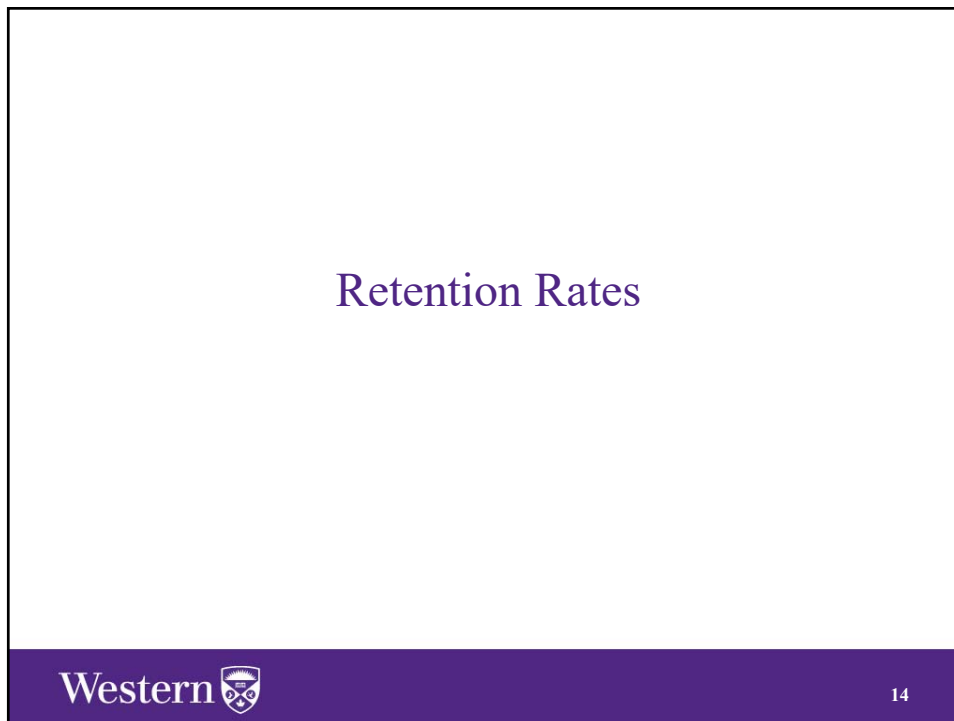
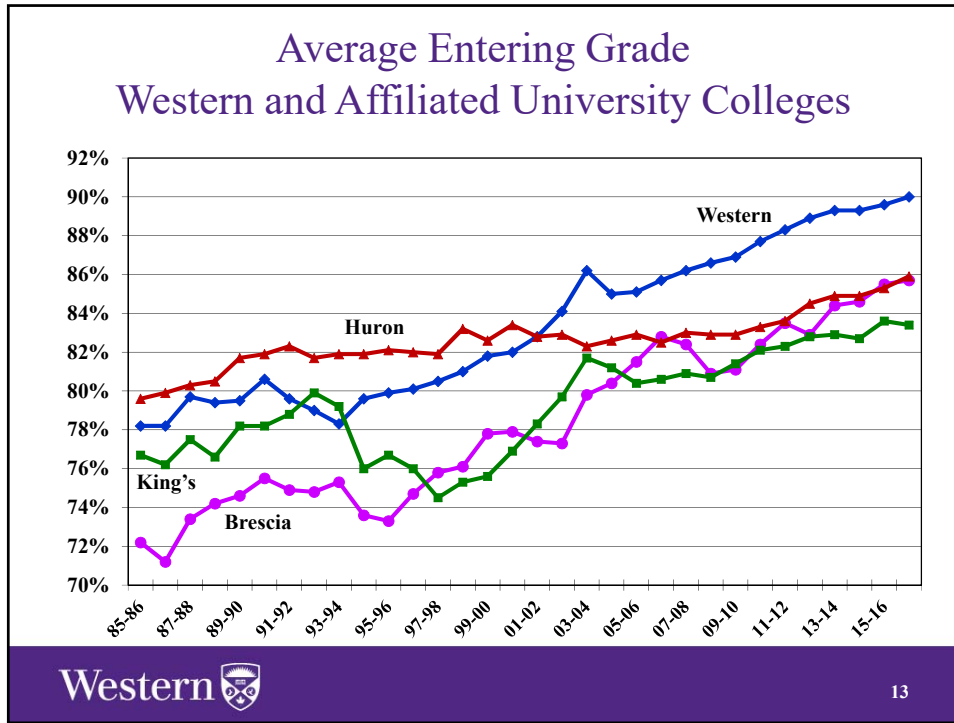


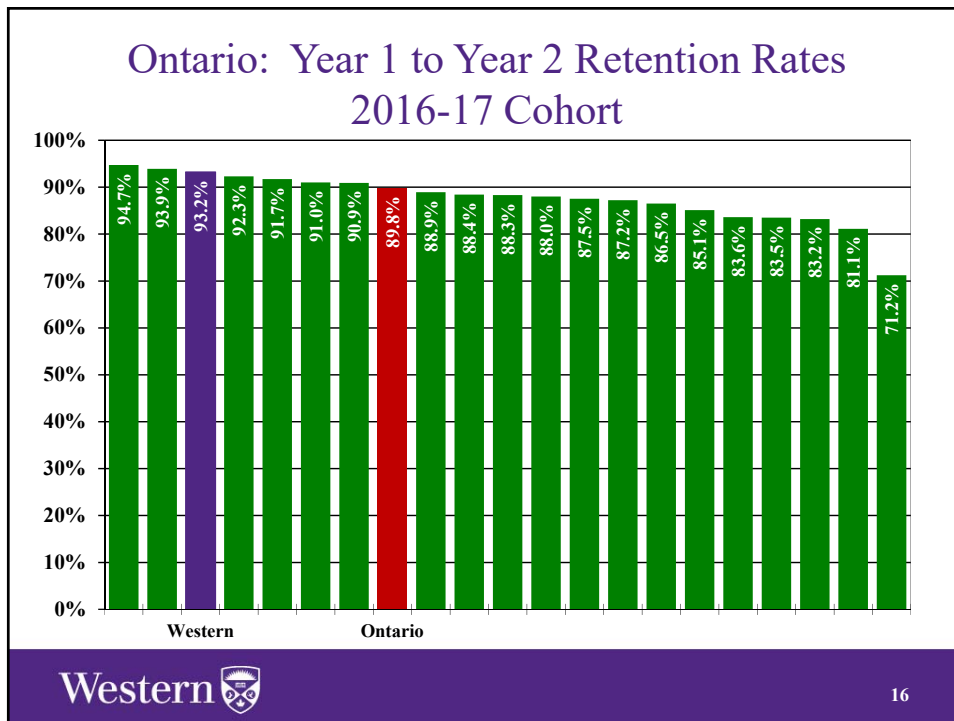
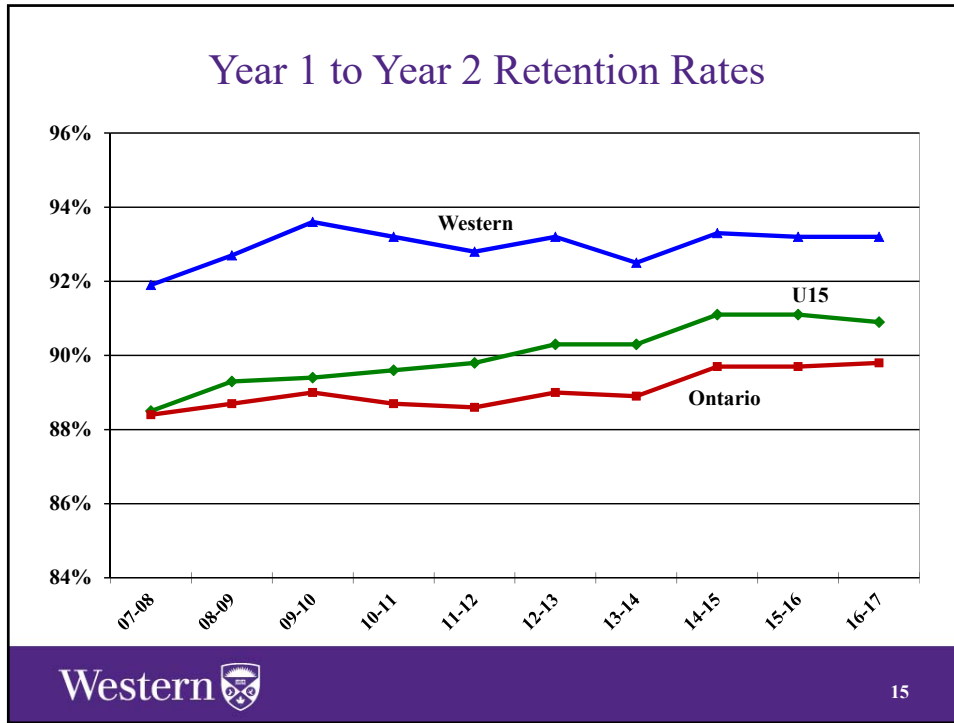
### Entering Grades of New Ontario Secondary School Students

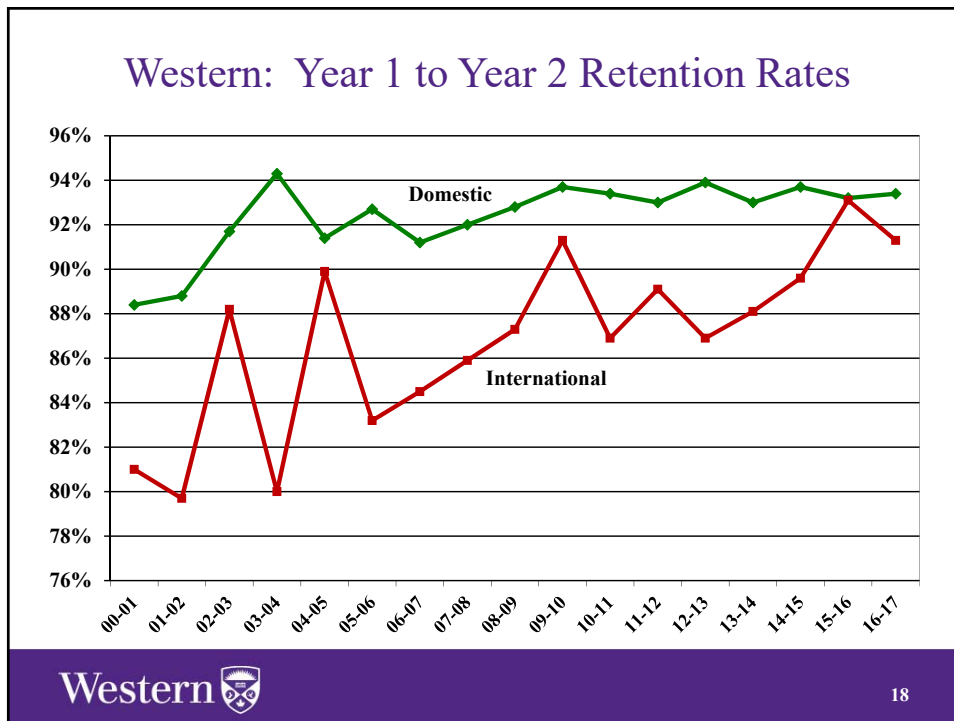
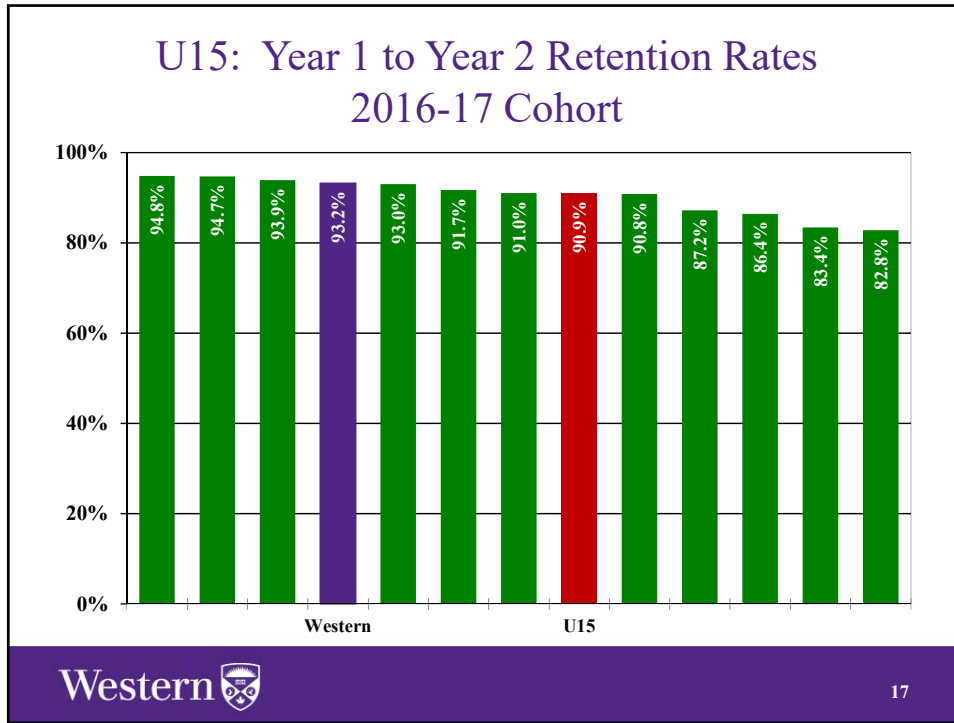
Western  8







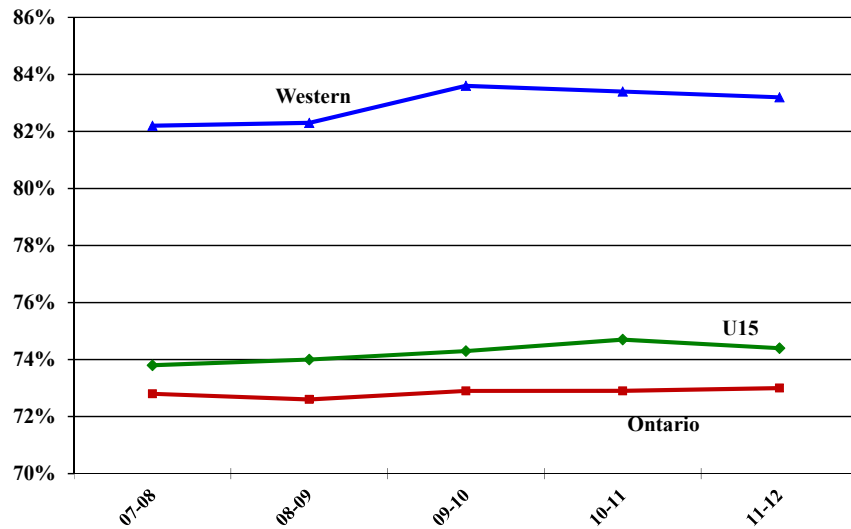


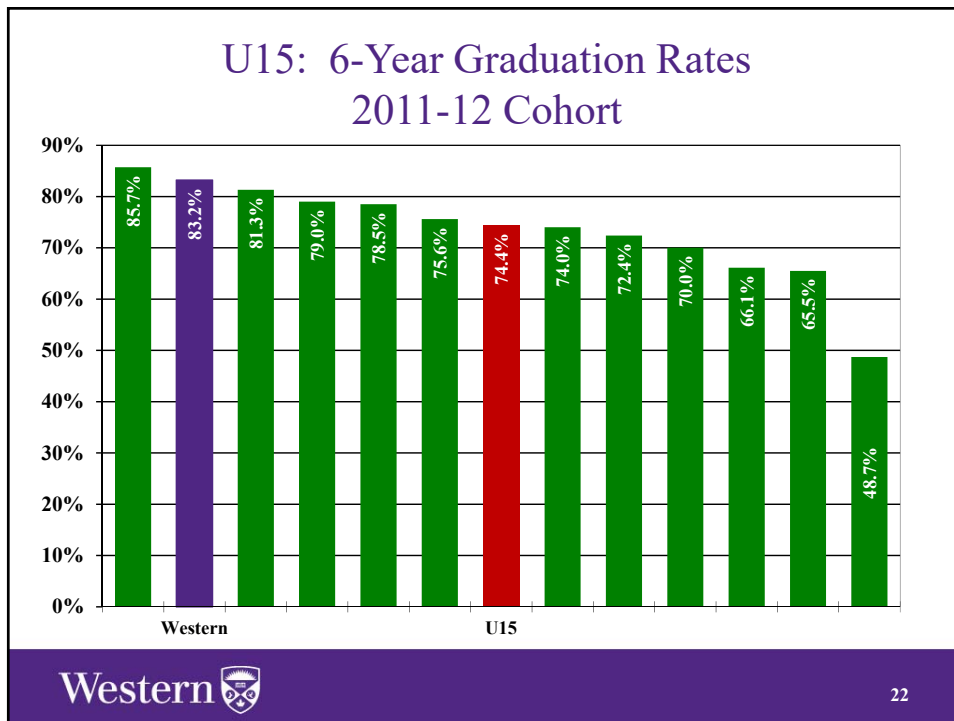
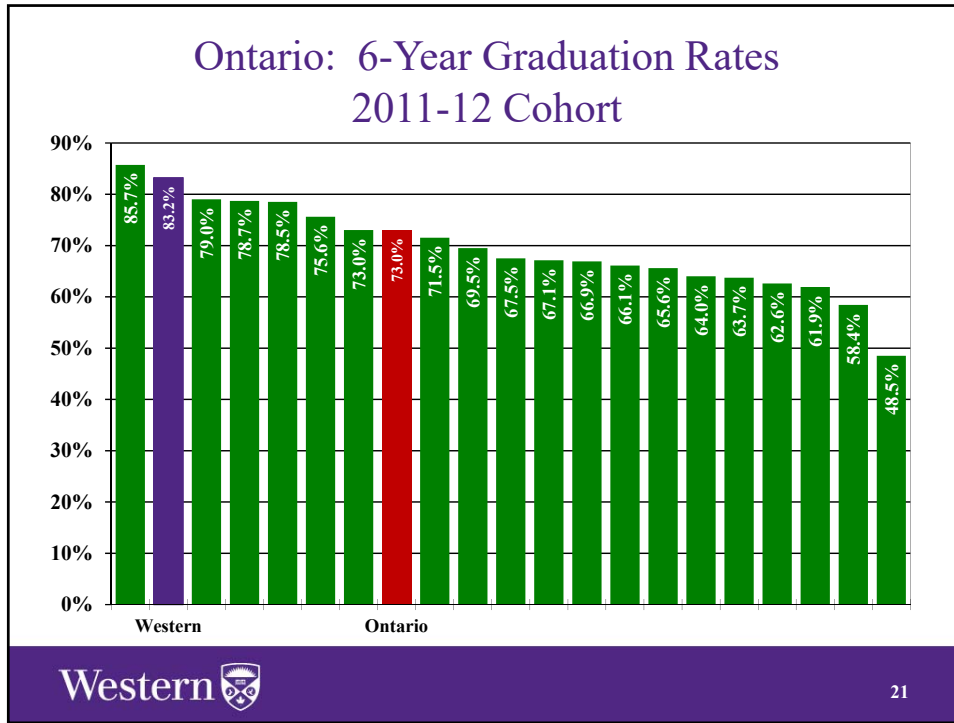




## Graduation Rates

## 6-Year Graduation Rates

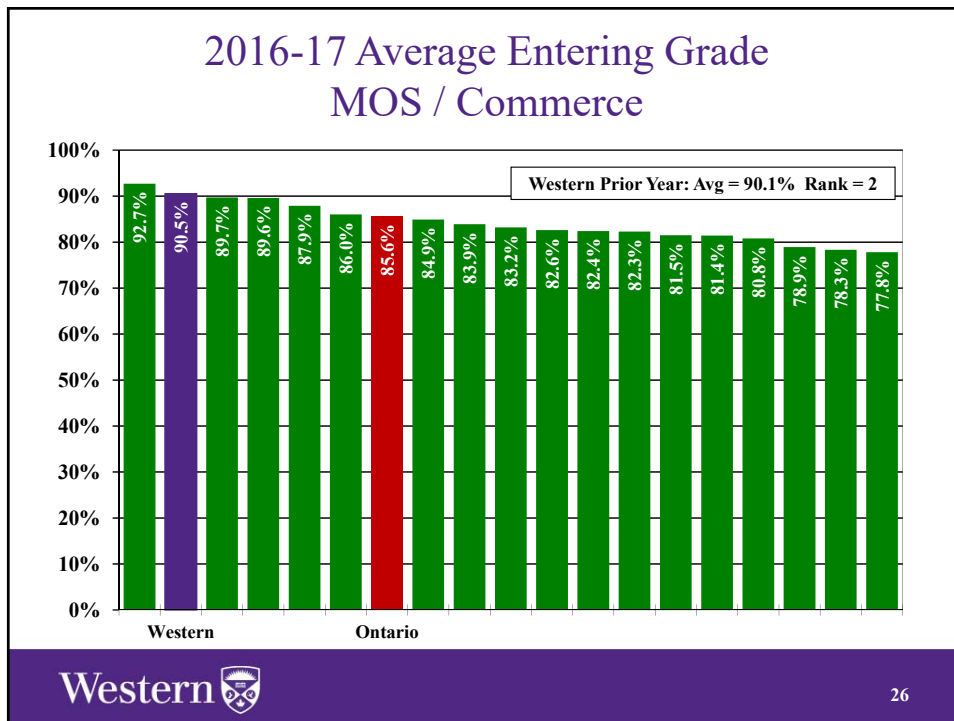
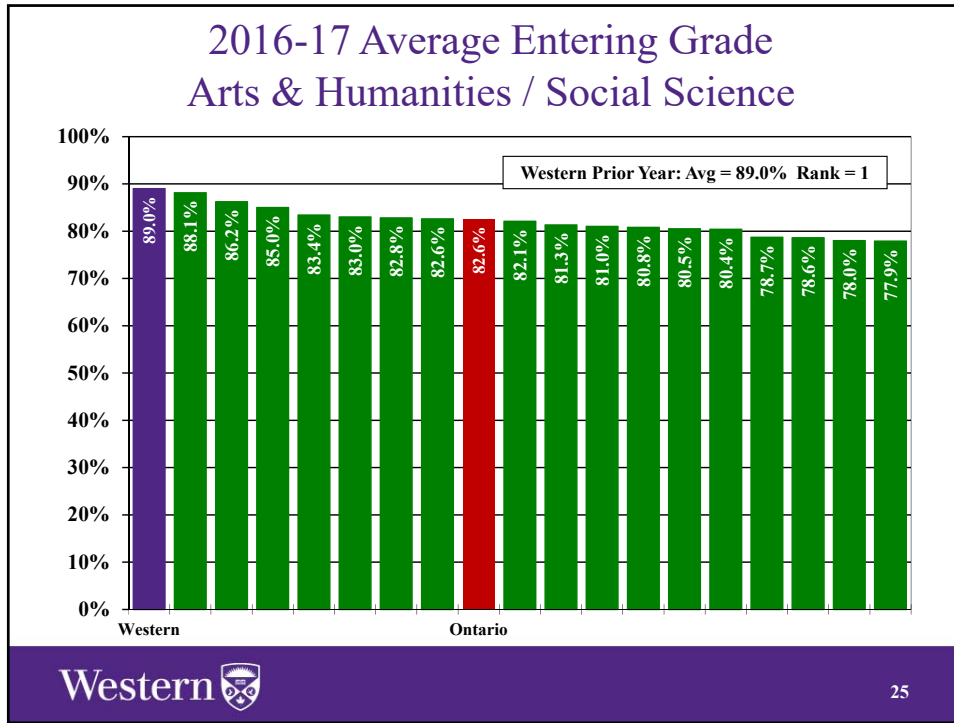


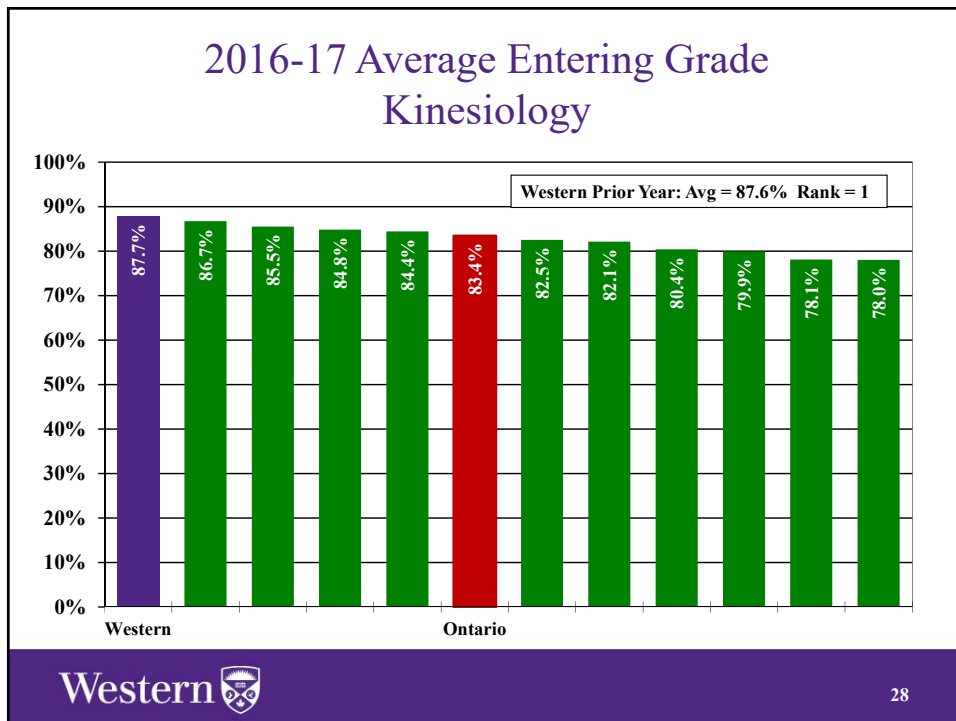
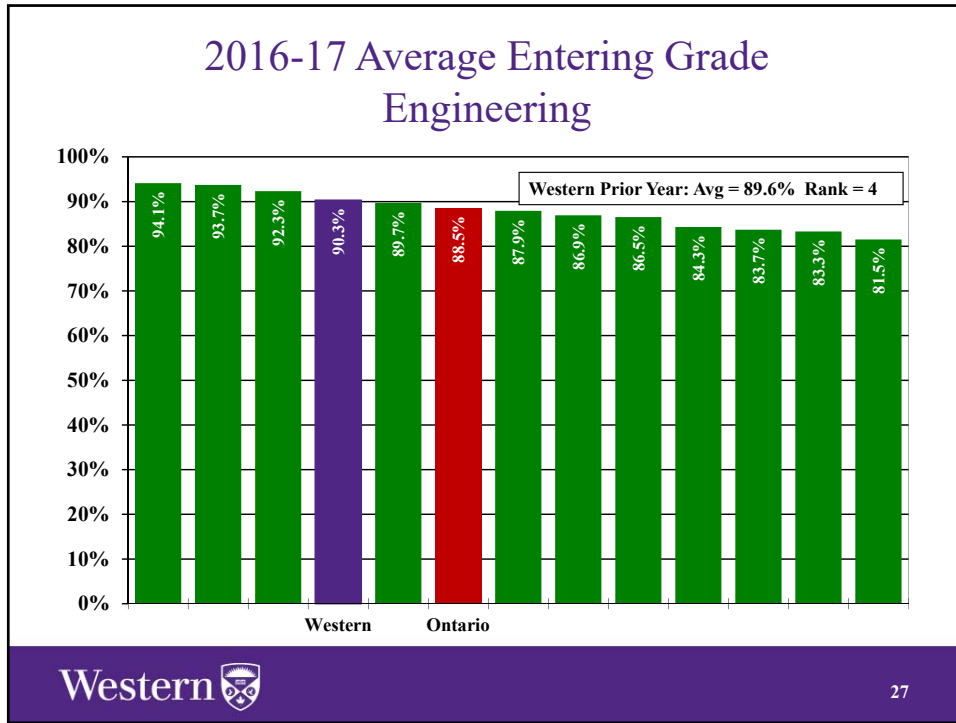


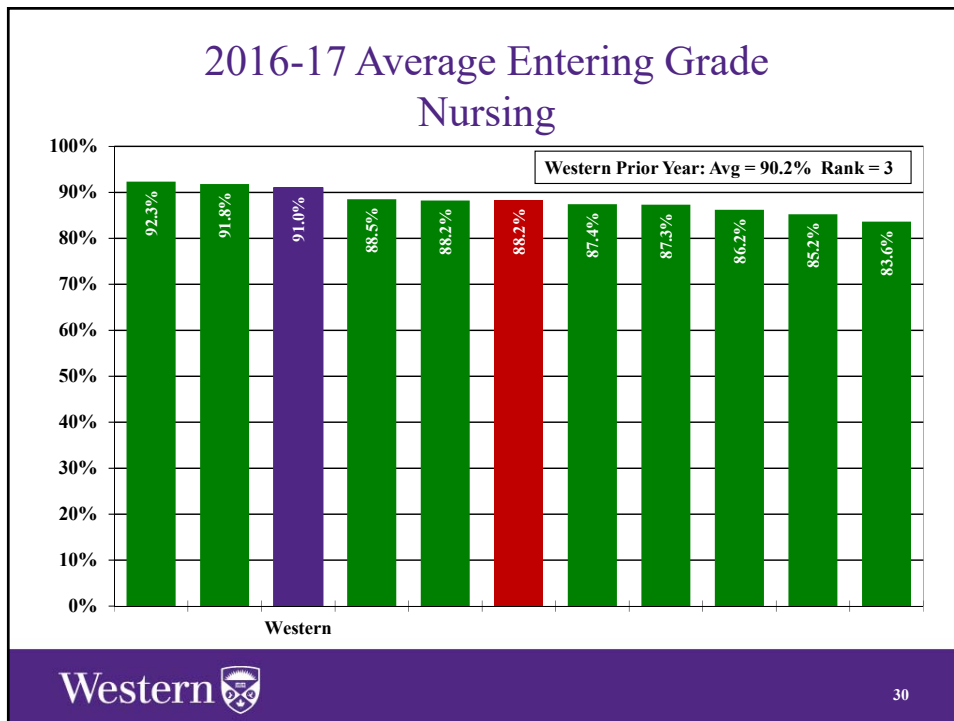
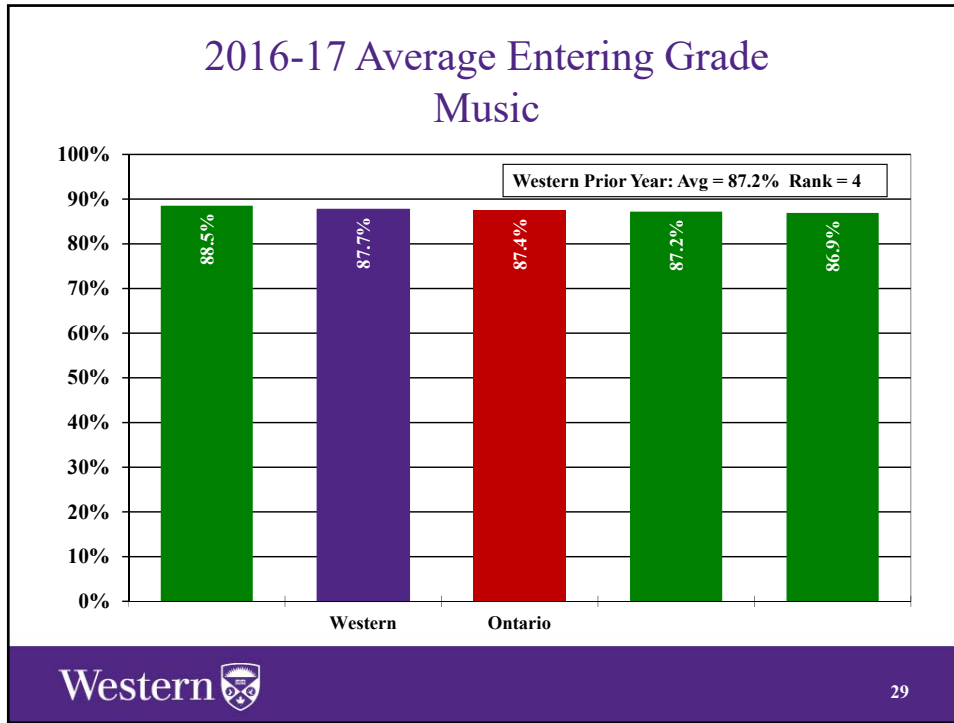
## Entering Grades of New Ontario Secondary School Students by University & Program 2016-17

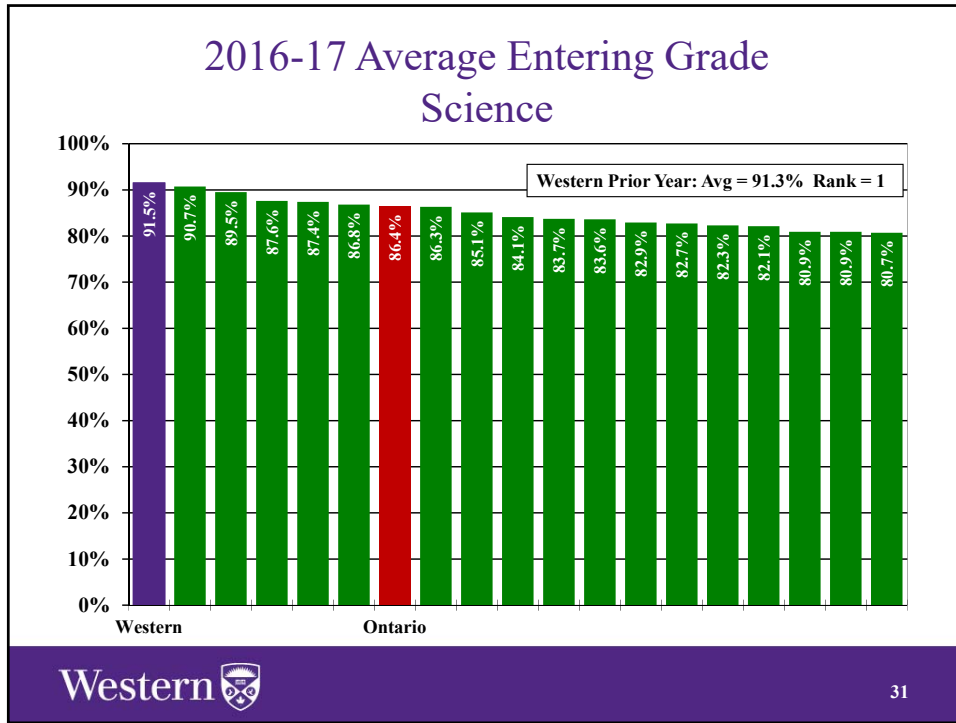
### 2016-17 Average Entering Grade All Programs











**REPORT TO SENATE OF THE ACADEMIC COLLEAGUE**  
**COUNCIL OF ONTARIO UNIVERSITIES**

Erika Chamberlain, the Academic College appointed to Senate submitted the following report to Senate with respect to the COU Academic Colleagues meeting on February 13, 2019 held in Toronto.

The following agenda items may be of particular interest to Senators:

**Freedom of Expression:**

HEQCO has prepared a report for government regarding the campus free expression policies. It provides only an overview and does not analyze whether each institution has included the mandated principles. HEQCO has expressed a willingness to work with the COU as it develops its template for annual reporting on the policies (to be used starting in fall 2019).

**Provincial Funding Cuts announced in January:**

This was the main topic of discussion at the meeting. Mike Snowdon, COU Senior Policy Analyst, provided an overview of the cuts (10% cut to all domestic tuition, changes to OSAP, and the “Student Choice Initiative” regarding ancillary fees). Snowdon noted that the tuition cuts bring together the various elements of the PC government’s main supporters: populists, fiscal conservatives, and social conservatives.

With respect to student fees, Snowdon stressed that universities will be under pressure to have appropriate “opt out” systems in place for the fall, which will require an investment in technological and human resources. There is also uncertainty about how universities will deal with the “free rider” problem, and deal with the potential reductions in services to students.

Colleagues shared how their respective institutions were planning to deal with the cuts; some are in stronger positions than others. For example, the northern universities are awaiting news of provincial assistance to help cope with the cuts.

In terms of advocacy, the COU continues to emphasize the efficiencies that have already been achieved in the sector, due to years of underfunding. The COU will also try to work with third-party advocates, such as industry, communities, OUSA, and OCUFA, to demonstrate the value that universities bring to the province. This is part of a larger initiative at the COU to develop a better communications and advocacy strategy (noting that the present government seems mistrustful of universities, themselves).



**Report of the Subcommittee on Teaching Awards (SUTA)**  
**Western's Excellence in Teaching Award Winners for 2018-2019**

The Subcommittee on Teaching Awards (SUTA) has chosen the following members of faculty as recipients of Western's Excellence in Teaching Awards:

**The Edward G. Pleva Award for Excellence in Teaching**

**Daniel Belliveau**, Faculty of Health Sciences, School of Health Studies  
**Jose Herrera**, Faculty of Engineering, Department of Chemical and Biochemical Engineering

**The Marilyn Robinson Award for Excellence in Teaching**

**Nicole Campbell**, Schulich School of Medicine & Dentistry, Department of Physiology and Pharmacology  
**Charys Martin**, Schulich School of Medicine & Dentistry, Department of Anatomy and Cell Biology  
**Tara Mantler**, Faculty of Health Sciences, School of Health Studies

**The Angela Armitt Award for Excellence in Teaching by Part-Time Faculty**

**Michele Barbeau**, Schulich School of Medicine & Dentistry, Department of Anatomy and Cell Biology

**Western Award for Innovations in Technology-Enhanced Teaching**

**Richard Booth**, Faculty of Health Sciences, Arthur Labatt School of Nursing

Two additional teaching awards were provided by the Office of the Vice-Provost (Academic Programs)

The following members of faculty were selected to receive these awards:

**The Vice-Provost (Academic Programs) Award for Excellence in Collaborative Teaching**

**Tom Stavraky, Angela Beye, Anita Woods**, Schulich School of Medicine & Dentistry, Department of Physiology and Pharmacology

**The Vice-Provost (Academic Programs) Award for Excellence in Online Teaching and Learning**

**Sarah McLean**, Schulich School of Medicine & Dentistry, Department of Physiology and Pharmacology and Department of Anatomy and Cell Biology

The names of the award winners were announced to the Western community on Tuesday, March 12, 2019 online by Western News.

Honorary Degree Recipients (Spring Convocation 2019)

Date & Time		Honorary Degree Recipient
<b>Friday, May 17</b>	Schulich School of Medicine & Dentistry (MD)	Victor Dzau, D.Sc.
<b>Friday, June 7</b>	Richard Ivey School of Business - Graduate Programs	David McKay, LL.D.

Date & Time		Honorary Degree Recipient
<b>Monday, June 10</b> (10:00 a.m.)	No Ceremony	
<b>Monday, June 10</b> (3:00 p.m.)	School of Graduate & Postdoctoral Studies * Faculty of Education	Maggie MacDonnell, LL.D.
<b>Tuesday, June 11</b> (10:00 a.m.)	School of Graduate & Postdoctoral Studies * Faculty of Social Science (BA Honors, BSc Honors programs, Diplomas and Certificates)	M. Peter McPherson, LL.D.
<b>Tuesday, June 11</b> (3:00 p.m.)	Faculty of Science (3 and 4 yr. non-Honors)	Thomas Bouchard, D.Sc.
<b>Wednesday, June 12</b> (10:00 a.m.)	Faculty of Social Science (3 yr. and BMOS)	Justice Andromache Karakatsanis, LL.D.
<b>Wednesday, June 12</b> (3:00 p.m.)	Faculty of Social Science (4 yr. BA, and BMOS Honors)	Sandra Rotman, LL.D.
<b>Thursday, June 13</b> (10:00 a.m.)	Schulich School of Medicine & Dentistry and Faculty of Science (BMSc Honors and 4yr)	Alan Bernstein, D.Sc.
<b>Thursday, June 13</b> (3:00 p.m.)	Faculty of Science (Honors)	Tebello Nyokong, D.Sc.
<b>Friday, June 14</b> (10:00 a.m.)	School of Graduate & Postdoctoral Studies * Engineering (GRAD) Schulich School of Medicine & Dentistry (GRAD) Faculty of Science (GRAD)	Robert McEwen, LL.D.
<b>Friday, June 14</b> (3:00 p.m.)	Faculty of Engineering (UGRD)	Stephen Poloz, LL.D.

Date & Time		Honorary Degree Recipient
<b>Monday, June 17</b> (10:00 a.m.)	School of Graduate & Postdoctoral Studies * Faculty of Arts and Humanities Don Wright Faculty of Music	Stephan Moccio, D.Mus.
<b>Monday, June 17</b> (3:00 p.m.)	School of Graduate & Postdoctoral Studies * Brescia University College Huron University College	Sherry Shannon-Vanstone, LL.D.
<b>Tuesday, June 18</b> (10:00 a.m.)	Richard Ivey School of Business	Donald Lang, LL.D.
<b>Tuesday, June 18</b> (3:00 p.m.)	School of Graduate & Postdoctoral Studies * Faculty of Health Sciences (Kinesiology)	Jerome Dempsey, D.Sc.
<b>Wednesday, June 19</b> (10:00 a.m.)	No Ceremony	
<b>Wednesday, June 19</b> (3:00 p.m.)	School of Graduate & Postdoctoral Studies * King's University College	Linda Hasenfratz, LL.D.
<b>Thursday, June 20</b> (10:00 a.m.)	School of Graduate & Postdoctoral Studies * Faculty of Health Sciences (Nursing) Schulich School of Medicine & Dentistry (DDS)	Bonnie Adamson, LL.D.
<b>Thursday, June 20</b> (3:00 p.m.)	School of Graduate & Postdoctoral Studies * Faculty of Information and Media Studies	Carol Hopkins, LL.D.
<b>Friday, June 21</b> (10:00 a.m.)	School of Graduate & Postdoctoral Studies * Faculty of Health Sciences (Health Studies - Honors, 3yr and 4yr, Dips. & Certs.) Faculty of Law	Ronald Schlegel, LL.D.
<b>Friday, June 21</b> (3:00 p.m.)	No Ceremony	